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### EDITORIAL PREFACE

Jordan Journal of Biological Sciences (JJBS) is a refereed, quarterly international journal financed by the Scientific Research and Innovation Support Fund, Ministry of Higher Education and Scientific Research in cooperation with the Hashemite University, Jordan. JJBS celebrated its 12<sup>th</sup> commencement this past January, 2020. JJBS was founded in 2008 to create a peer-reviewed journal that publishes high-quality research articles, reviews and short communications on novel and innovative aspects of a wide variety of biological sciences such as cell biology, developmental biology, structural biology, microbiology, entomology, molecular biology, biochemistry, medical biotechnology, biodiversity, ecology, marine biology, plant and animal physiology, genomics and bioinformatics.

We have watched the growth and success of JJBS over the years. JJBS has published 14 volumes, 60 issues and 800 articles. JJBS has been indexed by SCOPUS, CABI's Full-Text Repository, EBSCO, Clarivate Analytics- Zoological Record and recently has been included in the UGC India approved journals. JJBS Cite Score has improved from 0.7 in 2019 to 1.4 in 2021 (Last updated on 6 March, 2022) and with Scimago Institution Ranking (SJR) 0.22 (Q3) in 2021.

A group of highly valuable scholars have agreed to serve on the editorial board and this places JJBS in a position of most authoritative on biological sciences. I am honored to have six eminent associate editors from various countries. I am also delighted with our group of international advisory board members coming from 15 countries worldwide for their continuous support of JJBS. With our editorial board's cumulative experience in various fields of biological sciences, this journal brings a substantial representation of biological sciences in different disciplines. Without the service and dedication of our editorial; associate editorial and international advisory board members, JJBS would have never existed.

In the coming year, we hope that JJBS will be indexed in Clarivate Analytics and MEDLINE (the U.S. National Library of Medicine database) and others. As you read throughout this volume of JJBS, I would like to remind you that the success of our journal depends on the number of quality articles submitted for review. Accordingly, I would like to request your participation and colleagues by submitting quality manuscripts for review. One of the great benefits we can provide to our prospective authors, regardless of acceptance of their manuscripts or not, is the feedback of our review process. JJBS provides authors with high quality, helpful reviews to improve their manuscripts.

Finally, JJBS would not have succeeded without the collaboration of authors and referees. Their work is greatly appreciated. Furthermore, my thanks are also extended to The Hashemite University and the Scientific Research and Innovation Support Fund, Ministry of Higher Education and Scientific Research for their continuous financial and administrative support to JJBS.

Professor Atoum, Manar F. March, 2021

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# Effect of Silver Nanoparticles on Growth and Physiological Responses of Spinach (*Spinacia oleracea* L.) under Salt Stress

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### Abstract

Saline soil or water can highly affect plant physiological and biochemical responses including general disruption in the nutritional status of plants, osmotic stress, and ion-specific toxicity The rapid development and potential release of engineered nanoparticles (ENPs) have raised considerable concerns due to the unique properties of nanomaterials. Spinach is considered a model plant in hydroponic system production and is of research interest all over the world. In this study, we aim to study the physiological responses of spinach under different concentrations of both silver nanoparticles and salt stress. Spinach seedlings were exposed to 16 treatments asthreesalinity concentrations (4.0, 6.0, and 10.0 dS.m<sup>-1</sup>), three silver nanoparticles concentrations (20, 40, 60 ppm), nine treatments as combination of salinity and silver nanoparticles and control.Relative water content (RWC), stomatal conductance ( $g_s$ ), chlorophyll content index (CCI),), dry weights (DW), leaf area (LA), and specific leaf area (SLA) of 41 days old spinach seedlings were examined and recorded for 6 weeks. The application of Silver nanoparticles had affected plant growth and altered many plant physiological responses. We concluded that silver nanoparticles might have positive effects on the physiological parameters but only under non-saline stress. However, it negatively impacts plants when it was added under saline conditions.

Keywords: spinach, silver nanoparticles, hydroponic, salt stress, water relations, gas exchange, relative growth rate, net assimilation rate.

#### 1. Introduction

Salt stress (salinity) is the most abiotic stress that extremely influences plant growth and production. Saline soil or water can highly affect plant physiological and biochemical responses, including general disruption in the nutritional status of plants, osmotic stress, and ion-specific toxicity as a result of the accumulation of sodium (Na) and chloride (Cl) ions (Nazar et al. 2011). One of the essential goals for plant researchers is to investigate the physiological responses that help to develop salt tolerance in plants. In addition, soil salinity also affected the quality of many crops, which had a negative impact on the agriculture economy.

Soil salinity in many countries is mainly a consequence of arid climatic conditions. Most crops are sensitive to salt stress that cause subsequent yield loss. To cope with salinity, plants implement many physiological and anatomical traits as adaptation strategies that reduce the effect of salt stress (Bsoul et al., 2017)

Green leafy vegetables are an important part of healthy diets. Spinach (*Spinacia oleracea* L) is an annual edible flowering plant belongs tothe Amaranthaceae. Spinach leaves are a superfood that is loaded with many nutrients in a low-calorie package (Giri et al., 2016). It was found that spinach could tolerate irrigation with salinity around 5.7 dS/m (Uçgun et al., 2020).

Hydroponics is a method of growing plants in water based nutrient rich solution. Growing with hydroponics comes with many advantages, the biggest of which is the significantly increased rate of plant growth. With the proper setup, plants will mature up to 25% faster and produce up to 30% more than the same plants grown in soil (Ritter et al. 2001). Spinach grows quickly in a hydroponic system.

Engineered nanomaterials have received a particular attention for their positive impact on improving many sections of economy, including agriculture(Nowack and Bucheli 2007). The European Union has defined a nanomaterial as a natural, incidental or manufactured material containing particles, in an unbound state or as aggregate or as agglomerate. One more external dimension is the size range 1 - 100 nm" (Rauscher et al., 2015). Nanoparticles (NPs) are used to improve agriculture production and crop protection. However, using them is relatively new and needs further exploration in field of agriculture(Lijuan et al., 2020).Nanoparticles interact with plants causing many morphological and physiological changes, depending on the properties of NPs. Research findings suggested both positive and negative effects on plant growth and development, and the impact of engineered nanoparticles (ENPs) on plants depends on the composition, concentration, size, and physical and chemical properties of ENPs as well as plant species (Xingmao et al. 2010).

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Silver nanoparticles (AgNPs) are increasingly used in various fields, including medical, food, health care, consumer, and industrial purposes, due to their unique physical and chemical properties (Zhang et al. 2016).Both positive and negative effects of Ag NPs on plant growth have been reported (Abdel Kareem et al. 2017). However, scarce studies have reported the role of NPs on plants under salinity (Almutairi, 2016). Our objective in this study is to investigate the physiological responses of spinach under different concentrations of both silver nanoparticles and salt stress.

### 2. Materials and Methods

### 2.1. Study location

This study was conducted in a greenhouse at The Hashemite University, Zarqa, Jordan. 32°05' N Latitude and 36°06 E Longitudes. Greenhouse day temperature, humidity and the light intensity were (24.6  $\pm$  0.039 °C), (51% $\pm$  1.48), respectively. Mean midday photosynthetic photon flux density (PPFD) was (365 $\pm$  0.71 µmol<sup>-</sup> s<sup>1</sup>·m<sup>-</sup><sup>2</sup>)measured by a quantum sensor (LI-250A; LICOR.)

### 2.2. Plant material and experimental design

Seeds of baby spinach from the local market were used for this experiment. Seeds were germinated in the greenhouse in trays containing peatmoss (KEKKILA, European Union). After the appearance of the primitive stem, spinach seedlings were then grown in a hydroponic system to prevent any interaction that can impede nanoparticles from the plants. Aftertwo weeks, uniform were selected and randomly assigned plants to experimental treatments. Each plant was moved to 200 ml flask covered with Aluminum foil (Figure 1) and filled with sterile perlite and Hoagland's solution. Spinach seedlings were left in the flask under greenhouse conditions for four days as adaptation period (Alkhatib et al., 2019).

Uniform spinach plants were assigned randomly to one of 16 treatments ((control), (S1) 4.0dS.m<sup>-1</sup>, (S2) 6.0 dS.m<sup>-1</sup> <sup>1</sup>, (S3)10.0dS.m<sup>-1</sup>, (N1) 20 ppm AgNPs,(N2) 40 ppm AgNPs,(N3) 60 ppm AgNPs,(S1N1)4.0dS.m<sup>-1</sup> + 20 ppm (S1N2)4.0dS.m<sup>-1</sup> AgNPs, +40 ppm AgNPs, $(S1N3)4.0dS.m^{-1}$ 60 ppm AgNPs,(S2N1)6.0dS.m<sup>-1</sup> 20 +ppm AgNPs,  $(S2N2)6.0dS.m^{-1} + 40 ppm AgNPs, (S2N3)6.0dS.m^{-1} + 60$ ppm AgNPs(S3N1)10.0dS.m<sup>-1</sup> + 20 ppm AgNPs, (S3N2)10.0dS.m<sup>-1</sup> + 40 ppm AgNPs,(S3N3)10.0dS.m<sup>-1</sup> + 60 ppm AgNPs) for 65 days. The experimental design was Randomize Completely Block Design (RCBD). There were five experimental replications, each containing a total of 16 plants and the total number was 80 seedlings. Plants were grown in a 200 ml flask filled with perlite and the designated solution treatment

All the spinach seedlings from all the treatments had equal and appropriate amount of Hoagland's solution during the experiment period with pH = 5.9. In addition, a fungicide (Vapco Top 70 %,Thiophanate- methyl) was added to the treatment solutions(2 g L<sup>-1</sup>) to prevent the growth of fungal species.

#### 2.3. Initial seedling traits

After30 days of acclimatization, uniform plants were randomly selected as experimental units. Extra 16 seedlings were harvested to determine the initial dry weights on the same day when the treatments were applied. The harvested plants were separated into shoots and roots. Oven dry weights of shoot and root were determined at 65° C for 3 days.

### 2.4. Salinity and silver nanoparticles treatments

A 3:1 proportion of calcium chloride and sodium chloride was diluted in water to make a stock solution. Treatment solutions were made by adding stock solution to distilled water until the desired salinity levels were achieved. All readings were recorded using an EC meter (Milwaukee SPEM500).Silver nanoparticles (AgNPs) water dispersion was used in this study has the APS: 2 nm.

### 2.5. Physiological traits

Two youngest fully-expanded mature healthy leaves were selected to measure the chlorophyll Content Index by using chlorophyll content meter (Optic- Sciences, CMM 200) every two weeks. Stomatal conductance ( $g_s$ ) was measured biweekly using AP4 Porometer(Delta-A Devices-Cambridge-U. K).

### 2.6. Final harvest

At the end of the experiment after 41 days, all plant parts were harvested and separated into leaves, shoots and roots.Leaf area was recorded using the leaf area meter (LI-3050C; LI-COR, Lincoln, Nebr.).All plant parts were oven dried at 68°C for three days.Plant stem diameter was measured at the harvest day by using digital Vernier caliper (US 7533474B2, United States).Relative growth rates were calculated using the equation of Gutschick and Kay (1995):  $RGR = (\ln W2 - \ln W1)/(T2 - T1)$ , where W2 was the final dry weight at day 41 (T2), and W1 was the initial DW determined from initial data harvest on day one (T1). Net assimilation rates (NAR) were calculated as: NAR = M2 - M1/T2 - T1 X log L2 - log L1/L2 - L1, where M2 was the final dry weight at day 41 (T2), and M1 was the initial DW determined from the initial recorded on day one of the experiment (T1). Leaf area ratio (cm<sup>2</sup>.g<sup>-1</sup>) was calculated as SLA= leaf area/leaf dry weight. Specific stem length (cm.g<sup>-1</sup>) was calculated as SSL= stem height/ stem dry weight.

Leaf discs from five of the youngest fully expanded mature leaves from the median portion of the stem of two randomly selected plants from each treatment were selected. RWC was calculated using the equation: RWC (%) = (FW-DW/SW-DW) \* (100) where: FW is the fresh weight and DW represents fresh weight sample oven dried at 68 °C and SW represents saturated weight of sample, which was immersed overnight in distilled water (Bsoul et al., 2007).

### 2.7. Statistical analysis

Statistical analysis was performed using SAS 9.1 software for Windows (2003). Significant differences between values of all parameters were determined at  $P \le 0.05$  using Proc Glm, PDIFF, ANOVA and Least Significant Difference (LSD).

### 3. Results

Salinity and silver nanoparticles had affected plant growth parameters. There were no significant differences among treatments in root DW (P  $\leq$  0.28), shoot DW (P  $\leq$ 

0.25), total plant DW (P  $\leq$  0.49). However, there were significant differences among treatments in root/shoot ratio (P  $\leq$  0.04) and stem diameter (P  $\leq$  0.0001) (Table 1).

Plants treated with (S3) had the highest root/shoot DW ratio (6.7) while plants treated with moderate salinity (S2) concentration (6.0 dS.m<sup>-1</sup>), 40 ppm of Ag nanoparticles, (S1N1), (S1N2) and plants with (S1N3) treatments had the lowest value (1.9), (1.2), (1.8), (1.8) and (1.9), respectively. Moreover, plants irrigated with 20 ppm of Ag nanoparticles had the highest stem diameter (0.29 mm), while plants with high salinity concentration (10 dS.m<sup>-1</sup>),

(S3N1), (S3N2) and (S3N3) treatments had the lowest and similar stem diameter (Table 2).

Spinach seedlings had no significant differences among treatments in their specific leaf area (SLA) ( $P \le 0.58$ ) and leaf area ratio (LAR) ( $P \le 0.17$ ). However, there were significant differences among treatments in leaf area (LA) (P < 0.0006), specific leaf weight (SLW) ( $P \le 0.04$ ) and leaf weight ratio (LWR) ( $P \le 0.03$ ). The highest leaf area value (5.1 cm<sup>2</sup>) was recorded for control, but plants treated with high salinity concentration had among the lowest LA (3.1 cm<sup>2</sup>) (Table 2).

Table 1: Effects of salinity and silver nanoparticles treatment onRoot DW, Shoot DW, Plant DW, root to shoot ratio and stem diameter (SD) subjected to irrigation treatments and harvested on day 41 of the experiment.

Treatment	Root DW (g)	Shoot DW (g)	Plant DW (g)	Root/Shoot	SD (mm)
Control	0.061 <sup>a*</sup>	0.024 <sup>a</sup>	0.086 <sup>a</sup>	2.5 <sup>cd</sup>	0.33 <sup>a</sup>
S1 (4.0 dS/m)	0.053 <sup>a</sup>	0.023 <sup>a</sup>	0.076 <sup>a</sup>	2.3 <sup>cd</sup>	0.08 <sup>dc</sup>
S2 (6.0dS/m)	0.042 <sup>a</sup>	0.022 <sup>a</sup>	0.064 <sup>a</sup>	1.9 <sup>d</sup>	0.12 °
S3 (10.0dS/m)	0.134 <sup>a</sup>	0.020 <sup>a</sup>	0.155 <sup>a</sup>	6.7 <sup>a</sup>	0.01 <sup>f</sup>
N1(20 ppm)	0.062 <sup>a</sup>	0.022 <sup>a</sup>	0.084 <sup>a</sup>	2.8 <sup>cd</sup>	0.29 <sup>ab</sup>
N2(40 ppm)	0.037 <sup>a</sup>	0.030 <sup>a</sup>	0.067 <sup>a</sup>	1.2 <sup>d</sup>	0.28 <sup>b</sup>
N3(60 ppm)	0.061 <sup>a</sup>	0.022 <sup>a</sup>	0.083 <sup>a</sup>	2.7 <sup>cd</sup>	0.28 <sup>b</sup>
S1N1	0.068 <sup>a</sup>	0.038 <sup>a</sup>	0.105 <sup>a</sup>	1.8 <sup>d</sup>	0.07 <sup>cde</sup>
S1N2	0.066 <sup>a</sup>	0.036 <sup>a</sup>	0.101 <sup>a</sup>	1.8 <sup>d</sup>	0.07 <sup>cde</sup>
S1N3	0.045 <sup>a</sup>	0.024 <sup>a</sup>	0.068 <sup>a</sup>	1.9 <sup>d</sup>	0.07 <sup>cde</sup>
S2N1	0.080 <sup>a</sup>	0.013 <sup>a</sup>	0.093 <sup>a</sup>	6.2 <sup>abc</sup>	0.04 <sup>ef</sup>
S2N2	0.130 <sup>a</sup>	0.021 <sup>a</sup>	0.151 <sup>a</sup>	6.2 <sup>abc</sup>	0.05 def
S2N3	0.074 <sup>a</sup>	0.026 <sup>a</sup>	0.099 <sup>a</sup>	2.8 <sup>cd</sup>	$0.04^{ m  def}$
S3N1	0.091 <sup>a</sup>	0.023 <sup>a</sup>	0.113 <sup>a</sup>	4.0 <sup>abcd</sup>	$0.02^{\rm f}$
S3N2	0.074 <sup>a</sup>	0.017 <sup>a</sup>	0.091 <sup>a</sup>	4.4 <sup>abcd</sup>	0.01 <sup>f</sup>
S3N3	0.073 <sup>a</sup>	0.021 <sup>a</sup>	0.094 <sup>a</sup>	3.5 <sup>bcd</sup>	$0.01^{f}$
Mean	0.072	0.024	0.096	03.3	0.11
<i>P</i> -value	0.28	0.25	0.49	0.04	< 0.0001

\* Means within the columns followed by the same letter are not significantly different.

 Table 2:
 Effects of salinity and silver nanoparticles treatment onLeaf Area (LA), Specific Leaf Area (SLA), Specific Leaf Weight (SLW),

 Leaf Weight Ratio (LWR) and Leaf Area Ratio (LAR) of spinach seedlings subjected to irrigation treatments and harvested on day 41 of the experiment

Treatments	LA (cm <sup>2</sup> )	SLA (cm <sup>2</sup> .mg <sup>-1</sup> )	SLW (mg.cm- <sup>2</sup> )	LWR (g.g <sup>-1</sup> )	LAR(cm <sup>2</sup> .mg <sup>-1</sup> )
Control	5.1 <sup>* a</sup>	1.49 <sup>a</sup>	0.44 <sup>abcd</sup>	0.049 <sup>abc</sup>	0.86 <sup>a</sup>
S1 (4.0 dS/m)	4.8 <sup>ab</sup>	0.78 <sup>a</sup>	0.32 abcde	0.038 abcde	0.61 <sup>a</sup>
S2 (6.0 dS/m)	3.9 <sup>defg</sup>	0.36 <sup>a</sup>	0.11 <sup>de</sup>	0.018 bcde	0.32 <sup>a</sup>
S3 (10.0 dS/m)	3.1 <sup>g</sup>	1.11 <sup>a</sup>	0.04 <sup>e</sup>	0.004 <sup>e</sup>	0.21 <sup>a</sup>
N1 (20 ppm)	4.4 <sup>abcdef</sup>	1.39 <sup>a</sup>	0.58 <sup>abc</sup>	0.042 abcd	0.73 <sup>a</sup>
N2 (40 ppm)	4.7 <sup>abcd</sup>	0.96 <sup>a</sup>	0.39 abcde	0.037 <sup>abcde</sup>	0.55 <sup>a</sup>
N3 (60 ppm)	4.4 <sup>abcdef</sup>	2.30 <sup>a</sup>	0.40 abcde	0.024 <sup>bcde</sup>	0.75 <sup>a</sup>
S1N1	4.8 <sup>abc</sup>	1.61 <sup>a</sup>	0.66 <sup>a</sup>	0.051 <sup>ab</sup>	0.81 <sup>a</sup>
S1N2	4.6 abcde	1.38 <sup>a</sup>	0.49 <sup>abcd</sup>	0.031 abcde	0.52 ª
S1N3	4.6 abcde	1.78 <sup>a</sup>	0.60 <sup>ab</sup>	0.065 <sup>a</sup>	1.15 <sup>a</sup>
S2N1	$4.0^{\text{ cdef}}$	0.78 <sup>a</sup>	0.20 <sup>cde</sup>	0.010 <sup>de</sup>	0.19 <sup>a</sup>
S2N2	3.7 <sup>fg</sup>	1.08 <sup>a</sup>	0.42 abcd	0.011 de	0.18 <sup>a</sup>
S2N3	3.7 <sup>fg</sup>	0.85 <sup>a</sup>	0.19 <sup>cde</sup>	0.016 bcde	0.34 <sup>a</sup>
S3N1	4.2 <sup>bcdef</sup>	0.72 <sup>a</sup>	0.22 bcde	0.012 <sup>cde</sup>	0.21 <sup>a</sup>
S3N2	4.2 bcdef	1.22 <sup>a</sup>	0.14 <sup>de</sup>	0.011 de	0.38 <sup>a</sup>
S3N3	3.8 <sup>efg</sup>	0.17 <sup>a</sup>	0.23 bcde	0.009 <sup>de</sup>	0.08 <sup>a</sup>
Mean	4.3	1.13	0.34	0.027	0.49
<i>P</i> -value	0.0006	0.58	0.04	0.03	0.17

\* Means within the columns followed by the same letter are not significantly different.

At the end of the experiment relative growthrateRGR results indicated that there were no significant differences among treatments (P=0.6373) (Fig. 1).

significant differences among treatments (P= 0.6373) (Fig. 3).



**Figure 1:** Relative Growth Rate(g.g<sup>-1</sup>.day<sup>-1</sup>): RGR values for all treatments at the end of the experiment (41 days after planting) under the effect of salt stress and nanoparticles irrigation.

Net assimilation rate had significant differences among treatments under salt stress and nanoparticles irrigation (P<0.0469). Plants treated with high salinity (S3=10 dS.m<sup>-1</sup>), (S2N2) had the highest NAR value (1.104, 0.8566mg.cm<sup>-2</sup>.day<sup>-1</sup>) respectively, while other treatments had similar values(Fig. 2).



#### Treatments

**Figure 2:** Net Assimilation Rate(mg.cm<sup>2</sup>.day<sup>-1</sup>): NAR values for all treatments at the end of the experiment (41 days after planting) under the effect of salt stress and nanoparticles irrigation. Data are means  $\pm$  SE of 5 replicates. Means within the columns marked with the same letter were not significantly different at the *P*  $\leq$  0.05.

Relative water contents RWC results showed significant differences among treatments (P = 0.006). (S1N3) had the highest RWC value (40%). However, the lowest RWC value was (3%) and (4%) for the plants treated with (S3) and (S3N1), respectively (Fig. 3).



**Figure 3:** Relative Water Content RWC %:Treatments of nanoparticles irrigation compared with control after 41 days.Data are means  $\pm$  SE of 5 replicates. Means within the columns marked with the same letter were not significantly different at the *P*  $\leq$  0.05.

Stomatal conductance ( $g_s$ ) had significant differences among treatments (P=0.0169) in the 6<sup>th</sup>week, plants irrigated with 40 ppm of silver nanoparticles (N2) and(S1N1)had the highest stomatal conductance (0.14cm.s<sup>-1</sup>), while S2 and S3 had the lowest stomatal conductance (0.03 and 0.02cm.s<sup>-1</sup>), respectively. However, in the 2<sup>nd</sup> and 4<sup>th</sup>week, plants had no significant differences in  $g_s$  (P = 0.4391), (P = 0.3762) (Table 3).

**Table3** . Biweekly stomatal conductance  $(g_s)$  under salt stress and NPs irrigation

Treatment	$(g_s)$ (mmol.m <sup>-2</sup> .s <sup>-1</sup> ) 2 <sup>nd</sup> week	$(g_s)$ (mmol.m <sup>-2</sup> .s <sup>-1</sup> ) 4 <sup>th</sup> week	$(g_s)$ (mmol.m <sup>-2</sup> .s <sup>-1</sup> ) 6 <sup>th</sup> week
Control	0.13 <sup>a*</sup>	0.11 <sup>a</sup>	0.14 <sup>ab</sup>
S1 (4.0 dS/m)	0.2 <sup> a</sup>	0.09 <sup>a</sup>	$0.08^{\text{ abcd}}$
S2 (6.0 dS/m)	0.09 <sup> a</sup>	0.06 <sup>a</sup>	0.03 <sup>d</sup>
S3 (10.0 dS/m)	0.03 <sup>a</sup>	0.02 <sup>a</sup>	0.02 <sup>d</sup>
N1 (20 ppm)	0.15 <sup>a</sup>	0.11 <sup>a</sup>	$0.08^{abcd}$
N2 (40 ppm)	0.17 <sup>a</sup>	0.15 <sup>a</sup>	0.14 <sup>a</sup>
N3 (60 ppm)	0.12 <sup>a</sup>	0.1 <sup>a</sup>	0.11 abc
S1N1	0.19 <sup> a</sup>	0.12 <sup>a</sup>	0.14 <sup> a</sup>
S1N2	0.13 <sup>a</sup>	0.1 <sup>a</sup>	$0.07^{\text{ abcd}}$
S1N3	0.13 <sup>a</sup>	0.09 <sup>a</sup>	$0.07^{\rm \ bcd}$
S2N1	0.14 <sup>a</sup>	0.09 <sup> a</sup>	0.09 abcd
S2N2	0.15 <sup>a</sup>	0.05 <sup>a</sup>	$0.06^{cde}$
S2N3	0.18 <sup>a</sup>	0.1 <sup>a</sup>	0.07 <sup>abcd</sup>
S3N1	0.13 <sup>a</sup>	0.09 <sup> a</sup>	0.09 abcd
S3N2	0.15 <sup>a</sup>	0.04 <sup>a</sup>	0.04 <sup>cd</sup>
S3N3	0.09 <sup> a</sup>	0.04 <sup> a</sup>	0.04 <sup>cd</sup>
Means	0.14	0.09	0.08
P-value	0.44	0.38	0.02

\*Means within the columns followed by the same letter are not significantly different.

### 4. Discussion

The current study showed that salinity treatments had no effect on whole plant dry weights, shoot dry weight and root dry weight but significantly affected other growth root to shoot ratio and stem diameter. Guenther et al. (1987) reported that salinity stress is a serious abiotic stress that influences the growth of spinach seedlings and they reported that the reasons were the excessive uptake of (Na<sup>+</sup>) and (Cl<sup>-1</sup>) ions, the accumulation of Na+ in the leaves and nutritional imbalance. Salinity significantly increased root/shoot ratio in spinach seedlings because plants usually invest more in roots than in shoots under salt or drought stress. The application of silver nanoparticles in the current study had no effect on root to shoot ratio that had values similar to the control. These finding were consistent with Mazumdar (2014) who reported that root fresh weight and shoot fresh weight were not affected at low concentration below of 50 µg/mL of silver nanoparticle. This implied that the effect on the root/shoot ratio was due to salinity only.

Salinity treatments reduced spinach stem diameter because plants reduce their hydrolytic conductivity when they are subjected to water stress. Similar results were reported in *Spondias tuberosa* plants (Da silva et al., 2008).

Salinity treatments significantly reducing leaf area of spinach might be attributed to the fact that plants usually reduce their leave's surface area under water deficit or unavailable water in order to reduce transpiration and prevent dehydration. In addition, salinity reduces the total plant growth in general. Beinsan et al. (2003) reported that the negative impact of salt stress on leaf area is due of the reduction in both photosynthesis rate and chlorophyll content in Phaseolus vulgaris L plants. The Application of silver nanoparticles had almost similar effect on spinach leaf area that was only under salt stress, and silver nanoparticles had no advantage in improving the plant response to salinity. On the other hand, the application of silver nanoparticles might have a negative impact on the plant under salinity. Almutairi(2016) reported that AgNPs play an important role in moderating the inhibition of plant growth in saline environments by inducing salt tolerance in plants. It was found that exposure to AgNPs is capable of increasing the germination percentage, the germination rate, the root length and the seedling fresh and dry weights of tomato plants under NaCl stress, if applied AgNP on seeds through seed germination. Based on that, we might conclude that it is important to consider the plant growth stage when we apply nanoparticles under saline conditions.

RGR represents the extent to which a plant invests its photosynthesis in current growth and enhances its capacity for future photosynthesis (Fitter and Hay, 2002). Current work indicated that spinach RGR were not significantly affected when treated with the different salt levels. Water availability is a factor that usually reduces NAR and growth. El-Hendawy et al. (2005) reported that the salinity stress reduced NAR values in wheat. The authors attributed the reasons behind that to the reduction in plant relative water content in some cases. Current research indicated a decrease in NAR values when Ag nanoparticles were applied and that might be because silver nanoparticles were able to decrease plant's chlorophyll content.

Relative water content RWC is an important salinity stress indicator and its response varies depending on salinity severity(Galmés et al., 2007). In this study, salinity treatments decreased RWC values. That might be because the plant roots were unable to absorb enough water from the surrounding medium. Yang et al. (2011) reported that RWCin Medicago ruthenicaplants was decreased under salt stress, as the plants were unable to compensate for lost by transpiration. Application water of silvernanoparticles at concentration of 20 ppm of Ag nanoparticles (N1) had the highest RWC, contra the results of Çekiç et al. (2017) who reported that AgNPs did not significantly affect the water status of S. lycopersicum. Applying both salt and Ag nanoparticles together had a negative effect on spinach RWC because saline water might reduce the free Ag nanoparticles amount. As a result, the effect of salinity and Ag nanoparticles treatments was similar to the effect of salinity treatment alone.

Stomatal conductance had no significant differences among treatments in the  $2^{nd}$  and  $4^{th}$ week. However, Stomatal conductance had significant differences among treatments in the  $6^{th}$  week and reduced under salinity. Reduction of stomatal conductance and transpiration rate are considered as adaptations to protect plants from dehydration (Romero et al., 2001). Application of silvernanoparticles had a positive effect on the  $6^{th}$ week and the reason behind that might be the increase in the available amount of water for spinach plants under hydroponic system.

Chlorophyll is one of the major chloroplast components necessary for photosynthesis, and the chlorophyll content index has a positive relationship with the photosynthetic rate. The decrease in chlorophyll content under salinity stress has been considered as chlorophyll degradation. Decreased chlorophyll level during salinity stress has been reported and considered the main cause of inactivation of photosynthesis and loss of chlorophyll and found to be dependent on the duration and severity of salinity. Omoto et al. (2010) reported that the negative effect of salinity on plant's chlorophyll content index was due to chlorophyll deficiency. Chlorophyll deficiency is attributed to the inhibition of chlorophyll synthesis. In our study, the application of silver nanoparticles had a negative effect on spinach chlorophyll content index. Xingmao et al. (2010) reported that silver nanoparticles concentration below 20 ppm can be taken up by plants and transport from intracellular spaces to inside plant cells through plasmodesmata of root cells. These nanoparticles then pass through shoots and accumulate in the leaves which cause an adverse effect on total chlorophyll content index of tested plants. In addition, the Ag NP treatments may cause toxicity to the plants. In greenhouse experiments, Song et al. (2013) reported that mature tomato plants showed evidence of phytotoxicity due to AgNPs by exhibiting low chlorophyll contents and less fruit production. Application of both salt and AgNPs had a negative effect on spinach chlorophyll content, and this might have been attributed to increase in chlorophyllase enzyme activity. Abdel Kareem et al. (2017) reported that total chlorophyll contents decreasing under salt might be due to AgNPs toxicity in plants.

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### 5. Conclusion

Application of silver nanoparticles up to 20 ppm concentration might be considered as a successful method for spinach seedlings under non-saline irrigation conditions and might be successfully used to enhance physiological responses of spinach seedlings. For plants under salinity stress, silver nanoparticles were not able to alleviate the salinity stress with a complement amount of specific nanoparticles concentration. In addition, the application of Ag nanoparticles had a negative effect on stomatal conductance and chlorophyll content when mixed with saline water. Ag nanoparticles did not improve the physiological parameters under salinity stress. Nanoparticles application method and the stage of application might have a great importance when it is used for spinach plants.

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# An In-Silico Study on Allicin Compound in Garlic (Allium Sativum) as A Potential Inhibitor of Human Epidermal Growth Factor Receptor (Her)-2 Positive Breast Cancer

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### Abstract

Allicin is the major sulfur-containing compounds in a single garlic (Allium Sativum) that play an important protective part in the oxidative processes. Because of its less toxicity and its potency in preventing and attenuating outcomes of several cancer types, it makes Allicin as a potential natural therapy for breast cancer. Moreover, because of the similar structure of N-Acetylcysteine (NAC) and Allicin, it predicts that Allicin may give the same therapeutic effects with NAC, which NAC is able to show the anti-tumor effect on Human Epidermal Growth Factor Receptor (HER-2) protein of breast cancer. Breast cancer as the most common cancer in women and the second-highest prevalence of cancer in the world, might provide benefits using Allicin in order to prevent cancer progression with a better safety profile. However, little study has been carried out on the functional role of Allicin in HER-2 positive breast cancer. In this study, we calculated and compared the binding energy, pharmacokinetic properties, and toxicity of Allicin and NAC with HER-2 receptor using in-silico study in order to evaluate the potency as HER-2 positive breast cancer alternative therapy. By using Molegro Virtual Docker, the affinity between Allicin and NAC to HER-2 receptor showed an equal result, -62,1239 kcal/mol for Allicin, and -65,8084 kcal/mol for NAC. Meanwhile, study on their pharmacokinetic properties and toxicity using pkCSM online tool showed that Allicin has a safer profile than NAC. Thus, it can be concluded that the Allicin compound has a relatively same anti-tumor potency in HER-2 positive breast cancer compared to NAC. Moreover, Allicin also has a fairly good pharmacokinetic profile and more tolerable toxicity properties, rather than NAC.

Keywords : Allicin, in silico, HER-2 positive breast cancer

### 1. Introduction

Breast cancer is the most common cancer in women. It is the second-highest prevalence of cancer in the world, which was found in 2.1 million people (11.6%) with 626,679 deaths (6.6%), based on the International Agency for Research on Cancer data in GLOBOCAN (Global Cancer Statistics) in 2018 (Bray et al., 2018). About 1 of 5 women with breast cancer have the Human Epidermal Growth Factor Receptor (HER)-2 protein on the surface of their cancer cells. It is known that HER-2-positive breast cancer has more aggressive tumor phenotypes. Unfortunately potential therapeutics including trastuzumab, lately developed therapeutic resistance which lead to therapeutic failure.

Allicin as the main active compound of single garlic contains quite high sulfur. Some studies revealed that Allicin exhibits not only as an antioxidant but also as antibacterial and anticarcinogenic (Nikolic *et al.*, 2004). Several sulfur-containing antioxidants are known to provide benefits in inhibiting cancer progression, one of which is N-Acetylcysteine (NAC). It is said that NAC has an anti-tumor effect on Human Epidermal Growth Factor Receptor (HER-2) protein of breast cancer (Wang and Xu, 2019; Wimana *et al.*, 2017). Based on this fact, we predict that Allicin has the same potential effect in inhibiting HER-2 positive breast cancer as NAC. Unfortunately, there is still no study about this recently.

This study aimed to compare the potential of Allicin and NAC in inhibiting HER-2 positive breast cancer. In addition to their potency, NAC and Allicin compounds will be tested for their pharmacokinetic properties consisting of absorption, distribution, metabolism, and excretion (ADME) along with the toxicity properties using the pkCSM online tool.

This study began with downloading HER-2 receptor from Protein Data Bank with ID code: 3PP0 and native

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ligand 03Q. Prediction using Molegro Virtual Docker was carried out by re-docking between the HER-2 receptor and the native ligand. The docking method is valid if Root Mean Square Deviation (RMSD) is equal to or less than 2 Å. Allicin and NAC were docked alternately to target protein using Molegro Virtual Docker. The results achieved were in the form of Rerank score, which explains the energy required for binding of the compound (ligand) to the receptor. A comparison of scores between Allicin and NAC was carried out, in which a higher Rerank score indicated that the required binding energy between ligand and receptor was greater, which means that the interaction was less stable than the lower score (Kufareva and Abagyan, 2012).

Before the docking process is commenced, it is necessary to check the properties of the ligands using the pkCSM online tool. In addition, Allicin and NAC compounds will also be tested for their pharmacokinetic properties consisting of absorption, distribution, metabolism, and excretion (ADME) along with compounds' toxicity properties using the pkCSM online tool (Pires *et al.*, 2015).

#### 2. Materials and Methods

### 2.1. Tools

This study uses a set of computers with Windows 10 specifications, 64 bit. The programs used in this study are ChemDraw Professional 16.0, Chem 3D 16.0, and Molegro Virtual Docker 5.

### 2.2. Ligand and Receptor Preparation

Allicin was used as the ligand tested in this study, and its potency will be compared with N-Acetylcysteine (NAC). The structures of Allicin and NAC were downloaded from https://pubchem.ncbi.nlm.nih.gov/. The 2-dimensional structure of both ligands was redrawn using ChemDraw Professional 16.0 and converted to a 3dimensional structure using Chem3D 16.0. The structure obtained in Chem3D determined the most stable conformation and the minimum energy. Data is stored in the form mol2 {SYBYL2(\*.mol2)}.

The receptor used in this study is HER-2 and its 3dimensional structure obtained from https://www.rcsb.org/structure/3PP0 with ID code: 3PP0. The downloaded results show that HER-2 binds to the native ligand 03Q. Data is saved in PDB format. By using Molegro Virtual Docker 5, cavity detection is carried out on the receptor. The cavity in which there is an active native ligand 03Q is used as a docking location between the receptor and the ligand.

### 2.3. Molecular Docking and Compound Potential Prediction

The first step that needs to be done in predicting the potential of a compound is to validate the molecular docking method by re-docking between the receptor and the native ligand. It is indicated by the Root Mean Square Deviation (RMSD) value. If the RMSD is equal to or less than 2 Å, then it can be continued with the docking process between the receptor and both ligands (Purnomo, 2013; Nauli, 2014). Prediction of Allicin and NAC potential activity on HER-2 protein is in the form of Rerank score. A lower score indicates that the energy required for ligand

and receptor interaction is smaller, so that the bond is more stable, and vice versa.

The amino acid residues was formed and observed in the interaction between the receptor and the ligand. These bond interactions can be in the form of hydrogen bonds, steric interactions, and electrostatics.

### 2.4. Prediction of Pharmacokinetic and Physicochemical Properties and Compounds' Toxicity

The physicochemical properties of Allicin and NAC were predicted using the pkCSM online tool. These properties include molecular weight (BM), Log P, number of rotating atomic bonds (Torsion), number of hydrogen bond acceptors (HBA), number of hydrogen bond donors (HBD), and Polar Surface Activity (PSA). Compliance to Lipinski's rule consisting of a molecular weight of fewer than 500 Daltons, hydrogen bond donors less than 5, log P value of less than 5, and hydrogen bond acceptor number of less than 10 is required for docking preparation (Lipinski *et al.*, 2001).

Prediction of pharmacokinetic parameters and toxicity properties were performed using the pkCSM online tool. Using ChemDraw Professional 16.0 program, the 2dimensional structure of the Allicin Compound and NAC was drawn. Then it converted into a 3-dimensional structure using Chem3D 16.0 program. The 3-dimensional image was then saved in the form of \*.sdf file. The structure obtained was translated into a SMILES structure with the help of the Online SMILES Translator (https://cactus.nci.nih.gov/translate). After that, the compounds were processed with the pkCSM online tool (http://biosig.unimelb.edu.au/pkcsm/prediction) for predicting the pharmacokinetic properties and toxicity tests by inputting the compound's SMILES structure into the program (Pires et al., 2015).

### 3. Results

### 3.1. Ligand and Receptor Preparation

The 2-dimensional structures of the ligands are shown in Figure 1. The image was converted into 3-dimensional structure using Chem3D 16.0. The results obtained conform to the most stable form with the least energy for optimization of the compound structure. The data obtained is kept in the form mol2 {SYBYL2(\*.mol2)}. The results of optimization of both compounds can be seen in Figure 2.



Figure 1. 2D Structure (a) Allicin; (b) N-Acetylcysteine (NAC)



Figure 2. 3D Structure with Optimization (a) Allicin (b) *N*-*Acetylcysteine* 

The HER-2 protein was downloaded through the Protein Data Bank with ID code: 3PP0, which has 03Q as the native ligand. After downloading the receptor, the cavity was detected using Molegro Virtual Docker. The compound-complex in the cavity is shown in Figure 3.



Figure 3. HER-2 complex (3PP0) with native ligand 03Q in the cavity

Before docking process, it is necessary to undergo redocking process between the receptor and the native ligand in order to know the validation docking method. The redocking process showed 1,695Å for RMSD result (Lipinski *et al.*, 2001). On the other hand, the re-docking process between native ligand 03Q and HER-2 receptor showed quite low energy, with rerank score of -165,051 Kcal/mol. The interaction of the HER-2 receptor with the native ligand 03Q is shown in Figure 4. Figure 6 and Table 1 showed the amino acid residues formed during the interaction, and those are:

- 1. Hydrogen bond: Asp863, Thr862, Met801, Ser728
- 2. Steric bond: Asp863, Met774, Met801, Ala751

Since molecular docking has shown valid results, docking was then carried out between the receptor with the test and comparison ligands. The interaction between HER-2 receptor and the Allicin and NAC ligands is shown in Figures 5(a) and 5(b).

1. Interaction between HER-2 receptor and Allicin

The prediction of the required binding energy in the interaction between the HER-2 receptor and the Allicin is shown in Table 2. It is predicted that the affinity between Allicin and HER-2 receptor is -62.1239 Kcal/mol. The amino acid residues formed during the interaction process between Allicin and the receptor are shown in Figure 6 and Table 1, and the residues are Thr798 and Ser783 for hydrogen bond and Leu785 for steric bond.

2. Interaction between HER-2 receptor and NAC

The prediction of the required binding energy in the interaction between the HER-2 receptor and the NAC is shown in Table 2. It is predicted that the affinity between NAC and HER-2 receptor is -65.8084 Kcal/mol. The amino acid residues formed during the interaction process between NAC and the receptor are shown in Figure 6 and Table 1, and the residues are hydrogen bonds only and those are Asp863, Thr862, Thr798, and Ser783.

**Table 1.** Amino Acid Residues formed in the interaction between the HER-2. Receptor and the Ligand

Ligand	Hydrogen Bond	Steric Bond	Electrostatic Bond
03Q	Asp863, Thr862, Met801, Ser728	Asp863, Met774, Met801, Ala751	-
Allicin	Thr798, Ser783	Leu785	-
NAC	Asp863, Thr862, Thr798, Ser783	-	-

 Table 2. Prediction of bond energy between receptor and ligand lig

Ligand	Rerank score
03Q	-165,051
Allicin	-62,1239
NAC	-65,8084



Figure 4. HER-2 Receptor Interaction with native ligand 03Q



Figure 5. HER-2 Receptor Interaction with ligand (a) Allicin (b) NAC





Figure 6. Receptor Interaction with Ligand and Amino Acid Residue formed (a) 03Q (b) Allicin (c) NAC

# 3.2. Prediction of Pharmacokinetic, Physicochemical, and Toxicity of Compounds

#### 1. Allicin

Compliance with Lipinski's rule for Allicin was shown in Table 3. The analysis shows that Allicin has low molecular weight, hydrogen bond donors less than 5, log P value of less than 5, and hydrogen bond acceptor number of less than 10.

Prediction of pharmacokinetic properties and toxicity of Allicin is shown in Table 4. The intestinal absorption of Allicin is 96,299% and the skin permeability is -1,877 log Kp. The volume distribution of Allicin is -0,045 log L/kg, and its blood-brain barrier penetration properties are 0,506 logBB. Allicin is also neither a substrate nor inhibitor of CYP3A4.

The ability of Allicin to be excreted from the body, either through the liver or kidneys, was shown by the total the clearance of Allicin, which is 0,714 log ml/min/ kg. According to the results, Allicin is not renal Organic Cation Transporter (OCT)-2.

**Table 3.** Prediction test results of physicochemical properties of Allicin and NAC. compounds

	Allicin	NAC
BM	162,279	163,198
Log P	1,7553	-0,4945
Torsion	5	3
HBA	2	3
HBD	0	3
$PSA(A^2)$	62,082	64,021

BM = Molecular Weight; Log P = Octanol logarithm / water partition coefficient; HBD = hydrogen bond donor; PSA = Polar Surface Area; HBA = hydrogen bond acceptor

Another safety profile that must be considered is the mutagenic ability of the drug candidate. This can be seen from the AMES toxicity test (Mortelmans and Zeiger, 2000). Table 4 shows that Allicin is not mutagenic.

The safety of drug administration in experimental animals is known from the LD50. LD50 is the dose given to animals that produce 50% of animal death in the population within a certain time (Chan *et al.*, 1989). Table 4 shows that the LD50 of Allicin is 2.366 mol/kg

**Table 4.** Pharmacokinetic prediction test results (ADME) of

 Allicin and NAC

ADME & Toxicity	Allicin	NAC
Intestinal Absorption (human) (%)	96,229	77,922
VDss (human) (log L/kg)	-0,045	-1,355
Skin Permeability (log Kp)	-1,877	-2,735
Blood Brain Barrier Permeabelity (log BB)	0,506	-0,355
CYP3A4 subtrate (Yes/ No)	No	No
CYP3A4 inhibitor (Yes/ No)	No	No
Renal OCT2 substrate (Yes/ No)	No	No
Total Clearance (log ml/ min/ kg)	0,714	0,309
AMES Toxicity (Yes/ No)	No	Yes
LD50 (mol/ kg)	2,366	1,626

VDSS = Volume Distribution *Steady State*; CYP3A4 = Cytochrome P3A4; Renal OCT2 = Renal *Organic Cation Transporter* 2 2. N-Acetyl Cysteine (NAC)

As with Allicin, NAC shows good compliance with Lipinski's rule. As shown in Table 3, NAC has low molecular weight, hydrogen bond donors less than 5, log P value of less than 5, and hydrogen bond acceptor number of less than 10.

Prediction of pharmacokinetic properties and toxicity of NAC is shown in Table 4. Intestinal absorbtion of NAC is 77,992%, and the skin permeability is -2,735 log Kp. Volume distribution of NAC is -1,355 log L/kg and the blood-brain barrier penetration properties is -0,355 logBB. NAC is also neither a substrate nor inhibitor of CYP3A4.

The total clearance of NAC is 0,309 log ml/min/ kg. According to the results, NAC is not renal Organic Cation Transporter (OCT)-2.

The mutagenic ability of NAC from AMES toxicity test shows positive results, which means NAC is a mutagenic drug (Mortelmans and Zeiger, 2000).

The safety of drug administration in experimental animals for NAC is 1,626 mol/kg as shown in Table 4.

### 4. Discussion

HER-2 positive type breast cancer is a quite aggressive breast cancer type. Strong positive HER-2 expression also indicates a high risk of breast cancer relapse. HER-2 itself is a transmembrane protein encoded by the gene c-ERBB-(Her-/neu) located on chromosome 17 and it is an ideal target for targeted therapy in breast cancer (Ferrero *et al.*, 2000).

Because of the same sulfur-containing structure, in this research, we used Allicin as the test ligand, and NAC as the comparison ligand to be docked to the HER-2 receptor. Both chemical structures are shown in Figures 1 and 2.

The predictive physicochemical properties test in Table 3 shows that both ligands meet Lipinski's rules. It means that both of them have met the criteria of drug-likeness and both ligands have high permeability properties and are easily absorbed by the body (Purnomo, 2013).

The result of re-docking process between native ligand 03Q and HER-2 receptor showed the lowest energy, with rerank score of -165,051 Kcal/mol. On the other hand, Allicin showed the highest energy (-62.1239 Kcal/mol). It is shown in Table 2. The result obtained by Allicin is less stable than other ligands. However, the energy required for the bond between Allicin and NAC on HER-2 is not significantly different.

In the ligand and receptor interaction, amino acid residues are formed, both in hydrogen bonds, steric and electrostatic interactions. This is as shown in Figure 6 and Table 1, which there are similarities in the amino acid residues formed in the hydrogen bonds of native ligand and NAC, namely Asp863 and Thr862. However, there was no similarity of amino acid residues formed between Allicin and the other two ligands. This indicates that NAC has more similar activity with the native ligand on the HER-2 receptor, compared to Allicin (Cosconati *et al.*, 2010).

The pharmacokinetic properties and toxicity of the two ligands are shown in Table 4. From these results, it can be concluded that Allicin has better intestinal absorption (96.2%) compared to NAC (77.9%). However, both compounds are generally well absorbed. A compound is said to have good intestinal absorption if its absorption ability reaches > 80%, and bad if its ability is < 30% (Chander *et al.*, 2017).

For absorption through the skin, Table 4 above shows that Allicin has lower skin permeability than NAC, where the log Kp value of Allicin is -1.877 and NAC is -2.735. This is following what was stated by Pires et al (2015), that the drug has low skin absorption if the log Kp value is > -2.5 (Pires *et al.*, 2015).

Drug distribution throughout the body is needed to increase the drug's effectiveness. This is expressed from VDss value, where a higher value of VDss means there is more drug distributed into the network. A compound is said to have a low VDss if the value is <-0.15 and high if it > 0.45 (Tedjamartono *et al.*, 2020). The results in Table 4 above show that Allicin has a better volume of distribution than NAC, where the Allicin VDss reaches -0.045, while NAC is -1,355.

Breast cancer with HER-2 overexpression is a very aggressive cancer type with a higher risk for brain metastases (Zhou, 2008). Therefore, the most ideal HER-2 positive breast cancer drug is one that can penetrate the blood-brain barrier. According to Pires et al. (2015), a drug is said to have good penetration inside the brain if the log BB value reaches > 0.3. On the contrary, it would have poor brain penetration if the BB log value reaches < -1 (Pires *et al.*, 2015). From Table 4 above, it can be concluded that both compounds have good blood-brain barrier penetration properties, but Allicin has a higher ability to penetrate the blood-brain barrier than NAC.

Drug metabolism process needs Cytochrome P450 (CYP450) super enzymes. CYP450 enzymes are essential for the metabolism of many medicines and endogenous compounds. There are seventeen CYP families recognized in humans, including CYP3A4 as the most abundant and important subfamily of the CYP isoforms in the liver. It contributes to bile acid detoxification, the termination of action of steroid hormones, and elimination of phytochemicals in food and the majority of medicines (Šrejber et al., 2018). Drugs that can inhibit this enzyme are called CYP3A4 inhibitors. On the contrary, drugs that can induce cytochrome P450 are referred to as CYP3A4 substrates. Drugs that inhibit CYP3A4 will result in decreased drug metabolism and increased drug levels in the blood. However, drugs that can induce this enzyme will result in increased drug metabolism and consequently decrease the drug levels in the blood (Aslam et al., 2003). Table 4 shows that both Allicin and NAC are neither inducers nor inhibitors CYP3A4, so they are safe when they were given together with other drugs that affect this enzyme activity.

Total clearance indicates the ability of the drug to be excreted from the body, either through the liver or kidneys (Belzer *et al.*, 2013). Table 4 shows that the clearance of Allicin is slower than that of NAC, so Allicin stays in the body longer than NAC.

Organic Cation Transporter (OCT)-2 is a transporter in the kidney that functions to increase drug uptake from the blood, then passes through the basal membrane of kidney, reaches the proximal tubular cells, and waste from the body. OCT-2 substrate has the capability to cause side effects when given along with OCT2 inhibitor (Zhou,2008). According to predictive pharmacokinetic properties, it was found that both Allicin and NAC are not renal OCT2 substrates, so they are safe when given together with OCT2 inhibitors.

Another safety profile that must be considered is the mutagenic ability of the drug. This can be seen from the AMES toxicity test (Mortelmans and Zeiger, 2000). Table 4 shows that Allicin is not mutagenic, while NAC is mutagenic.

The safety of drug administration in experimental animals is known from the LD50. LD50 is the dose given to animals that produce 50% of animal death in the population within a certain time (Chan *et al.*, 1989). Table 4 shows that the LD50 of Allicin is 2.366 mol/kg and NAC is 1.626 mol/kg. Thus, Allicin has a higher safe dose limit than NAC.

### 5. Conclusion

In-silico study showed that the Allicin compound of single garlic (Allium Sativum) relatively has the same ability to NAC as an anti-tumor in HER-2 positive breast cancer. Moreover, Allicin also has a fairly good pharmacokinetic profile, such as intestinal absorption, volume distribution, the ability to penetrate blood brain barrier, and the safety of combining drugs, especially drugs that affect CYP3A4 activity or when it is given together with OCT2 inhibitor drugs. Moreover, Allicin stays longer in the human body, with no mutagenic effect and lesser animal death in experimental studies. Nevertheless, in vitro and in vivo studies should be conducted to ensure the results of this study.

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# Oxidative and Histological Effects of Herbicide Glufosinate-Ammonium and Cyanobacteria Extracted Anatoxin-a on Land Snails *Monacha cartusiana*

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### Abstract

Pesticides are widely used in a variety of applications, resulting in significant discharge into the terrestrial environment. Hence, the present study was performed to evaluate the effect of two pesticides (Glufosinate-ammonium and Anatoxin- a) on biochemical parameters, oxidative stress, nuclear factor kappa B (NF-KB) activity, and histopathology of the digestive gland of the land snail *Monacha cartusiana*, which is often employed as a bioindicator of terrestrial pollution. There are no further studies on the effect of *Anabaena flos-aquae* extract on land snails. The results showed that  $LC_{50}$  of Glufosinate-ammonium and Anatoxin-a after exposure to land snail *Monacha cartusiana* for 96 hrs were 66.6 and 5.3 mg L<sup>-1</sup>, respectively.  $LC_{50}$  values reveal the potential activity of Anatoxin-a than Glufosinate-ammonium against the tested snails. Within four days of exposure to glufosinate-ammonium, there were no deaths among treated snails. After the second day of exposure to Anatoxin-a, mortality percentages appeared and gradually rose with increasing concentrations and exposure time. After 28 days of exposure to LC<sub>25</sub> of tested pesticides, AST, ALT, ALP, MDA, CAT, GPX, and GST levels increased while TAC levels were decreased. NF-KB immunopositivity was much more severe in the cells of tested snails treated with Anatoxin-a than Glufosinate-ammonium. Histopathological examination of the digestive gland showed that sublethal concentration of Glufosinate-ammonium produced excessive secretion and vacuolation. Anatoxin-a induced severe cellular damage in digestive tubules. In conclusion, two pesticides caused alterations in oxidative stress, NF-KB activity, and histological nature of *M. Cartusiana* that confirmed the toxicity of both pesticides for the living species in the terrestrial environment.

Keywords: Monacha cartusiana; Glufosinate-ammonium; Anatoxin-a; Oxidative stress; NF-KB activity; Histopathological changes.

### 1. Introduction

Environmental contamination is a recurring issue as well as an undesirable byproduct of human activity (Desouky *et al.*, 2013). An increasing human population necessitates increased agricultural productivity, which may need the usage of industrially generated chemicals, such as pesticides. These compounds are beneficial in certain ways, but they frequently have negative effects on the environment and, as a result, endanger human health (Stara *et al.*, 2018). Pesticides are undeniably a source of public concern due to their propensity for movement from one environmental compartment to another, as well as their effects on non-target biota. In Egypt, the widespread usage of pesticides in agricultural areas is commonplace. The application of these contaminants may cause hazards to non-target organisms (Klassen, 1986).

Herbicides, which are used to control weeds, comprise a diverse group of chemical products. One of the most often utilized herbicides in natural areas is Glufosinateammonium. Due to its high crop safety, ability to inhibit the synthesis of amino acids required for protein formation in vulnerable plants, and potential to be rapidly degraded in the soil, Glufosinate-ammonium is a highly effective

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herbicide used to control weeds (Hack et al., 1994; David et al., 2010).

The most studied classes of cyanotoxins are microcystins, nodularin, saxitoxins, cylindrospermopsin, and anatoxins. The literature on their environmental prevalence, biosynthesis, characteristics, and health importance evaluated was published after 2000 (Svircev et al., 2019). Cyanobacterial neurotoxins are divided into three classes (anatoxin-a, homoanatoxin, and saxitoxins) based on their structure: alkaloids. Neurotoxicity mechanism includes: anti acetylcholinesterase activity, anti-phosphatase activity, postsynaptic cholinergic agonist action (Metcalf and Codd, 2004), and protein kinase C activators are some of the mechanisms of neurotoxicity (Fujiki et al., 1990). Moreover, Cyanotoxins like organophosphorus insecticides cause toxicity by irreversibly inhibiting acetylcholinesterases (Metcalf and Bruno, 2017). Anatoxin-a is derived from cyanobacteria Anabaena flos-aquae and may play an important role in insect control (Saber et al., 2018). In addition to toxigenic cvanobacteria or particular cvanotoxins, there are some indications of relevant exposure to environmental health problems in animal poisoning occurrence (Krienitz et al., showed 2005). Anatoxin-a promising larvicidal activity against some insects such as mosquitoes (Costa et al., 2019).

Terrestrial snails are helpful models for assessing the risk of metals and pesticides in soil (Scheifler et al., 2003; Laguerre et al., 2009). They may be exposed to three sources of pollution: soil, plants, and air. Land snails are a model organism for ecotoxicological suitable investigations of pollutant-induced alterations and are frequently utilized as a bioindicator of soil contamination because they can accumulate and respond to both organic and inorganic substances of various origins at the organism and cellular levels (Regoli et al., 2005). Monacha cartusiana (Phylum: Mollusca, Class: Gastropoda, Muller 1774) is a land snail found throughout a wide geographical range in the Mediterranean and Southeastern Europe (Pieńkowska et al., 2019). In Egypt, M. cartusiana snails infest various economic crops with a high occurrence and population density (Lokma, 2013).

Glufosinate-ammonium and Anatoxin-a in animal tissues have been studied in only a few research studies. Therefore, the goal of the current study was to scrutinize the impacts of Glufosinate-ammonium and Anatoxin-a on the biochemical parameters, oxidative stress, NF-KB activity, and histopathology of the digestive gland of the land snail *M. cartusiana* and to censoriously assess its utility as a bioindicator for Glufosinate-ammonium and Anatoxin-a toxicity in the terrestrial environment.

### 2. Materials and Methods

### 2.1. Chemicals

Glufosinate ammonium was obtained from Sigma-Aldrich (Germany). Different concentrations of Glufosinate ammonium ( $C_5H_{15}N_2O_4P$ ) were freshlyprepared daily. It is a liquid form with the commercial name "Basta". Percentage of Glufosinate ammonium equals 40% w/v, and inert ingredients equal 60 % w/v. One liter of Glufosinate ammonium equals 400 g of active ingredient (400 g/l). The stock solution was prepared by adding 2.5 ml (=1 gram) of Glufosinate ammonium and was completed to1L by adding distilled water to give following concentrations (30, 60, 90 and 120 mg.L<sup>-1</sup>)

### 2.2. Preparation of Cyanobacterial extract

The alga Anabaena flos-aquae was obtained from Prof. Dr. Yassin El-ayouty at Zagazig University's Phycology Lab. Anabaena flos-aquae was grown on BG-11 medium (Stanier et al., 1971). The algal culture was maintained at  $25^{\circ}C \pm 2^{\circ}C$ , under white fluorescent illumination of 120  $\mu Em^{-2}s^{-1}$  provided by fluorescent tubes. The culture was aerated with air current and subjected to photoperiod of light: dark (16:8hrs). A pH meter was used to adjust the pH of the medium to 7.4 using 0.1N HCl and NaOH. At the mid-logarithmic phase, algal cells were harvested by centrifugation at 10,000 rpm (4°C) for 15 minutes using Multi-tube under cooling centrifuge (Vision SCIENTIFIC CO., LTD., South Korea), washed three times with sterile distilled water, and air-dried. Anatoxin-a was extracted from Anabaena flos-aquae using the modified method (Harada et al., 1993).

Approximately 10 grams of dried algal cells were extensively grounded in 5 ml methanol and 50 mM acetic acid, agitated for 24 hours at 150 rpm in dark, and the supernatant was collected by centrifuging at 8000 rpm.

The extraction was carried out three times. The solution's pH was raised to 7.7 by adding 7% ammonium hydroxide. The extract was dried on a vacuum evaporator and recorded as the yield of crude extract. The sample was dissolved in water to finally achieve stock concentration of 2 g.L<sup>-1</sup> and stored in darkness at 4°C. Extraction with 50 mM acetic acid-methanol was determined to be the most successful since it yielded better recovery and allowed less pigment to be extracted from cell pellets (Harada et al., 1989). The sample was determined using a T80, UV/VIS Spectrophotometer within the wavelength range of 200-400 nm. The extract had a single absorption peak at 225 nm (Figure 1) which may give indication for the presence of Anabaena toxin. A single absorption peak of anatoxin-a at 225 nm was confirmed by AL-Sultan and Aubaed (2017) and Gugger et al. (2005).



Figure 1. Ultraviolet spectrum of Anabaena extract

### 2.3. Collection and acclimatization of snails

Adult *M. cartusiana* snails (Muller, 1774) ( $8 \pm 0.4$  mm lengths,  $10.3 \pm 0.6$  mm width, and  $1.6 \pm 0.1$  g weights) were gathered by hand from fields cultured with Egyptian clover at Alashraf village, Zagazig District, Sharkia Governorate, Egypt, during September 2020. The gathered snails were taken to the laboratory and maintained in glass boxes ( $40 \times 30 \times 30$  cm) filled with moist soil of about 10 cm height and covered with a nylon-mesh covering. They nourished on fresh lettuce leaves every day for a week. The tested snails were housed at  $22^{\circ}C\pm 3^{\circ}C$  and a humidity of 80-90%.

### 2.4. Toxicity test and Experimental design

The tested snails were categorized into three groups. The first group is the control group (n=10), whereas the second group was divided into two subgroups (each subgroup is pooled of 40 snails, 10 snails for each concentration of two pesticides) the first subgroup treated with four concentrations of Anatoxin-a (2, 4, 6, 8 mg/l) and the 2<sup>nd</sup> subgroup exposed to Glufosinate-ammonium concentrations (30, 60, 90, 120 mg/l) for five days for the toxicity test. The toxicity test occurred using the poisonous bait technique. Each concentration was combined with 5 grams of sugarcane syrup. The mixture was then completed with wheat bran to 100 grams and humidified with little quantities of water. The LC<sub>50</sub> was calculated using three replicates per concentration. Tested snails were investigated by a stainless steel needle (WHO, 1965), and mortality percentages were counted after 24, 48, 72 and 96 days treatments. The third group was fed baits comprising LC<sub>25</sub> of the used pesticides for 28 days for biochemical, oxidative stress, immune-histochemical and histological investigations. The ethical guidelines for animal experiments stated in the Declaration of Helsinki were followed, approval number 55.

### 2.5. Biochemical and oxidative stress biomarkers

Snail shell was detached, and the foot muscle was isolated under ice  $(4^{0}C)$ . 0.5gm of the digestive gland of unexposed and LC<sub>25</sub>-exposed snails for 28 days were dissected out and homogenized in 10mL of ice-cold 0.05M Phosphate buffer saline. The homogenates were centrifuged at 8000 rpm at 5 °C, and the supernatants were kept at - 80 °C. Alanine aminotransferase (ALT) and Aspartate aminotransferase (AST) were analyzed according to Breuer (1996). Assay of alkaline phosphatase (ALP) was carried out according to Moss (1982). Malondialdehyde (MDA), total antioxidant capacity (TAC), Catalase (CAT), Glutathione peroxidase (GPX), and glutathione s-transferase (GST) were analyzed according to the corresponding assay kit protocol (Sat 450, AMS, Italy).

### 2.6. Immunohistochemical studies

Paraffin sections (5 µ) were mounted on positively charged glass slides (Biogenex, USA). The slides were placed in an open plastic container with enough antigen retrieval solution to completely cover them (Citrate buffer solution, pH 6). Slides were put in a microwave oven (Samsung 800 Watts) at power 10 for 5 minutes. The slides were then washed several times in deionized water before being immersed in PBS for 5 minutes. Endogenous peroxidase blocking reagent comprising hydrogen peroxide and sodium azide was used to incubate tissue sections (DAKO peroxidase blocking reagent, Cat. No. S 2001). Except for the tissue portion, the excess buffer was blotted off and the slides were dried. The sections were then treated with one to two drops of a supersensitive primary monoclonal antibody [against Nuclear Factor Kappa B (NF-B)]. For 60 minutes, slides were incubated horizontally in a humid chamber at room temperature. The slides were washed for 5 minutes after the excess reagent was thrown away. In two successive phosphates buffer

saline jars (PBS). 1-2 drops of the ready-to-use DAKO EnVision + system were applied for 20 minutes at room temperature after blotting excess buffer. The sections were rinsed with PBS as before and blotted. DAB (diaminobenzidine) was used as a chromogen and counterstained with Mayer's hematoxylin. NFkB immunoreactivity was evaluated under light microscopy in terms of severity (Eissa and Shoman, 1998).

### 2.7. Histological examinations

Both control and treated digestive glands of the snail were dissected out and fixed in Formalin. Specimens were dehydrated in ascending series of ethanol concentrations, and then cleaned in Xylene for 20 minutes before being embedded in paraffin wax. Sections (4–5 m thick) were cut, mounted, and stained with Eosin and Hematoxylin.

### 2.8. Statistical analysis

All results were executed using SPSS version 20 (SPSS, Richmond, VA, USA). One-way ANOVA followed by Duncan's multiple range tests as a post-hoc test was used to compare the significant differences among treatments at P < 0.05. Probit analysis was used to determine  $LC_{50}$  by the graphic way of the curve dose-effect according to Finney (1971).

### 3. Results

### 3.1. Toxicity test

Table 1 showed that glufosinate-ammonium exposure resulted in no deaths among exposed snails within four days. After the second day of Anatoxin-a exposure, mortality percentages occurred. With increasing concentrations of tested pesticides and exposure periods, mortality percentages increased. The  $LC_{50}$  values of Anatoxin-a and Glufosinate-ammonium were 5.3 and 66.6 mg/l, respectively, whereas  $LC_{25}$  were 1.33 and 16.65 mg/l, respectively. Anatoxin-a had a toxicity index of 100%, whereas Glufosinate-ammonium had a toxicity index of 7.9% (Table 2).

**Table 1**. Effect of different concentrations of Glufosinate-ammonium and Anatoxin- a on mortality percentages of *M. cartusiana* at different exposure periods.

Tested pesticides	Time	Percentage mortality (%)			
	Conc.	24 hr.	48 hr.	72 hr.	96 hr.
Glufosinate-ammonium (mg.L <sup>-1</sup> )	30	0±0.00	0±0.00	0±0.00	0±0.00
	60	$20\pm 5.8$	20±5.8	26.7±3.3	40±0.00
	90	56.7±3.3	70±0.00	70±0.00	76.7±3.3
	120	66.7±3.3	86.7±3.3	$100\pm0.00$	100±0.00
Anatoxin- a (mg.L <sup>-1</sup> )	4	0±0.00	23.3±3.3	30±0.00	36.7±3.3
	6	40±0.00	46.7±6.7	46.7±6.7	50±0.00
	8	$50\pm0.00$	63.3±3.3	66.7±3.3	70±0.00
	10	83.3±3.3	$100 \pm 0.00$	100±0.00	100±0.00

- Data are represented as means of samples ±SE. Concentration (Conc.)

**Table 2.** Lethal toxicity values, sublethal concentrations, and toxicity index of Glufosinate ammonium and Anatoxin-a against *M. cartusiana* under laboratory conditions.

Tested pesticide	LC <sub>50</sub> (mg.L <sup>-1</sup> )	LC <sub>25</sub> (mg.L <sup>-1</sup> )	Toxicity index (%)	Slope
Glufosinate-ammonium	66.6	16.65	7.9	7.81±0.73
Anatoxin-a	5.3	1.33	100	4.54±0.49

3.2. Biochemical biomarker in clover snail M. cartusiana exposed to  $LC_{25}$  of two tested pesticides.

Table 3 shows that after 28 days of exposure, ALT, AST, and ALP levels in clover snails treated with Glufosinate-ammonium were significantly increased (P < 0.05) by 20.7%, 19.7%, and 144.5% while the exposure of clover snails to Anatoxin-a caused a significant increase by 56.9%, 91.2%, and 192.6% as compared with the control groups.

**Table 3.** Physiological parameters of *M. cartusiana* treated with LC<sub>25</sub> of Glufosinate-ammonium and Anatoxin-a for 28 days.

Pesticides	ALT (U/l)	AST (U/l)	ALP (U/L)
Control	$23.2\pm0.6^{a}$	$28.4{\pm}0.50^{a}$	136.0±6.0 <sup>a</sup>
Glufosinate- ammonium	28.0±0.9 <sup>b</sup>	34.0±0.95 <sup>b</sup>	332.5±2.5 <sup>b</sup>
Anatoxin-a	$36.4 \pm 0.55^{\circ}$	$54.3{\pm}1.25^{c}$	398±20°
F-value	91.44	200.18	126.11
P-value	0.002	0.001	0.001

Each value is mean of 3 samples  $\pm$  SE -Means with different alphabetical superscripts at each column among tested pesticides are significantly different at P < 0.05 (one way ANOVA and subsequent post hoc multiple comparisons with Duncan's Multiple Range Test).

# 3.3. Oxidative stress and antioxidant biomarkers in clover snail M. cartusiana exposed to LC25 of two tested pesticides.

Table 4 shows that after 28 days of exposure, MDA, CAT, GPx and GST levels in clover snails treated with Glufosinate-ammonium were significantly increased (P<0.05) by 32.3%, 77.1% ,14.5% and 49.4% while the exposure of clover snails to Anatoxin-a caused a significant increase by 310.1%, 95.8%, 78.9% and 182.4% compared by the control groups. TAC levels in clover snails treated with Glufosinate-ammonium were significantly decreased (P<0.05) by -23.5% while the exposure of clover snails to Anatoxin-a caused a significant decrease by -80.9% compared by the control groups.

Table 4. Oxidative stress an	d antioxidant biomarkers	s of <i>M. cartusiana</i> tr	reated with LC25 of tested	pesticides for 28 days
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Pesticides	MDA (nmol)	TAC (µmol/l)	CAT (U/g)	GPX (U/g)	GST(U/g)
Control	$14.4{\pm}1.2^{a}$	2.30±0.34 <sup>a</sup>	$0.48\pm0.02^{a}$	$0.76\pm0.04^{b}$	1.76±0.35 <sup>a</sup>
Glufosinate-ammonium	19.1±2.1 <sup>a</sup>	1.76±0.22 <sup>b</sup>	$0.85 \pm 0.11^{b}$	$0.87 \pm 0.03^{b}$	$2.63 \pm 0.67^{a}$
Anatoxin-a	$59.05 \pm 9.55^{b}$	$0.44 \pm 0.11^{b}$	$0.94{\pm}0.05^{b}$	1.36±0.16 <sup>b</sup>	4.97±0.93 <sup>b</sup>
F-value	18.61	16.63	27.42	10.86	14.16
P-value	0.02	0.02	0.01	0.04	0.03

Each value is mean of 3 samples  $\pm$  SE -Means with different alphabetical superscripts at each column among tested pesticides are significantly different at P < 0.05 (one way ANOVA and subsequent post hoc multiple comparisons with Duncan's Multiple Range Test).

### 3.4. NF-kB immunoreactivity

NF-kB immunopositivity was observed in the digestive gland cells of clover snail *M. cartusiana*. NF-kB positivity was detected in the perinuclear areas of cells of digestive

tubules (Plate 1 B, C, and D) of exposed snails compared to the control groups (plate 1 A). Immunopositivity was much more severe in snails treated with Anatoxin-a than Glufosinate-ammonium.



**Plate 1.** Nuclear factor kappa B staining of snail digestive gland. (A) NF-kB staining of digestive gland of control snails. (B) NF-kB staining of digestive gland of snail exposed to  $LC_{25}$  Glufosinate-ammonium after 28 days of exposure (arrows refer to NF-kB immunopositivity). (C and D) NF-kB staining of digestive gland of snail exposed to  $LC_{25}$  Anatoxin-a after 28 days of exposure (arrows refer to NF-kB immunopositivity). Magnification power (400X).

# 3.5. Histological changes of the digestive gland of M. cartusiana

The digestive glands comprise branched blindly ending follicles circumscribed by connective tissue (Plate 2A). Each follicle of the digestive gland is encircled by a thin layer of circular muscle fiber. The cells constituting the wall of each tubule are predisposed around an irregular lumen. Investigation of such cells demonstrated the presence of three types of cells digestive, excretory, and calcium cells (plate 2B). The digestive cells are the most plentiful cell type and fill most of the volume of each tubule. The excretory cells are less abundant and more significant than digestive cells, and cytoplasm of these cells is occupied by large vacuole having one or more yellow-brownish secretory granules. The calcium cells are the least abundant compared to the digestive and excretory cells, and their nuclei are situated at the basal half of the cell and are spherical ovoid in shape (plate 2A). After exposure to Glufosinate-ammonium, mild histological abnormalities such as mild tubular disruptions, excessive

luminal secretion, and vacuolation (plate 2C), excretory cells showed an increase in the number and size of brownish-yellow granules (plate 2 D).

Anatoxin-a caused an increase in the number of vacuoles in the tubular epithelial cells of the digestive gland of snails and resulted in a widening of the lumen. The digestive and secretory cells are swollen and vacuolated with a thin cytoplasmic layer surrounding the large vacuole (plate 2E). Excessive tubular disruption is observed, including epithelial cell lysis, extensive vacuolation, and lumen reduction (plate 2F).Discussion

Investigating the impacts of pesticides discharged into the terrestrial environment on the terrestrial ecosystem becomes a topic of interest. Several studies were conducted to investigate the release of these pesticides into the terrestrial environment in response to these concerns. The current study focuses on the impact of two pesticides (Glufosinate-ammonium and Anatoxin-a) on the land snail *M. cartusiana*, which is frequently used as a bioindicator of terrestrial pollution.



**Plate 2.** Light micrograph of the digestive gland of *M. cartusiana* snails. (A): The digestive gland of control group displaying the usual cellular structure (X100). (B): The digestive gland of control group displaying different types of cells forming the digestive tubules (X 400). (C&D): T.S. of digestive tubules after exposure to  $LC_{25}$  of Glufosinate-ammonium for 28 days showing mild tubular disruptions such as excessive secretion and Vacuolation (X100 & 200). (E&F): T.S. of digestive tubules after exposure to  $LC_{25}$  of Anatoxin-a for 28 days showing tubular degeneration(X100 & 400). CC: Calcium cell; CL: Cell lysis; DC: Digestive cell; DT: Digestive tubules; EC: Excretory cell; EV: Excretory vacuoles; L: Lumen; LD: Lumen dilatation; ML: Muscle layer; TD: Tubular disruption; V: Vacuolation.

### 3.6. Toxicity test

According to the results, Anatoxin-a was more toxic to *M. cartusiana* than Glufosinate-ammonium. These findings are compatible with those of Druart *et al.* (2011), who reported that Glufosinate-ammonium has a diminished toxic effect on land snail *Helix aspersa*. Silva dos Santos *et al.* (2019) recorded that Anatoxin-a has a neurotoxic effect on *Nauphoeta cinerea* cockroaches. The differences in toxicity between two pesticides may be accredited to the differences in susceptibility and tolerance associated with its accumulation, biotransformation, and excretion (Reddy *et al.*, 2012). Furthermore, the susceptibility of adult snails to pesticides varies depending

on the mode of action, biological agent against the target organism, and the chemical component produced by the microorganisms. Two mechanisms mediate the toxic action of Anatoxin-a; first, it stimulates muscle contraction by acting as an ACh agonist, but it is continuous, unlike Ach; second, by inhibiting acetylcholinesterase, it increases the neurotransmitter within the synaptic cleft. The result of these two mechanisms is paralysis which could lead to the death of snails (Osterbauer and Dobbs, 2009). On the contrary, Glufosinate-ammonium does not inhibit neurotransmitter receptors or affect the catecholamine neurotransmitter tissue concentrations. This reason may be due to the weak toxic effect of Glufosinateammonium.

# 3.7. Biochemical biomarker in clover snail M. cartusiana exposed to $LC_{25}$ of two tested pesticides.

Biochemical investigations have been used for evaluating the health status, impacts of stressors, and the adaptive capability of organisms to the external environment (El-Sayed et al., 2019). Amino-transferase enzymes (ALT&AST) catalyze the inter-conversion of  $\alpha$ ketoacids and amino acids, and their echelons indicate heart dysfunction and liver impairment (Ghouri et al., 2010). The current study found that ALT and AST increased in the digestive gland of treated snail with two pesticides. This increase may result from toxin exposure or destruction of the hepatic cell (Farkas et al., 2004). These findings are in agreement with Abdel-Halim et al. (2020) and Gaber et al. (2021) who stated that ZnONPs and Methomyl (Copter 90%) caused an increase in the activity of AST and ALT in treated M. cartusiana, respectively. Also, Abd El-Atti et al. (2020) stated that Biozed (fungal) and Biogard (Bacterial) biocide caused an increase in the levels of ALT and AST in M. cartusiana. ALP is an enzyme responsible for removing phosphate groups from several kinds of molecules such as proteins and nucleotides by hydrolysis and is used to detect liver damage (Thomas, 2006). The present study revealed that Anatoxin-a and Glufosinate-ammonium significantly increased ALP levels in the digestive gland of treated M. cartusiana. Such increments are in harmony with the results of Sharaf et al. (2015) who stated that Diazinon, Lambda-cyhalothrin, and Methomyl exposure increased ALP levels in M. cartusiana. This increase may be due to destruction of the hepatic cell.

# 3.8. Oxidative stress and antioxidant biomarkers in clover snail M. cartusiana exposed to $LC_{25}$ of two tested pesticides.

The reactive oxygen species (ROS) has a substantial role in the biological system's physiological control of cell function. Enzymatic and non-enzymatic antioxidant mechanisms are adequate in biological systems to cope with the continuous generation of ROS. When the generation of ROS exceeds their neutralization by antioxidant mechanisms within an organism, oxidative stress (OS) occurs (Regoli and Giuliani, 2014). Malondialdehyde (MDA) used as a biomarker of oxidative stress is one of the final products of polyunsaturated fatty acids peroxidation in the cells (Davey et al., 2005). The current study displayed that MDA levels increased significantly in clover snail M. cartusiana after treatment with two pesticides. Likewise, Sharaf et al., (2015) illustrated that MDA levels increased in the clover snail, M. cartusiana, after exposure to Diazinon, Lambdacyhalothrin, and Methomyl. The increment may induce this elevation in free radicals, which causes the overproduction of MDA. Total antioxidant capacity (TAC) measures an organism's antioxidant state by assessing the antioxidant response against the free radicals accumulated in a tissue sample (Richetti et al., 2011). In addition, the current study revealed that the TAC level was decreased in pesticides exposed to the snail. In agreement with the current study, Morad et al., 2022 found that TAC levels decreased in Biomphlaria alexandrina snails, after exposure to myco-synthesized nano-selenium. This reduction can be attributed to its defensive role against damages induced by free radicals. Catalase (CAT) and

Glutathione peroxidase (GPx) are antioxidant enzymes and are considered the first line of defense against ROS (Van der Oost et al., 2003). In the current study, CAT and GPX activity was increased in the digestive gland of M. cartusiana upon exposure to two pesticides. Such increment in CAT and GPX activities may be an adaptive mechanism to prevent the accumulation of toxic reactive oxygen (Regoli et al., 2006). In the same regard, Sharaf et al. (2015) found that CAT activity increased in the digestive gland of the clover snail, M. cartusiana, after treatment with Diazinon, Lambda-cyhalothrin, and Methomyl. Glutathione-s-transferase (GST) plays a vital role in defending cells and tissues from oxidative stress (Havelková et al., 2008). GST activity was found to be significantly increased in the digestive gland of clover snail M. cartusiana following exposure to the tested pesticides. This increase may be attributed to its defensive role against damages induced by oxyradical. On the contrary, Abdel-Halim et al. (2020) reported that ZnONPs caused a decrease in the activity of GPX and GST in treated M. cartusiana.

#### 3.9. NF-kB immunoreactivity

Nuclear factor Kappa B (NF-KB) is present in an inactive form in the cytosol linked to inhibitory regulatory proteins called IKB. There are different inducers to NF-KB activity, comprising oxidative stress resulted from reactive oxygen species and hypoxia, leading to NF-KB immunopositivity in tissues (Topal *et al.*, 2015). Another inducer is the lipid peroxidation intermediates (Campo *et al.*, 2008). Furthermore, the treatment of cells with Anatoxin-a and Glufosinate-ammonium increases the activity of NF-KB in the digestive gland of clover snail *M. cartusiana*. Immunopositivity was much more severe in snails treated with Anatoxin-a than Glufosinate-ammonium. The increment in the activity of NF-KB may be due to this elevation in reactive oxygen species.

# 3.10. Histological changes of the digestive gland of M. cartusiana

Histological investigations are significant biomarkers in toxicological studies. They are utilized as indicators of environmental stress since they deliver a particular biological end-point of historical exposure and divulge the direct effect of the toxicant in the organs (Ramesh et al., 2018). In the current study, many histopathological alterations were estimated in the digestive gland, which is the vital organ that performs the detoxification mechanism (Henry et al., 1991). The digestive gland of untreated snail is composed of three types of cells (digestive, excretory, and calcium cells). This finding agrees with Ismail et al. (2013), who detected the presence of three types of cells in the wall of tubules of the digestive gland. Based on the Histological examination of the digestive gland, the sublethal concentrations of Glufosinate-ammonium caused mild tubular disruptions such as excessive luminal secretion and vacuolation. Sub-lethal concentrations of Anatoxin-a resulted in severe tubular disruption, including cellular destruction. Similar changes were detected in the digestive gland of clover snail, M. cartusiana treated with Biozed (fungal), and Biogard (Bacterial) biocide (Abd El-Atti et al., 2020).

In conclusion, this study has revealed that two pesticides (Glufosinate-ammonium and Anatoxin-a) have toxic effects against *M. cartusiana*. However, Anatoxin-a was more toxic to treated snails than Glufosinateammonium. Anatoxin-a and Glufosinate-ammonium caused alterations in biochemical, oxidative stress biomarkers, NF-KB activity, and severe histopathological changes of M. Cartusiana. Therefore, these pesticides must be used prudently, and their release into the terrestrial environment should be closely monitored and regulated. Furthermore, the current study demonstrated the efficacy of land snails, M. cartusiana, as a bioindicator for and Glufosinate-ammonium Anatoxin-a toxicity. Furthermore, it suggested that long-term treatment could reveal the dangers of pesticide addiction on macroinvertebrates and human life.

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### Author contribution

R.M. Said conceived and designed the experiment. A H. Al-Badwy conceived and designed the experiment. A. A. Mohamed conceived and performed the experiment. All authors contributed to writing, reading, and approving the final manuscript.

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### Data availability

Data included in the article.

#### Declarations

### **Conflict of interest**

The authors declare no competing interests.

### **Additional information**

No additional information is available for this paper.

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# Concomitant Administration of L-carnitine and Performing High-Intensity Interval Training Effects on the Genes Involved in Mitochondrial Fusion and Apoptosis in Rat Liver

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### Abstract

Mitochondria as dynamic organelles go through coordinated processes, including fusion and apoptosis. This study was designed to assess the effects of L-carnitine supplement and high-intensity training on the mitochondria fusion and apoptosis in liver tissue. Thirty-two Wistar rats were assigned into four groups, and eight rats were entered into each group, including the untreated control group (CTL), L-Carnitine group (LCAR; 200 mg/kg/day, i.p), High-intensity interval training group (HIIT), HIIT+L-Carnitine group (LCAR-HIIT; received 200 mg/kg/day L-Carnitine and performed HIIT). Real-Time PCR was used to quantify the expression of liver genes. LCAR-HIIT significantly decreased Parkin (p<0.0001), MFN-1 (p=0.014), Caspase-3 (p=0.039), Bax (p<0.0001) expression in the liver compared with the CTL. However, HIIT with LCAR significantly increased the expression of Bcl-2 compared with the CTL (p=0.049), while LCAR significantly reduced Bax expression compared to the CTL (p=0.006). LCAR-HIIT induced positive physiological changes in the liver through negative regulation of Bax and caspase-3. In addition, LCAR-HIIT may provide a new approach for ameliorating mitochondria fusion in the liver tissue.

Keywords: L-Carnitine, HIIT, Parkin, mitochondria fusion, Apoptosis

### 1. Introduction

Mitochondrial in eukaryotic cells acts as the ATP production site and also coordinates multiple metabolic reactions by the Krebs cycle and fatty acids metabolism. Mitochondria, as an essential organelle in the cells, regulate cell proliferation and survival, inflammatory pathways, and anti-inflammatory responses (Suliman, 2014).

Fission and fusion processes are related to the division or fusion of mitochondria. Still, the process of biogenesis of mitochondrial promotes mitochondrial mass and a regulatory network including Peroxisome proliferatoractivated receptor- $\gamma$  coactivator-1 $\alpha$  (PGC-1 $\alpha$ ), NRFs (Nuclear Respiratory Factors), mitochondria transcription factor A, MFN1, and MFN2 coordinate their dynamic (Litvinova et al., 2015, Palikaras et al., 2015, Norat et al., 2020). Mitochondrial biogenesis is thought to occur in response to increased workload, such as that present in training skeletal muscle or changes in the availability of substrates that occur during starvation (Suliman, 2014). Impaired quality control of mitochondria leads to the mitochondrial disturbance that contributes to some complications such as cardiovascular disease, diabetes mellitus, and aging. Parkin is an essential mediator of these processes (LaRocca et al., 2014).

Every cell in the body has a hidden program to destroy itself through apoptosis. Under normal circumstances, this program is kept off by inhibitory agents. One of the mechanisms of inhibition of apoptosis is the maintenance of molecules involved in apoptosis in organelles such as lysosomes and mitochondria (Vieira, 2003). Mitochondria are one of the main targets in apoptosis. Dynamic changes of proteins such as MFN2 and Drp1, and the apoptotic regulators Bax and Bcl-2 contributed to the mitochondrial fragmentation during apoptosis. Inhibition of the mitochondrial fission process is associated with activation of downstream caspases, thus delaying apoptosis, and overexpression of MFN1 and MFN2 also suppress the apoptotic process. The apoptotic proteins -caspase-3andBax- play a pivotal role in the prognosis of apoptosis in mitochondrial membrane permeability(Steiner et al., 2011, LaRocca et al., 2014, Palikaras et al., 2015, Dagda, 2018).Elevated levels of inflammatory cytokines, some growth factors, etc., reduced the expression of MFN2, while processes that increase energy consumption (such as exercise) increased its expression (Schrepfer and Scorrano,

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2016). Several other intracellular pathways, such as cell cycle progression, mitochondrial bioenergetics maintenance, apoptosis, and autophagy, have been shown modulated MFN2 (Filadi et al., 2018).

Carnitine (beta-hydroxy-gamma trimethyl ammonium butyrate) is known as a vitamin-like and amino-acid-like substance. It was documented that the L-isoform of carnitine is physiologically active. Its prominent role in the body is to promote the  $\beta$ -oxidation of lipids by transferring them to the mitochondrial matrix. Therefore, if carnitine is not present, most dietary lipids cannot be available for oxidation (Eskandari et al., 2004, Cha, 2008).

High-Intensity Interval Training (HIIT) is intense training period with short rest intervals that reduces the total training time and has aerobic-like effects (Nutrients Editorial, 2018).Steiner et al. showed that exercise increased mitochondrial biogenesis through PGC-1a and other mediators (Steiner et al., 2011). Carnevali et al. showed that HIIT increases mitochondrial fat transfer capacity (by increasing the activity of the enzyme carnitine palmitoyl transferase), which facilitates the beta-oxidation process (Carnevali, Eder et al., 2012). Also, HIIT improved the muscle oxidation direction of animals with hypertension (Holloway et al., 2015). Overall, HIIT increases energy efficiency and physical function (Kwak, 2013, Holloway et al., 2015, Fallahi et al., 2016). Kwak has described that exercise has a protective effect against apoptosis (Kwak, 2013).L-carnitine supplementation with regular aerobic exercise improved liver tissue apoptosis in type 2 diabetic subjects (Gholami et al., 2019).

Given the vital liver roles in the body's metabolic processes and the high content of mitochondria in the hepatocytes and the critical role of the liver in regulating metabolic processes and pathways related to exercise physiology, we evaluated the effect of L-carnitine supplementation and performing high-intensity exercise on the genes variations elaborated in mitochondrial dynamic and apoptosis in rat liver tissue to investigate how Lcarnitine and intense intermittent exercise interact with each other.

### 2. Materials and Methods:

### 2.1. Materials

L-carnitine hydrochloride (Sigma, C0283-25G), EZ-10 Spin Column Animal Total RNA Miniprep extraction kit (BS82312, BIO BASIC), cDNA synthesis kit (Pars TousInc., A101161), RealtimeQPlus Master GreenMix (Ampliqon, 5000830high ROX<sup>TM</sup>), primers were purchased from Metabion International Company.

### 2.2. Methods

Thirty-two Wistar rats (male, 8-9 weeks of age) were purchased from Physiology Research Center. Rats were kept in the standard condition with a temperature of  $23 \pm 2$ °C and a light-dark cycle of 12/12 h. Rats had free access to food & water. The animals were monitored for one week to familiarize themselves with the new environment and separated into 4 groups (n = 8), including Untreated control group (CTL; with no intervention), the L-Carnitine group (LCAR; 200 mg/kg/day, i.p), High-Intensity Interval Training group (HIIT), HIIT+L-Carnitine group (LCAR-HIIT; received 200 mg/kg/day L-Carnitine and performed HIIT), randomly. The groups that needed to do the exercise were given a 2-week treadmill adaptation, and then the study was begun. The study lasted for four weeks. The current study was approved (Code number: IR.KMU.REC.1399.378) by the Ethics committee of Karman Medical University.

### 2.2.1. L-carnitine Administration:

L-carnitine is dissolved in the sterile normal saline and administrated by daily i.p injection (200 mg/kg) (Masoumi-Ardakani et al., 2020, Karabulut et al., 2021).

### 2.2.2. Training Protocol:

Familiarity of rats lasted for two weeks (15 meters/minute for 15 min). Exercise intensity was calculated by lactometer (Lactate Scout Company/Code: 37, Germany), which quantified the blood lactate levels directly after exercise, and levels > 6 mmol/L were considered high intensity (Verboven et al., 2019) (Table 1). For calculation of the intensity, the speed test starts with a warm-up of 10 meters per minute and then increases the speed (0.3 meter/minute) till exhaustion (Hu et al., 2021). Each session consisted ten 2 minutes work bouts/day at about 22 m/min, 29° slope separated by 2minutes rest periods (5 days/week, 4-week) (Batacan et al., 2016). Finally, forty-eight hours after the final training session, the animals were anesthetized and sacrificed. The liver tissue of each rat was dissected, and rinsed with cold saline and frozen until future examinations.

 
 Table 1. The blood levels of lactate measured directly after exercise

group	Lactate (mmol/L)
HIIT (n=8)	6.3
LCAR-HIIT (n=8)	6.1

# 2.2.3. Real Time-PCR carried out to measure genes expression

The Real-Time PCR method was carried out to determine the relative expression of target genes in this study. For this purpose, total liver RNA was extracted. The process of RNA extraction typically involves the destruction of cells in a chemical environment that simultaneously inactivates ribonucleases and then uses columns that can capture RNA molecules and pass other molecules through. In the final step, the RNAs were washed from the column using an elution buffer and collected in sterile tubes. Then the purity and concentration of the extracted RNA were set out by the Nano-drop device. The cDNA was synthesized from total RNAs, and to inhibit the RNase enzyme, RNasin (RNase inhibitor) was added to the reaction mixture. The obtained cDNA was then used for the next step. Real-time PCR was carried out with polymerase enzyme and specific primers (Table 2) for the target genes. The 18s rRNA gene was used as the housekeeping gene. After Real-time PCR, Ct values were obtained for samples and reference genes. The formula  $2^{-\Delta\Delta CT}$  was used to calculate relative expression of genes (Mohammadi et al., 2018).
Table 2. The sequences of primers to perform Real-time PCR						
Gene	Forward	Reverse				
Bax	ATCCAAGACCAGGGTGGCTG	CACAGTCCAAGGCAGTGGGA				
Bcl-2	TATATGGCCCCAGCATGCGA	GGGCAGGTTTGTCGACCTCA				
Caspase-3	GTGGAACTGACGATGATATGGC	CGCAAAGTGACTGGATGAACC				
MFN1	ACCAATCCCGCTGGGGAGGA	TGGGGAGGTGCTGTCTCGGA				
MFN2	TTCCACACCACTCCTCCGAC	AGCGTCCTCTCCCTCTGACA				
NRF-1	TAGCCCATCTCGTACCATCAC	TTTGTTCCACCTCTCCATCAG				
Parkin	CTGGCAGTCATTCTGGAC	CTCTCCACTCATCCGGTTT				

Ta

2.3. Statistical Analysis:

To analyze the data, we used SPSS version 22. We carried out the one-way analysis of variance (One-Way ANOVA) test, and to the pairwise comparison between groups, we performed Tukey's test. The data was expressed as Mean±SEM and the p value <0.05 was significant.

GCAATTATTCCCCATGAACG

## 3. Results

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The combination of LCAR+HIIT significantly reduced Parkin, MFN1, and caspase-3 expressions compared with the CTL (Figs 1, 3, and 5). The combination of LCAR+HIIT significantly reduced Parkin expression compared to the LCAR (p = 0.023) as well as HIIT (p =0.012) groups (Fig 1). LCAR and combination of LCAR+HIIT significantly reduced Bax expression (Fig 6). The Bcl-2 expression in 3 intervention groups increased compared to the control, but only the combination of LCAR-HIIT caused a significant elevation (Fig 7). The expression of NRF-1 and MFN2 genes was not significant between the studied groups (Figs 2 and 4)



Figure 1. Parkin gene relative expression (Mean±SEM) in 4 studied groups, including control (CTL), received L-carnitine (LCAR), high-intensity interval training (HIIT), and LCAR+HIIT. P< 0.05 was considered as significant difference. \* Statistically significant compared to control, # statistically significant compared to LCAR, ‡ statistically significant compared to HIIT.Significant signs are the same in the rest of the figures.



Figure 2. NRF-1 gene relative expression (Mean±SEM) in 4 studied groups, including control (CTL), received L-carnitine (LCAR), high-intensity interval training (HIIT), and LCAR+HIIT. P< 0.05 was considered as significant difference.

LCAR

HIIT

CTL



Figure 3. MFN1 gene relative expression (Mean±SEM) in 4 studied groups, including control (CTL), received L-carnitine (LCAR), high-intensity interval training (HIIT), and LCAR+HIIT. P<0.05 was considered as significant difference.



Figure 4. MFN2 gene relative expression (Mean±SEM) in 4 studied groups, including control (CTL), received L-carnitine (LCAR), high-intensity interval training (HIIT), and LCAR+HIIT. P< 0.05 was considered as significant difference.

LCAR-HIIT



**Figure 5.** Caspase-3 gene relative expression (Mean±SEM) in 4 studied groups, including control (CTL), received L-carnitine (LCAR), high-intensity interval training (HIIT), and LCAR+HIIT. P< 0.05 was considered as significant difference.



**Figure 6**. Bax gene relative expression (Mean±SEM) in 4 studied groups, including control (CTL), received L-carnitine (LCAR), high-intensity interval training (HIIT), and LCAR+HIIT. P< 0.05 was considered as significant difference.



**Figure 7**. Bcl-2 gene relative expression (Mean±SEM) in 4 studied groups, including control (CTL), received L-carnitine (LCAR), high-intensity interval training (HIIT), and LCAR+HIIT. P< 0.05 was considered as significant difference.

## 4. Discussion

These current findings demonstrate the first evidence that L-CAR and HIIT contribute to the mRNA level of Parkin, MFN-1, Caspase-3, and Bax in liver tissue. Also, our current study provides evidence that HIIT and LCAR ameliorated Bcl-2 in liver tissue, possibly by suppressing the Parkin expression in the liver. Specifically, our results demonstrate that 1) HIIT and L-CAR decreased the mRNA expression level of apoptotic signaling, including Caspase-3, and Bax in the rat liver tissue, 2) L-CAR with HIIT elevated liverBcl-2expression, 3) L-carnitine and HIIT reduced the mRNA expression of MFN-1.

Based on the present study, four weeks of HIIT and L-CAR supplementation led to a remarkable reduction of Bax and the ratio of Bcl-2/Bax and also an increase in Bcl-2 levels of liver tissue. This indicates the protective effect of HIIT and LCAR supplementation through optimal regulatory pathways of apoptotic indices. Keleshian et al. reported that with increasing age, mRNA expression of pro-apoptotic Bax index and inflammatory and oxidative indexes in the frontal cortex of the brain increases, and Bcl-2 levels decrease (Keleshian et al., 2013). When there is no death receptor stimulus, the anti-apoptotic protein Bcl-2 is heterodimerized intracellularly with Bax. In case Bcl-2 overexpression, these molecules form homodimers and simultaneously inhibit apoptosis. Conversely, Bax overexpression leads to its homodimerization, resulting in increased sensitivity to apoptotic stimuli (Naim and Kaufmann, 2020). Therefore, disruption of the apoptotic balance and its shift to increased Bcl-2 following HIIT and L-CAR supplementation may be associated with the effects of support for liver tissue. In this regard, Um et al. showed that after 16 weeks of training, Bax levels in mice brains decreased, and Bcl-2 levels increased significantly (Um et al., 2008). Shirpour et al. observed that curcumin supplementation and HIIT showed an apoptosis inhibitory effect in hepatocytes (shirpour et al., 2017). Also, HumayunFard et al. reported that performing HIIT with selenium administration showed interactive properties on apoptosis proteins in rats (Humayun Fard et al., 2019). Although there are limited numbers of studies that evaluated the effect of HIIT and LCAR on the liver of rats and comparing the studies discussed with the results of our research, it appears that regular exercise has protective effects. Exercise training through phosphorylation of protein kinase B may reduce Bax and cytochrome C levels and therefore suppress apoptosis in the liver. Based on previous observations, HIIT was associated with a more significant impact on the development of liver function than continuous training (Rezaei et al., 2017). Jabbari et al. reported that L-carnitine supplementation and exercise training showed remarkable beneficial properties against apoptosis in diabetic rats (Jabbari et al., 2019). In sum, the results are not conclusive. Human elevated MFN1 during aging is reversed by running (Bori et al., 2012) or by sixweek treadmill running in rats (Koltai et al. 2012); however, there were other human studies that demonstrated unaltered MFN1 in response to physical activity (Joseph et al., 2012, Gioscia-Ryan et al., 2016). Although they have shown that MFN1 expression upregulated after cycling (12 weeks)(Konopka et al., 2014), after the same aerobic protocol, with the same duration and higher intensity, there was no increased MFN1 expression (Moreira et al., 2017).

Parkin, a cytosolic E3-ubiquitin ligase, functions in mitophagy. Specifically, the pol yubiquitination of Parkin substrates, such as MFN1/2, leads to their degradation by the proteasome. Induced mitochondrial fission and mitophagy after MFN1/2 destruction make a strong connection between mitochondrial dynamics to mitophagy. Mitophagy occurs in basal conditions constantly. Some specific physiological conditions can induce this process (Yoo and Jung, 2018).We demonstrated that Parkin is necessary for exercise-induced mitophagy. Interestingly, mitophagy induced after exercise was attenuated by training probably because of the promotion of basal

mitochondrial content and quality. It seems that Parkin is necessary for the preservation of basal mitochondrial function and increased good functioning mitochondria pool due to training adaptations (Chen et al., 2018). But HIIT and low-intensity interval training (LIIT), despite increasing PGC-1 $\alpha$  and reducing DRP1, failed to improve mitochondrial fusion and fission (Ebadi and Damirchi, 2018).

One important issue that may be of interest in this study is the potential stress associated with performing highintensity training and daily L-carnitine intraperitoneal injections. Previous studies have shown that exercise improves redox status by reducing pro-oxidant factors and increasing antioxidant intermediates. Poblete Aro et al. (2015) reported that HIIT (12 weeks) significantly reduced oxidative stress in diabetic patients (Poblete Aro et al., 2015). In a systematic review by Lu et al. (2021), they concluded that high-intensity exercise increased antioxidant capacity (Lu et al., 2021).

On the other hand, concerning L-carnitine administration, it was reported that L-carnitine intraperitoneal injections improved the redox state in diabetic rats (Masoumi-Ardakani et al., 2020). Hussein et al. (2013) reported that in high-fructose diet rats, L-carnitine increased liver catalase and superoxide dismutase (SOD) and reduced serum malondialdehyde level (Hussein et al., 2014). Also, L-carnitine administration reduced lipid peroxidation and increased serum SOD, catalase, and  $\alpha$ -tocopherol in rats (Rajasekar, 2007). Lee et al. (Randomized clinical trial) linked reduced ROS levels to L-carnitine as its protective properties (Lee et al., 2014). It was also reported that L-carnitine i.p. administration promoted antioxidant defense status (Irat et al., 2003).

Finally, oxidative stress induces apoptosis and if HIIT and L-carnitine injection caused stress induction; therefore; we expect that apoptosis must increase in this group (LCAR-HIIT). On the contrary, we found that the expression of Caspase-3 and Bax reduced in LCAR-HIIT group, which indicates that there is probably no significant stress effect due to performing HIIT or L-carnitine injections.

In our study, in LCAR-HIIT group, both Parkin and MFN1 decreased in the same direction, so both mitophagy and mitochondrial fusion appear to be in equilibrium. By down regulation of Parkin we expected an increase in MFN1 levels, but the combination of exercise and carnitine administration reduced its expression, a balance has been struck between these processes. There are some limitations in this study; oxidative stress and antioxidants were not determined, and we did not examine the oxidative stress made by several injections and performing exercise.

## 5. Conclusion

In conclusion, the current study demonstrates that Lcarnitine supplementation and performing HIIT improved positive physiological changes in the liver through negative regulation of Bax, caspase-3. In addition, LCAR-HIIT may provide a new approach for facilitating mitochondria fusion in the liver.

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Jordan Journal of Biological Sciences

# Species Diversity of Freshwater Microalgae in Dramaga, Bogor Based on Morpho-ecological Identification between Low and High Light Intensity Environment

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# Abstract.

Microalgae are prokaryotic or eukaryotic organisms that have photosynthetic anabolic activity. This anabolic photosynthesis is related to the abiotic factor of light. Therefore, this study aimed to identify the morphological diversity level of microalgae based on their environment, especially light intensity. We collected samples based on two types of environment, namely high light community (HL-1D and HL-2D) and low light community (LL-1D and LL-2D). The Data were analyzed using Species Richness Indices (Menhinick and Margalef indices), Species Diversity (Shannon-Wiener and Simpson Indices), and Eveness Index. Based on the results of morphological identification, we found the presence of algae from the divisions Cyanophyta, Chlorophyta, and Euglenophyta in both communities. The most dominant species found in the HL environment were Cyanophyta (Chroococcus sp., Microcystis sp., Nostoc sp., Oscilatoria sp.), Chlorophyta (Actinastrum sp., Ankistrodesmus sp., Centritractus belanophorus, Coelastrum sp., Closterium sp., Gleocapsa sp., Mougeutia sp., Pediastrum sp., Scenedesmus sp., Selenastrum sp., Sphaeroplea sp., Tetraspora cylindrica, Ulothrix sp., and Volvox sp.), Euglenophyta (Cryptoglena sp. and Lepocinclis sp.). Species found in the LL environment were Cyanophyta (Bulbochaeta sp.) and Chlorophyta (Pleurotaenium sp., Uronema elongasi, and Zygnema sp.). Microalgae communities in high light communities have higher diversity than low light communities. This study can be used as a reference for the diversity of microalgae in two different types of environments, especially in the tropics and in freshwater microalgae communities. This diversity data could be a reference for researchers and provide preliminary information of microalgae potency as alternative biofuels in the future.

Keywords: Abiotic Factor, Freshwater Microalgae, Light Intensity, Photosynthesis

## 1. Introduction

Fossil fuels or mineral fuels are natural resources that contain hydrocarbons such as coal, oil, and natural gas. Along with the increase in the world's population, the availability of fossil-based fuels has been dwindling. This condition will have an impact on various sectors such as transportation, industry, agriculture, and others. With the limited amount of fossil fuels available, humans will switch to using petroleum. If used continuously, there will be an increase in  $CO_2$  emission levels in the atmosphere, which will affect global warming and climate change (Chisti, 2007; Handoko et al., 2008; Paynter and Frölicher, 2015; Williams et al., 2017). To overcome this problem, we need a substitute fuel that is renewable and environmentally friendly such as biodiesel (Mahyudin and Kusnandar, 2006; Williams et al., 2017). Biodiesel is an alternative fuel derived from plants or animals through a transesterification process with alcohol (Chisti, 2007; Litinas *et al.*, 2020). Indonesia is an archipelagic country with abundant aquatic biological resources, both in type and quantity. One aspect of the richness of biological resources is the abundance of plants that have the potential as a source of biodiesel, one of which is microalgae. Microalgae can produce 150-200 times more lipids than lipid-producing plants, i.e., *Elaeis* sp. and *Jatropha* sp.(Chisti, 2007).

Microalgae are a group of microscopic low-level plants that live in both fresh and marine waters. Microalgae are unicellular organisms that live in colonies or live as solitary and are generally photosynthetic because they live by utilizing light energy. Microalgae are rich in nutrients that can be developed as a source of raw materials for the pharmaceutical, cosmetic, and biofuel industries (Ghufran and Kordi, 2010). In addition, the biomass of many microalgae has a high lipid content of approximately 60% of the dry weight. Such microalgae are also tolerant of changes in extreme environmental conditions such as soil,

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lakes, wastewater, snow, high temperatures of as hot springs, and high salt content of the sea (Agustini, 2006).

Several types of microalgae are being developed in several countries as producers of bio-oil which can be further utilized in biodiesel (Moshood *et al.*, 2020). In addition, the potential of microalgae which is currently receiving special attention is as a producer of biohydrogen that can be used as renewable energy (Richmond and Emeritus, 2013; Moshood *et al.*, 2020). The advantages of microalgae for biodiesel raw materials include not only requiring a large area of land, but also fast harvesting time; the biomass has a high lipid content and a fast cell growth rate with cell doubling occurring every 3.5 hours and not causing competition between energy and food needs (Chisti, 2007; Moshood *et al.*, 2020).

The growth and production of microalgae are strongly influenced by environmental conditions. The environmental factors that affect the growth and productivity of microalgae lipids include light intensity, temperature, osmotic pressure, pH, and nutrient concentration in the media (Becker, 1994). Nybakken (1982) suggested that the main inorganic nutrients needed by phytoplankton to grow and reproduce are N in the form of  $NO^{3-}$  and P in the form of  $PO_4^{3-}$ . One of the known cell disruption methods is the osmotic shock method. It performs a sudden decrease in osmotic pressure on a microorganism so that it will cause cell damage. This method can be used to remove cellular components such as oil.

According to Teresa *et al.*, (2010), *Botryococcus braunii* can contain quite a lot of lipids up to 20-75% dry weight of biomass. Long-chain hydrocarbons in the form of oil or unbranched triterpenes of this species are known as botryococcene is very potential as an energy source or biodiesel (Metzger and Largeau, 2005; Rao *et al.*, 2007).

Several groups of microalgae can be used as biodiesel raw materials, namely diatoms (Bacillariophyceae), green microalgae (Chlorophyta), and blue-green microalgae (Cyanophyta) (Griffiths and Harrison, 2009). Microalgae contain several important components including carbohydrates, fatty acids, and proteins so that microalgae can be used as raw materials to produce their derivative products. The content and productivity of microalgae lipids are influenced by nitrogen concentration and light intensity (Gunawan, 2010). Microalgae have been investigated as an alternative as substitute for land plant commodities as a source of oil production because of their ability to grow in a short time with abundant biomass (Prartono et al., 2013). To utilize microalgae as raw material for biodiesel, it is necessary to characterize and identify species first (Moshood et al., 2020). Species identification is a step that can be taken to determine the types and relationships of a group at the taxonomic level and is important to be the basis for applied research from Microalgae (Prartono et al., 2013). Therefore, the purpose of this study is to identify morphologically the diversity level of microalgae based on their environment, especially light intensity to provide a primary data to get a species which used a fossil fuels as renewable energy sources for the next research.

# 2. Materials And Methods

## 2.1. Sample collection

Freshwater samples were collected from two sites in Dramaga, Bogor Regency based on high (open, 6.500-10.000 lux) and low light intensity (shaded, 1.000-2.000 lux) environments. We used four sites with 20 replicates, so in total we evaluated 80 samples.. The sites in this study included high light community 1 (HL-1D), high light community 2 (HL-2D), low light community 1 (LL-1D), and low light community 2 (LL-2D). We collected fresh water at these four locations particularly 5 plots with 5 m in distance, respectively and then observed it directly using a compound microscope (Olympus, Japan). Sampling was carried out by filtering 5-10 liters of water with a vacuum pump in which a 0.45-micrometer millipore was installed. Water was taken at a depth of 50 cm to 1 m depending on the intensity of sunlight in the research location so that the filtered microalgae were microalgae that live above the water surface and float in the water. Then, the millipore was taken and put into a falcon bottle that had been filled with Industry Daigo Microalgae (IMK) media (Gunawan, 2010). For the microalgae samples to be identified, 4% formalin was added to keep the chlorophyll from being damaged (Gunawan, 2010).

### 2.2. Morphological identification

Microalgae from freshwater collections were identified based on cell surface structure, cell shape (globose, filamentous), living type (solitary or colony), species or genus characteristics, and cell motility. These three algae are very abundant in freshwater, according to a report by Gunawan (2010). Identification of microalgae morphology was carried out by observing using a light microscope (Olympus CX41, Japan), and identification was carried out by referring to the identification book entitled "The Freshwater Algae" (Prescot, 1978) and the book "Key to Freshwater Algae: A Web-Based Tool to Enhance Understanding of Microscopic Biodiversity" (Shayler and Siver, 2006).

#### 2.3. Diversity parameter analysis

We tested the species richness (SR) parameter using the R program with the syntax "apply(data[,-1]>0,1, sum)" (Lander, 2014). Species richness was measured based on the number of species at each site specifically in the four communities HL-1D, HL-2D, LL-1D, and LL-2D. In addition to species richness, we also measure richness based on two kinds of indices, namely Menhinick's index (May) and Margalef's index (Mai) with the following formula (Peng *et al.*, 2018) where n was the number of species and N was the total number of individuals.

$$MeI = \frac{n}{\sqrt{N}}$$
$$MaI = \frac{n-1}{lnN}$$

For species abundance, we used the syntax "apply(data[,-1],1,sum)" in the R program. We also tested Rarefaction (Ra) with the formula below, where n was the sub-sample, N was the total number of individuals in the new rarefied taxa, and Ni was the total number of individuals in each of the original taxa (Peng *et al.*, 2018).

$$Ra = \sum 1 - \left| \frac{\left(\frac{N - Ni}{n}\right)}{\left(\frac{N}{n}\right)} \right|$$

For species diversity, we used the Shannon-Wiener Index (H') and Simpson's Index ( $\lambda$ ) formulas (Peng *et al.*, 2018) with the syntax "diversity(data[-1], index="Shannon")" and "diversity(data[-1], index= "Simpson")" in the vegan package in the R program where ni is the number of individuals of the amount of each of the i species and N is the total number of individuals for the site. The criteria of species diversity was H' < 1 considered low; 1 < H' < 3 is in the middle, and H' > 3 is high.

$$H' = -\sum \left(\frac{ni}{N} \times \ln \frac{ni}{N}\right)$$
$$\lambda = \sum \frac{ni(ni-1)}{N(N-1)}$$

For the evenness parameter, we used Pilou evenness (J) and Hill's ratios (Ea:b) as below (Peng *et al.*, 2018), where H' is the actual diversity value (the Shannon-Wiener Index) and Hmax is the maximum possible diversity value. In addition, Na is the diversity numbers of order a (community 1) and Nb is the diversity numbers of order b (community 2).

$$J = \frac{H'}{H \max}$$
$$Ea: b = \frac{Na}{Nb}$$

#### 2.4. Statistical test and data analysis

Morphological characteristic data were analyzed descriptively to obtain a complete description of each species. For data analysis, we tested the data statistically (descriptive statistics, analysis of variance, and T-test) by using the program R version 3.5.1 (Lander, 2014).

## 3. Results and Discussion

## 3.1. Biodiversity of freshwater microalgae in Dramaga, Bogor in four sites community

Freshwater microalgae in Dramaga showed a relatively abundant level of diversity, especially in high light communities (HL-1D and HL-2D) compared to low light community (HL), we found many species, i.e. Actinastrum sp., Ankistrodesmus sp., Chroococcus sp., Coelastrum sp., Gleocapsa sp., Microcystis sp., Oscilatoria sp., Pediastrum sp., Scenedesmus sp., Selenastrum sp., Ulothrix sp., and Volvox sp. (Figure 1). In the low light community (LL), we found species Bulbochaeta sp., Closterium sp., Closterium sp2, Pleurotaenium sp., Uronema sp., and Zygnema sp. (Figure 1).

*Chroococcus* sp. has general characteristics, namely prokaryotic organisms, coccus cell shape, is unicellular, has chlorophyll pigment, and forms a mucous membrane. We found the species *Chroococcus* sp. in abundance in the HL community. *Chroococcus* sp. is classified as division Cyanophyta, Class Cyanophyceae, Order Chroococcales,

and Genus *Chroococcus*. In the new classification system, *Chroococcus* sp. is classified closer to bacteria than other eukaryotic algae organisms based on microalgae phylogeny studies (Shayler and Siver, 2006).

Volvox sp. tends to form colonies where it is divided into two types, i.e. main colonies and auto-colonies. Another characteristic that we found in the species Volvox sp. In this study, the colonies were spherical, the cells were round in shape, and each cell was covered with mucilage (Figure 1). Volvox sp. has a classification system, namely Kingdom Protista, Division Chlorophyta, Class Chlorophyceae, Order Volvocales, Family Volvocaceae, Genus Volvox. Different from Chroococcus sp., Volvox sp. tend to be advanced because they have a nuclear membrane and are classified as eukaryotic organisms. Different from Volvox sp., Coelastrum sp. Morphologically, no mucilage was found even though they were both Division Chlorophyta.

Other microalgae belonging to the Division Chlorophyta include Gleocapsa sp., Sphaeroplea sp., Scenedesmus sp., and Actinastrum sp. Gleocapsa sp. is a eukaryotic organism belonging to the division Chlorophyta and Class Chlorophyceae. We identified this species based on the presence of a gelatinous cell envelope. Sphaerolea sp. has a characteristic where cells tend to be filamentous and chloroplasts are spiral. Scenedesmus sp. in this study has a morphological structure where the spine is relatively long, cells are oval, chloroplasts are found in almost all parts of the cell, and visually it is found the presence of pyrenoid as a place to store food reserves. In this study, Scenedesmus sp. also has the number of cells in the colony as many as four, the form of chloroplast laminate, and the colony is coenobitic. Actinastrum sp. Morphologically has a cell shape that resembles a star.

*Oscilatoria* sp. belongs to the Kingdom Monera group, especially the Cyanophyta Division where the cell structure is in the form of filaments.

Based on the identification results, we found that *Pediastrum* sp., has a polygonal cell shape where the colonies are without a conspicuous gelatin sheath, and the cells tend to be green indicated by the presence of high chlorophyll (Figure 1). *Pediastrum* sp. is classified into Kingdom Protista, Division Chlorophyta, Class Chlorophyceae, Order Chlorooccales, Family Hydrodictyaceae, and Genus *Pediastrum*.

*Ulothrix* sp. has a characteristic filamentous (thread) and cylindrical cell shape (Figure 1). In this study, we found the presence of chlorophyll in the filaments of *Ulothrix* sp. *Ulothrix* sp. classified into Kingdom Protista, Division Chlorophyta, Class Chlorophyceae, Order Ulotrichales, Family Ulotrichaceae, and Genus *Ulothrix*.

*Microcystis* sp. has a characteristic that is unicellular and round cells (Figure 1). Based on the results of our identification, the chlorophyll in *Microcystis* sp. is located in almost all parts of the cell. *Microcystis* sp. has a classification system, namely Kingdom Monera, Division Cyanophyta, Class Cyanophyceae, Order Nostocales, Family Nostocaceae, and Genus *Microcystis*.

*Uronema* sp. In this study, it can be categorized as an elongated *Uronema* where the cells are filamentous and there is a partition between the filaments in the microalgae. The elongated *Uronema* in this study is classified as Division Chlorophyta with the cell nucleus surrounded by a nuclear membrane or eukaryotic. *Zygnema* sp. relatively

have the same cell shape as *Uronema* sp. mainly in the form of filaments, but both are distinguished by the location of the bulkhead, and morphologically in the structure of the ends of the filaments.

Based on the identification results, we found the presence of *Bulbochaeta* sp. on the LL site. *Bulbochaeta* sp. grouped into Kingdom Monera, Division Cyanophyta, Class Cyanophyceae, Order Oedogenales, Family Oedogoniaceae, and Genus *Bulbochaeta*. We identified the species *Bulbochaeta* sp. based on the characteristics in the

form of branched filaments and chloroplasts are morphologically shaped like a net.

*Pleurotaenium* sp. and *Closterium* sp. both have cell forms in the form of filaments and belong to the Division Chlorophyta, Kingdom Protista, including eukaryotic organisms. Both differ in cell shape and chloroplasts, where *Pleurotaenium* sp. is wider in shape with rectangular chloroplasts while the cell and chloroplast forms of *Closterium* sp. are slender in shape with relatively tapered ends.



**Figure 1**. Freshwater-Microalgae Diversity in Dramaga, Bogor particularly in each site. HL-1D: high light community 1: A-H (A: *Chroococcus*, B: *Volvox* sp., C: *Coelastrum*, D: *Gleocapsa*, E: *Actinastrum*, F: *Pediastrum*, G: *Oscilatoria*, H: *Scenedesmus*), HL-2D: high light community 2: I-R (I: *Pediastrum*, J: *Ulothrix* sp1, K: *Microcystis*, L: *Actinastrum*, M: *Pediastrum*, N: *Ulothrix* sp2, O: *Scenedesmus*, P: *Mycrocystis*, Q: *Selenastrum*, R: *Ankistrodesmus*) LL-1D: low light community 1: S-T (S: *Uronema*, T: *Closterium*), LL-2D: low light community 2: U-Y (U: *Bulbochaeta*, V: *Pleurotaenium*, W: *Closterium* sp1., X: *Zygnema*, Y: *Closterium* sp2.). Bar = 10 µm.

 
 Table 1. Species diversity of microalgae in four sites community in Dramaga, Bogor

No.	Sites	Species	Division
1	HL-1D	Volvox sp.	Chlorophyta
2		Chroococcus sp.	Cyanophyta
3		Coelastrum sp.	Chlorophyta
4		Tetraspora cylindrica	Chlorophyta
5		Mougeutia sp.	Chlorophyta
6		Lepocinclis sp.	Euglenophyta
7		Gleocapsa sp.	Chlorophyta
8		Sphaeroplea sp.	Chlorophyta
9		Scenedesmus sp.	Chlorophyta
10		Cryptoglena sp.	Euglenophyta
11		Actinastrum sp.	Chlorophyta
12		Pediastrum sp.	Chlorophyta
13		Oscilatoria sp.	Cyanophyta
14		Nostoc sp.	Cyanophyta
15	HL-2D	Volvox sp.	Chlorophyta
16		Ulothrix sp.	Chlorophyta
17		Pediastrum sp.	Chlorophyta
18		Microcystis sp.	Cyanophyta
19		Actinastrum sp.	Chlorophyta
20		Closterium sp.	Chlorophyta
21		Scenedesmus sp.	Chlorophyta
22		Gleocapsa sp.	Chlorophyta
23		Selenastrum sp.	Chlorophyta
24		Centritractus	<u>C11</u>
24		belanophorus	Chlorophyta
25		Coelastrum sp.	Chlorophyta
26		Ankistrodesmus sp.	Chlorophyta
27	LL-1D	Closterium sp.	Chlorophyta
28		Uronema elongasi	Chlorophyta
29	LL-2D	Bulbochaeta sp.	Cyanophyta
30		<i>Zygnema</i> sp.	Chlorophyta
31		Closterium sp.	Chlorophyta
32		Pleurotaenium sp.	Chlorophyta

Note: HL-1D: high light community 1, HL-2D: high light community 2, LL-1D: low light community 1, LL-2D: low light community 2

In general, we found differences in species composition or division among the two sites in this research. Specifically, to site HL, we can find all Division Chlorophyta, Cyanophyta, and Euglenophyta. In low light community (LL), we did not find Euglenophyta in our freshwater sample. This is probably related to the behavior of the motile and the presence of eye-spot in Euglenophyta, which tends to like high light intensity. At the species level, we found few species of microalgae at the LL sites but the species at these sites tended to be unique and were not found at the HL sites, i.e., Uronema elongation, Bulbochaeta sp., Pleurotaenium sp., and Zygnema sp. Interestingly, at the HL sites, we found Lepocinclis sp. and Cryptoglena sp. where both are classified as Division Euglenophyta and are motile and have chloroplasts. Species that can be found at both HL and LL sites are Closterium sp. Meanwhile, species that could only be found at HL sites were Volvox sp., Chroococcus sp., Coelastrum sp., Tetraspora cylindrica, Mougeutia sp., Lepocinclis sp., Gleocapsa sp., Sphaeroplea sp., Scenedesmus sp., Cryptoglena sp., Actinastrum sp., Pediastrum sp., Oscilatoria sp., Nostoc sp., Ulothrix sp., Pediastrum sp., Microcystis sp., Closterium sp., Selenastrum sp., Centritractus belanophorus, and Ankistrodesmus sp.

3.2. Species richness, abundance, diversity, and evenness indices of freshwater microalgae among high and low light intensity environment

The highest species richness of freshwater microalgae was obtained at the HL-1D site and the lowest was at the LL-1D site. In general, species richness in high light intensity communities (HL-1D and HL-2D) was higher than in low light intensity communities (LL-1D and LL-2D). Richness can also be tested with Menhinick's index (MeI) and Margalef's index (MaI). The values of the MeI and MaI indices are relatively similar to the SR values where the order of communities that have the highest to lowest species richness are HL-1D, HL-2D, LL-2D, and LL-1D (Table 2). Species abundance in this study shows something different from species richness where the highest value is indicated by the HL-2D site, while the LL-1D and LL-2D sites are relatively similar.

On the other hand, the Rarefaction value also shows that the highest Ra value is in the LL-2D community. This means that the species at the site are unique, and the majority can only be found in that community.

In addition to the value of species richness, abundance, and rarefaction, Diversity of Microalgae can also be tested with two types of indices, namely the Shannon-Wiener Index (H') and Simpson's Index ( $\lambda$ ). Based on the test of the two types of indices, it shows that the microalgae community as a whole has relatively varied diversity, from low to relatively high. Based on the values of the Shannon-Wiener Index and Simpson's Index, the HL-1D and HL-2D sites have relatively high biodiversity, the LL-2D sites are classified as having moderate biodiversity, and the LL-1D sites are grouped as communities with relatively low biodiversity. In general, the biodiversity of freshwater microalgae in a high light environment is higher than that in a low light environment (Table 2).

Evenness values usually show the inverse value of the diversity index value (both in the Shannon-Wiener Index or Simpson's Index). In this study, we used two kinds of evenness index, namely Pilou evenness (J) and Hill's ratios (Ea:b). Although the two indices have different statistical calculations, they both show the same trend where the LL-1D site has a high Pilou evenness and Hill's ratios value, while the HL-1D site shows a low value (Table 1).

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Table 2	<b>Table 2.</b> Species fremiess, additioned, diversity, and evenness indices of four community of incroargae								
Sites	SR	MeI	MaI	SA	Ra	Η'	λ	J	$E_{a:b}$
HL-1D	13 <u>+</u> 0.03	3.15 <u>+</u> 0.01	4.24 <u>+</u> 0.02	17 <u>+</u> 0.21	3.79 <u>+</u> 0.01	2.48 <u>+</u> 0.01	0.91 <u>+</u> 0.01	0.35 <u>+</u> 0.00	0.19 <u>+</u> 0.01
HL-2D	11 <u>+</u> 0.11	2.40 <u>+</u> 0.00	3.28 <u>+</u> 0.01	21 <u>+</u> 0.32	3.64 <u>+</u> 0.00	2.31 <u>+</u> 0.02	0.89 <u>+</u> 0.01	0.37 <u>+</u> 0.00	0.22 <u>+</u> 0.00
LL-1D	2 <u>+</u> 0.02	1.15 <u>+</u> 0.01	0.91 <u>+</u> 0.00	3 <u>+</u> 0.52	2.00 <u>+</u> 0.00	0.64 <u>+</u> 0.01	$0.44 \pm 0.00$	0.64 <u>+</u> 0.01	0.78 <u>+</u> 0.02
LL-2D	4+0.01	2.00+0.00	2.16+0.01	4+0.31	4.00+0.01	1.39+0.01	$0.75 \pm 0.01$	$0.54 \pm 0.01$	0.53+0.01

 Table 2. Species richness, abundance, diversity, and evenness indices of four community of microalgae

Note: SR: Species richness; MeI: Menhinick's index; MaI: Margalef's index; SA Species abundance Ra Rarefaction; H': Shannon-Wiener Index;  $\lambda$ : Simpson's Index; J: Pilou evenness;  $E_{\alpha,b}$ : Hill's ratios

3.3. Shannon-Wiener index in each dominant species of microalgae

The Shannon-Wiener Index is a biodiversity parameter that is commonly used in several communities of organisms, both organisms in the blood and in the waters. Specifically, on the calculation of the Shannon-Wiener Index in aquatic environments, we tested the level of H' value in several species of microalgae. Species that have a high level of diversity in freshwater microalgae in this study is *Closterium* sp., *Gleocapsa* sp., *Actinastrum* sp., *Volvox* sp., *Coelastrum* sp., *Scenedesmus* sp., and *Pediastrum* sp. (Table 3).

Table 3. Top seven species diversity of microalgae

Code	Species	H'
Sp17	Closterium sp.	1.055 <u>+</u> 0.02
Sp7	Gleocapsa sp.	0.693 <u>+</u> 0.01
Sp11	Actinastrum sp.	0.693 <u>+</u> 0.01
Sp1	Volvox sp.	0.637 <u>+</u> 0.01
Sp3	Coelastrum sp.	0.562 <u>+</u> 0.01
Sp9	Scenedesmus sp.	0.562 <u>+</u> 0.03
Sp12	Pediastrum sp.	0.562 <u>+</u> 0.01

## 4. Discussion

Microalgae are microorganisms that have a relatively wide distribution in both freshwater and seawater. Microalgae are divided into two types based on the Whittaker classification system, especially from the presence or absence of a nuclear membrane. The two types are prokaryotic microalgae and eukaryotic microalgae (Branco-Vieira *et al.*, 2020). Prokaryotic microalgae are classified into two divisions, i.e. Prochlorophyta and Cyanophyta. Eukaryotic microalgae are divided into nine divisions, i.e. Glaucophyte, Rhodophyta, heterokont, Haptophyta, Cryptophyta, Dinoflagellate, Euglenophyta, Chlorarachniophyta, and Chlorophyta (Barsanti and Gualtieri 2006). In this study, we found Division Cyanophyta, Chlorophyta, and Euglenophyta (Figure 1, Table 1).

Microalgae have a lot of potential in their utilization such as biofuels, bioenergy, cosmetics, pharmaceuticals, and health (Ghufran and Kordi, 2010; Chia *et al.*, 2018). Especially for the use of microalgae as biofuels and bioenergy, the important macromolecules produced in microalgae are lipids. High lipid content can determine a high level of biofuel potential in microalgae. The use of markers (morphological, anatomical, metabolite, and molecular markers) can be considered to exploit triacylglyceride-producing metabolic pathways in microalgae such as in higher plants.

Microalgae are photosynthetic microorganisms that have the potential to be used for fine chemicals products (Ghufran and Kordi, 2010; Barbera *et al.*, 2018), food additives for humans and animals, immobilization systems for the formation of extracellular compounds, heavy metal biosorption, and  $CO_2$  fixation. With an oil content of 77%, microalgae also have the potential to be used as biodiesel, which is an alternative energy source; and based on calculations, microalgae can produce 200 times more oil than other vegetable sources (Litinas *et al.*, 2020). The advantage of microalgal biodiesel is that it is a renewable source (Moshood *et al.*, 2020). In addition, with its location at the equator, Indonesia has a very sufficient source of sunlight as an energy source for photosynthetic microalgae (Gunawan, 2010).

Microalgae are the only source of biodiesel that has the potential to completely replace fossil fuels (Brennan and Owende, 2010; Hajar *et al.*, 2017; Pratami *et al.*, 2022). Unlike other plants, microalgae grow very rapidly and contain lots of oil/lipids. Microalgae undergo exponential growth in about 3.5 hours. The lipid content of microalgae can exceed 80% of their dry weight. Each microalga has a different amount of lipid content (Gunawan, 2010; Pratami *et al.*, 2022). In other organisms, for example in snake fruit, lipid metabolites are relatively diverse (Fendiyanto *et al.*, 2020; Fendiyanto *et al.*, 2021).

Microalgae collection in the laboratory can use one or more types of microalgae which are given special nutrients into a culture such as  $NO^{3-}$  or  $PO_4^{3-}$  and carried out with lamp irradiation (Bold and Wynne, 1985; Moshood et al., 2020). The microalgae growing media used must contain inorganic elements in the form of N, P, Fe, and Si (Chisti, 2007). Microalgae culture requires inorganic nutrients in the form of macronutrients including C, H, O, N, P, K, S, Mg, Si, and Ca, while microelements include Fe, Zn, Cu, Na, Mo, Co, B, Mn, Cl and Ni (Agustini, 2006; Hamim, 2007). The main macronutrients in the form of N in the form of NO3<sup>-</sup> and P in the form of PO43- are needed for microalgae growth, so that these two elements are limiting factors in microalgae growth. Research conducted by Griffiths and Harrison, (2009) reported that the reduction of nitrogen concentration in microalgae media Greens can increase the lipid content from 41% to two times. Elemental P is needed by microalgae in regulating growth and metabolic processes, which is used to compose cell membranes (phospholipids), as a basic material for ATP and nucleic acid synthesis (Theodorou et al., 1991; Barbera et al. 2018). Elemental P in the nutrient solution is usually in the form of  $PO_4^{3-}$  which will be absorbed by microalgae under conditions that receive a lot of light and in a pH between 6-7 (Lewin 1962). Macronutrients such as

P are important in the formation of proteins. Restriction of P in green microalgae *Selenastrum minutum* reduces its protein content (Theodorou *et al.*, 1991). Gunawan (2010) also reported that microalgae produced high lipid content at 0.2 M concentration of N and 0.6 mM of P concentration in BG 11 medium. K element functions in carbohydrate metabolism, Fe and Na elements play a role in the formation of chlorophyll. The elements Si and Ca are materials in the formation of cell walls.

Micronutrients are given in small amounts and must remain with the function of a catalyst during the biosynthetic process to support the growth of organisms. In microalgae growing media, EDTA or citrate is usually added to stabilize the micronutrient function and also functions as a chelator (Widianingsih et al., 2008). In addition, the addition of phosphate salts as a buffer solution or buffer solution will cause the pH of the growing medium to become stable (Sidabutar, 1999). Microalgae culture is usually in the pH range between 7 to 9 and the optimum pH is between 8.2-8.7 which will increase the growth rate of microalgae (Abdulazis, 2010). There are many variations of the growing media used on a laboratory scale or cultivation scale with different mineral compositions according to the needs and types of microalgae used. Microalgae culture under exponential phase conditions is usually carried out within 4 to 7 days of inoculation, during which time microalgae should be given medium conditions with optimal nutrient concentrations for microalgae growth (Sutomo et al., 2007). Based on research conducted by Gunawan, (2010), it is known that BG 11 medium is the best culture medium for microalgae. According to Gunawan, (2010), BG 11 is usually used to isolate Cyanophyta and freshwater microalgae. BG 11 medium contains NO<sup>3-</sup> as a source of N, while  $HPO_4^{2^-}$  and  $H_2PO^{4^-}$  as a source of P and as buffering agents (Reine and Trono, 2002).

Molecular identification using DNA is not influenced by morphological characteristics, is easier, and accurate (Pandin, 2010; Fendiyanto *et al.*, 2019a; Fendiyanto *et al.*, 2019b; Satrio *et al.*, 2019; Miftahudin *et al.*, 2021; Satrio *et al.*, 2022; Pratami *et al.*, 2022), i.e. gene expression analysis and metabolomic approaches are used in broad studies especially in many crops (Bendjedid *et al.*, 2022; Deabes *et al.*, 2022; Kamillah *et al.*, 2022). One of the molecular markers that can be used in the identification process of microalgae is the 18S rRNA gene. Wang *et al.*, (2014) in their study used the 18S rRNA marker which is a ribosomal recommendation genome used to detect eukaryotic microscopic organisms in ecosystems. In this study, we tried to find ecological markers (especially abiotic factors) to predict the level of microalgae diversity.

## 5. Conclusion

In general, we found differences in species composition or division among the two sites in this research. Specifically, to site HL, we can find all Division Chlorophyta, Cyanophyta, and Euglenophyta. In low light community (LL), we did not find Euglenophyta in our freshwater sample. The most dominant species found in the HL environment were Volvox sp., Chroococcus sp., Coelastrum sp., Tetraspora cylindrica, Mougeutia sp., Lepocinclis sp., Gleocapsa sp., Sphaeroplea sp., Scenedesmus sp., Cryptoglena sp., Actinastrum sp., Pediastrum sp., Oscilatoria sp., Nostoc sp., Ulothrix sp., Pediastrum sp., Microcystis sp., Closterium sp., Selenastrum sp., Centritractus belanophorus, and Ankistrodesmus sp. Species found in the LL environment were Uronema elongasi, Bulbochaeta sp., Pleurotaenium sp., and Zygnema sp. Microalgae communities in high light communities have higher diversity than low light communities. This study can be used as a reference for the diversity of microalgae in two different types of environments, especially in the tropics and in freshwater microalgae communities. This diversity data could be a reference for researchers and provide preliminary information of microalgae potency as alternative biofuels in the future.

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# Calcium Nitrate Toxicity on Rat Liver and Kidney Functions: A Biochemical and Histopathological Evaluation

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## Abstract

Calcium NitrateTetrahydrate is a wide-used nitrogen fertilizer in Algerian agriculture. The present study was aimed to examine the toxic effects of calcium nitrate on kidney and liver functional biochemical markers. Twenty-eight male albino *wistar* male rats were divided into three treated groups receiving orally 200, 400 and 800mg/kg of calcium nitrate, and one untreated control group. Results showed a dose- dependent increase in kidney and liver relative weights, serum levels of glucose, cholesterol, triglyceride, blood urea, creatinine, and uric acid, and enzymatic activity of transaminases and alkaline phosphatase. However, serum protein and albumin levels were significantly decreased in a dose dependent manner as compared with those of control group. In addition, hepatic and renal histological changes were evidenced by hepatocyte degeneration, necrosis, dilation and sinusoid congestion, atrophy of glomeruli, vascular congestion, and infiltration of inflammatory cells. It is noteworthy that these adverse stress effects were higher in 400 and 800 mg/kg calcium nitrate treated rats than those treated with 200mg/kg and control group. In conclusion, the study proved the effective ability of subacute exposure of calcium nitrate to induce liver and kidney stress dysfunctions.

Keywords: Calcium Nitrate; Histology; Liver and kidney stress dysfunctions; Rats

# 1. Introduction

Synthetic fertilizers are widely used in agriculture as a quick and less expensive source of delivering plant nutrients leading to increase productivity (Ahmad et al., 2017) and agricultural yield, but soil, air and water contamination effects on human and environmental health limit its use (Panico et al., 2020; Elahi et al., 2019; Li et al., 2019). Calcium is an essential macronutrient for a variety of biological functions (Meriño-Gergichevich et al., 2010), including regulation of plant growth and development (Hepler, 2004). Hence, calcium fertilizers such as calcium nitrate and calcium chloride, the most common calcium fertilizer forms, can be used to increase the calcium content of fruits (Lanauskas et al., 2012). Calcium can be delivered quickly from calcium nitrate to crops in fertigation systems, as well as to those of rapid growth and those undergoing specific periods of high calcium demand(Martínez et al., 2013).Further, nitrates, the end product of nitrogen fertilization, can be washed into water streams when it is not absorbed by plant roots, and, noteworthy, the green leafy foods like lettuce have the highest nitrate levels, the 60% of total nitrousoxide emissions could be linked to agricultural soil management practices (Vitale et al., 2017; Liu et al., 2014).Additionally, consumption of nitrates or nitrite in drinking water and food may result in the onset of health

condition disorders, such as methemoglobinemia and cancers of the stomach, liver, colon, and lungs in humans, and particularly thyroid and kidney cancers, and non-Hodgkin's lymphoma (Espejo-Herrera et al.. 2015). Moreover, the nitrate reduction process starts with mouth bacterial flora producing nitrate reductase enzymes, and hence about 25% of ingested nitrate can be converted to NO<sub>2</sub> in the mouth. The acidic pH of the stomach promotes the formation of nitrous acid, which can be metabolized into various nitrogen oxides, including nitrogen dioxide (NO2-2) and dinitrogen trioxide (N2O3) depending on the redox environment and gastric content. In addition, enterosalivary circulation of nitrate has been described after its absorption in the intestine, where it is converted into nitrite in the mouth and, then the cycle is restarted (Pereira et al., 2013). Of note, the metabolism of nitric oxide and nitrosamine in the body results in the formation of nitrate which is reported as the highly carcinogenic agent leading to carcinoma of the stomach, liver, and esophagus (Kim et al., 2002).Several studies have reported the effects of nitrate induced-alterations liver and kidney biochemical markers in experimental animals(Azzez et al., 2011). Therefore, the present work aims to study the biochemical and histological stress effects of calcium nitrate on hepatic and renal functions in rats.

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### 2. Materials and Methods

#### 2.1. Chemical materials

Calcium nitrate tetra-hydrate  $(Ca(NO_3)_2-4H_2O)$  as Calnisol® (Case number: 10124-37-5) was purchased from a fertilizer company named Profert, Bejaia, Algeria.

# 2.2. Biological materials

Twenty-eight male albino wistar rats weighing  $240 \pm 20$  g and obtained from the Pasteur Institute, Algiers of Algeria were housed on sawdust in plastic cages in an animal house of our Institution maintained with a temperature of  $25\pm2^{\circ}$  C, humidity  $50\pm10\%$ , and natural photoperiod. Animals were fed ad-libtium with sticks consisting of corn, barley, milk and vitamin supplements, and tap drinking water.

## 2.3. Experimental procedures

Rats were acclimated 21days before experiments, and then were divided randomly into three (seven rats/group) treated groups that received 200, 400, and 800mg/kg doses of calcium nitrate respectively, and one untreated control group of seven rats per group. Calcium nitrates were dissolved in mineral water and were given orally to rats for 30 days. Thereafter, animals were sacrificed by cervical decapitation, and blood samples were collected into heparinized tubes for the biochemical analyses. Liver and kidney organs were removed for histo-pathological evaluation.

## 2.4. Biochemical analyses

The blood samples were centrifuged for 15 minutes at 3000 rpm, and the resulting plasma was stored at -20°C to be used for the spectrophotometric evaluation of biochemical parameters (glucose, cholesterol,

triglycerides, transaminases (ASAT and ALAT), alkaline phosphatase (ALP), total proteins, albumin, creatinine, urea and uric acid) using commercially available diagnostic kits (Diagnopharm, Bouira, Algeria;Réf, B07050012, B11010011, B16010011, B18005022, B17005022, B20005022,, B08030013, B24050012, B05020022, B10020024 and B01010011 respectively) using a fully automated chemistry analyzer.

## 2.5. Histological examination

The liver and kidney from the experimental rats were removed, rinsed with physiological water and fixed in Bouin's solution for 24 hours, and embedded in paraffin wax. The tissue sections were then cut into slices of 5  $\mu$ m thickness by a rotary microtome, and stained with hematoxylin and eosin (Hould, 1984). The preparations were dried and observed with an optical microscope (OPTIKA brand).

#### 2.6. Statistical analysis

Data were expressed as mean  $\pm$  SD values. The comparison between control and treatments was tested by Student's t-test using IBM SPSS Statistics 23.0 software. Differences were considered statistically significant at p<0.05.

## 3. Results

## 3.1. Physiological observations

The liver relative weights (bwt) per 100g of the animal body were significantly higher in 400 and 800 mg/kg calcium nitrate treated rats than those of 200mg/kg and controls since kidney relative weights showed no significant changes (Tab.1).

Table 1. Changes in hepatic and renal relative weights in control rats and treated rats (data are given as mean ± SD, 7rats/group).

	Treatments			
Parameters	Control	200mg /kg	400mg/ kg	800mg/kg
Relative liver weight (g/100 g b.w) Relative kidney	2.70±0.14	2.74±0.14	3.01±0.24*	3.06±0.17***
weight (g/100 g b.w)	0.23±0.01	0.24±0.02	$0.24 \pm 0.02$	$0.24 \pm 0.02$

\*p <0.05; \*\*\*\*p < 0.001: Significant difference compared to the control group.

#### 3.2. Biochemical results

The serum levels of glucose, cholesterol and triglycerides were significantly increased in treated groups compared with control group (Table 2). As indicated in Tables 3, calcium nitrate treatments caused a significant elevation in the enzymatic activity of transaminases (ASAT, ALAT) and alkaline phosphatase (ALP) enzymatic activities, along with a marked decrease in protein and albumin levels. In addition, the levels of serum blood urea, creatinine, and uric acid levels were higher in calcium nitrate treated rats compared to controls (Table.4).

Table 2. Lipid profiles in control and treated rats (Data are given as mean  $\pm$  SD, 7 rats/group).

	Treatments					
Parameters	Control	200mg/kg	400mg/kg	800mg/kg		
Glucose (g/l)	1.12±0.07	1.15±0.09	1.21±0.05**	1.25±0.05***		
Cholesterol (g/l)	$0.96 \pm 0.08$	$0.96 \pm 0.07$	$1.05 \pm 0.05*$	1.11±0.05***		
Triglycerides (g/l)	0.68±0.17	$0.67 \pm 0.07$	$0.80 \pm 0.03*$	0.86±0.04**		

\*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001: Significant difference compared to the control group.

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Table 3. Hepatic biochemical profiles in control and treated rats (data are given as mean  $\pm$  SD, 7 rats/group).

	Treatments			
Parameters	Control	200mg/kg	400mg/kg	800mg/kg
ASAT (U/L)	114.61±16.29	111.73±10.30	123.37±5.35	134.67±5.29**
ALAT (U/L)	67.60±9.03	63.40±9.50	73.41±4.45	78.73±5.69**
ALP (U/L)	117.16±5.46	118.31±10.02	129.67±5.41	137.14±7.40**
Total protein (g/l)	71.17±5.18	64.37±8.17	60.87±4.09***	59.56±3.12***
Albumin (g/l)	36.00±1.85	35.04±1.14	33.98±1.70*	33.51±1.27**

p < 0.05; p < 0.01; p < 0.01; p < 0.001: Significant difference compared to the control group.

Table 4. Renal biochemical profiles in control and treated rats (Data are given as mean  $\pm$  SD, 7 rats/group).

	Treatments				
Parameters	Control	200mg/kg	400mg/kg	800mg/kg	
Urea (mg/dL)	40±0.2	40±0.3	42±0.2	43±0.2**	
Creatinine (mg/dL)	$1.17 \pm 0.10$	1.19±0.09	1.21±0.08	1.30±0.05**	
Uric acid (mg/dL)	4.75±0.54	4.59±0.48	4.94±0.44	5.31±0.44*	

\*p < 0.05; \*\*p < 0.01: Significant difference compared with the control group.

## 3.3. Histopathological observations

As shown in Figs 1 & 2, liver and kidney sections from rats treated with 200, 400, and 800 mg/kg doses of (Ca  $(NO_3)_24H_2O$ ) for 30 days revealed marked histological alterations when compared with those of control rats, showing regular histological architecture with hexagonal lobules, and visible capillary sinusoids (Fig1A, B). No histological damage was found in the liver of the 200mg/kg (Ca  $(NO_3)_24H_2O$ ) treated group compared with the control group, while the higher doses (400 and 800mg/kg) showed considerable vascular dilation and congestion, degeneration of hepatocytes, hemorrhage, and lipid vacuolation, and thus moderate inflammations compared to the control group (Fig 1, C – F). Moreover, Microscopic observations of renal tissues from (Ca  $(NO_3)_24H_2O$ ) treated rats showed severe histopathological changes evidenced by glomerular atrophy associated with dilation of Bowman's space, intra-glomerular hemorrhage, and vascular congestion (Fig 2, B- D) when compared with kidney from control rats showing normal renal parenchyma with well-defined renal glomeruli and tubules (Fig 2A).



Figure 1. Photomicrograph of liver histology stained with H&E from control rats and rats treated with calcium nitrate for 30 days. The<br/>control group's liver sections revealed normal preserved histoarchitecture (A-B). The damage caused by calcium nitrate in liver sections (C–<br/>H)increasesinaconcentration-dependentmanner.

CV, centralvein; S, sinusoids; H, hepatocyte;DH, degenerated hepatocyte; V,vacuoles; H, hemorrhage; LI,

leucocyte infiltrations; DCV,dilated central vein; DS, dilatedsinusoid;



Figure 2. Photomicrographs of H&E-stained kidney histological sections from control and treated rats. The control group's kidney sections revealed normal preserved histoarchitecture (A). The damage caused by calcium nitrate in kidney sections (B–D) increases in a concentration-dependent manner.

AG, atrophy of glomerulus; BSD, Bowman's space dilatation; VC,vascular congestion; LI, lymphocyte infiltration; H, haemorrhage

## 4. Discussion

The intensified use of synthetic fertilizers, including nitrate fertilizers leads to multiple human health problems (WHO, 2006). The chemical components of the fertilizers can affect the digestion and absorption processes and the metabolic use of ingested food(Weil and McCollister, 1963; OCDE, 2000).Nitrates from fertilizers applied on soil surface or animal excrements contaminated drinking water reserves can seep into groundwater, and cause consequently human and animal health problems (Lockhart, 2013). Thus, the present study was conducted to evaluate the potential toxicity of (Ca (NO<sub>3</sub>)<sub>2</sub>4H<sub>2</sub>O), a nitrogen fertilizer, in rats. The organ weights are a valuable tool in assessing the in vivo toxicity of a xenobiotic (Bailey et al., 2004; Sellers et al., 2007). In this regard, our results revealed significant increase in the liver relative weights. This result is in agreement with the study of Lee et al. (2020) reporting an increase in the hepatosomatic ratio in rats that received 1000mg/kg/day of ammonium nitrate, in addition to the result of Bouaziz-Ketata et al., (2014) showing an increase in relative liver weight in rats treated with NaNO3 for 7 weeks. This hepatomegaly can be explained by the over-espression of oxidative stress and over-excitation of the liver detoxification process in response to the harmful effect of xenobiotics (Guerriero et al., 2014; Abdel-Gawad et al., 2020).Furthermore, blood glucose level was significantly increased in treated rats compared with controls, and this concords with those previously reported (Azzez et al., 2011; Delgadoa et al., 2018). The hyperglycemia due to calcium nitrate treatment is likely explained by the activation of the glycogenolysis process, resulting in the release of glucose by glycogen phosphorylase under the action of amylase(Hijmans et al., 2014). Several European research studies have attempted to discover a close association between nitrate concentration in drinking water and insulin-dependent diabetes by increasing blood glucose while decreasing hepatic glycogen (IDD), but the comparison revealed sparse and contradictory data(Moltchanova et al., 2004). On the other hand, results showed a very highly significant increase in the levels of cholesterol and triglycerides. This hyperlipidemia can be explained by hypothyroidism(Jublanc and Bruckert, 2004; Pearce, 2004). Previous in vivo experimental studies have proven that inorganic nitrate is a short-term goitrogenic agent causing hypertrophy of the epithelial cells of the thyroid gland(Boukerche et al., 2007; Gatseva and Argirova, 2008; Messaadia et al, 2013). On top of that, (Ca (NO<sub>3</sub>)<sub>2</sub>4H<sub>2</sub>O) treated rats for 30 days resulted in an elevation of enzymatic activity of transaminases (AST, ALT) and alkaline phosphatase (ALP) and bilirubin level, and a lowering of total protein and albumin levels. This result is in line with that previously reported (Messaadia et al., 2013; Fouad et al., 2017; Kattiaa et al., 2017). In addition, increased levels of ALT and AST in the blood indicate their increased release in blood following hepatocyte necrosis leading to liver impaired function (Krim et al., 2013). As previously reported, the concentration of transaminases (AST, ALT) may become high in ammonium nitrate (Messaadia et al., 2013) or sodium nitrate treated rats (Delgadoa et al., 2018). In this study, calcium nitrates induced a marked decrease in blood total protein levels. A similar result was reported in adult rats treated with sodium nitrate in drinking water at concentrations of 550 mg/L for four months (Azza et al., 2011). The decline in blood total protein levels is mainly due to the effects of nitrate on the liver, either through necrotic alterations or other mechanisms (Anthony et al., 1994). Additionally, waste products from protein metabolism that are eliminated by the kidneys, such as blood creatinine, urea, and uric acid concentrations, are usually regarded as indicators of kidney function (Tootian et al., 2012). Accordingly, our results showed a significant increase in blood urea, creatinine, and uric acid levels in response to (Ca(NO<sub>3</sub>)<sub>2</sub>4H<sub>2</sub>O)toxicity, similar to those previously reported (Messaadia et al., 2013). The increased blood urea, uric acid, and creatinine levels suggested impaired renal function as evidenced by changes in reabsorption threshold, renal blood flow, and glomerular filtration. The results of the present work indicated that (Ca (NO<sub>3</sub>)<sub>2</sub>4H<sub>2</sub>O) induces histopathological alterations in the liver characterized by venous congestion, mononuclear cell infiltration, cytoplasmic vacuolation of hepatocytes, and fatty degeneration. These histological alterations were similarly reported in some previous studies (Bouaziz-Ketata et al., 2014; Kattaia et al., 2017; Delgadoa et al., 2018; Ikele et al., 2021), and in return, calcium nitrate treatment resulted in the dilation of the renal tubules, atrophy of the glomeruli, and leukocyte infiltration. In this regard, Anwar and Mohamed (2015) reported glomerular atrophy and renal blood vessel congestion in the kidneys of rats treated with 500 mg/L NaNO<sub>3</sub> for 4 and 6 weeks.

## 5. Conclusion

 $(Ca (NO_3)_24H_2O)$  caused marked dose-dependent changes in liver and kidney biochemical stress profiles and consequently led to renal insufficiency without hepatotoxicity. Conclusively, the excessive use of higher doses of calcium nitrate may place mammalian and human health at risk.

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## **Conflict of Interests**

None.

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# Astragalus sarcocolla Gum-mediated a Novel Green-synthesis of Biologically Active Silver-Nanoparticles with Effective Anticancer and Antimicrobial activities

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# Abstract

Cancer diseases and microbial infections are the subject of several ongoing recent researches. In this paper, aqueous extract of red and yellow Astragalus sarcocolla gum was used as safe and green agent, for the synthesis of novel biologically active silver-nanoparticles. Six silver nanoparticles (AgNPs) with different characters were obtained using sarcocolla gum at (5, 10 and 20 mg/ml (w/v)) concentrations. All biosynthesized AgNPs were characterized using UV-visible spectroscopy, Transmission Electron Microscopy (TEM), and Dynamic light scattering (DLS). Cytotoxic effect of sarcocolla gum-AgNPs against five human cancer cells and one normal cells was determined using the MTT (3-(4, 5-dimethylthiazol-2-yl)-2, 5diphenyltetrazolium bromide) assay. The semi rounded particles formed from 5mg/ml red gum (AgNPs-RG1) and having 9.23±3.66 nm size range were the most active and possessed high cytotoxic effect against, A549 lung, pc3 prostate, Mcf-7 breast and paca2 pancreatic cancers, with IC<sub>50</sub> values (88.4,75.6, 72.2& 55.0 ug/ml, respectively), but showed low cytotoxic effect (20 % at 100ug/ml) against human normal cells (BJ-1). On the other hand, the spherical to cubic shaped nanoparticles obtained from 5mg/ml yellow gum (AgNPs-YG1) with  $10.92 \pm 5.48$  nm size range, showed good cytotoxic effect against three cancer cells (PC3 prostate, MCF-7 breast and paca2 pancreatic) with IC<sub>50</sub> values (75.0, 82.8 and 81,6ug/ml respectively), and normal cells(BJ-1) with IC<sub>50</sub> value (81.8ug/ml). Antibacterial and antifungal activities of the synthesized red and yellow gum-mediated AgNPs with different sizes and shapes were also investigated. Particles biosynthesized from 5 and 10 mg/ml red gum (AgNPs-RG1, RG2) and 5mg/ml yellow gum (AgNPs-YG1) exhibited broad spectrum antimicrobial effects against all tested pathogenic gram-positive, gram-negative bacterial strains, unicellular yeast and filamentous fungi. Based on these results, it can be concluded that the synthesized silver nanoparticles from low concentrations of sarcocolla gum have significant biomedical applications.

Keywords: Green-synthesis, Astragalus sarcocolla gum, Biosynthesis, AgNPs, Anticancer, Antimicrobial.

## 1. Introduction

Different microbial infection diseases and severe cancers are the most widely spread and diagnosed diseases worldwide (Mackey et al., 2014). Repeated use of current conventional treatments of synthetic antibiotics against microbial infection diseases, causes the antibiotic resistance, and inadequate treatments of these drugs (Bisht et al., 2010). On the other hand, using synthetic radiation therapy, surgery, generic drugs, chemotherapy, and hormone therapy did not show an effective result in cancer disease treatments (Qi et. al., 2015; Akram et al., 2017). Therefore, more effort has been made to search for new low-cost materials with high efficiency for treating these diseases. The production of metal nano-particles made with natural biomolecules was considered as novel and alternative antimicrobial and effective anticancer modalities (Vasan et al., 2019).

Silver nanoparticles (AgNPs) are considered one of the most potential researched metal nanoparticles with high biological activities due to their unique physical properties, particularly their small size, large surface area, stability, and tunable size (Raghavendra et al., 2016; Pandey et al., 2016, Alharbi et al., 2023). The biological applications of AgNPs have been greatly demonstrated in the fields of pharmaceuticals biotechnology, and medicine (Raghavendra et al., 2016; Lee and Jun, 2019). In addition, several researches indicated that involving the integration of nano-particles with biological molecules led to the development of antimicrobial agents, therapies in cancer, therapeutic medicines, drug delivery systems, labeling agents, etc. (Roy et al., 2015a, Song and Kim 2009, Kokila et al., 2015, Daniel and Astruc 2004).

AgNPs are generally synthesized using various synthetic methods such as photochemical, chemical, electrochemical and  $\gamma$ -irradiation (Li *et al.*, 2007; Raju *et al.*, 2014). Most of these synthetic methods are hazardous due to application of toxic chemical agents which pose

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problems and biological environmental hazards (Mehndiratta et al., 2013). In recent times, much effort is being focused, by researchers, to prepare AgNPs using "green chemistry approaches", in which silver salts are biologically reduced to AgNPs using nontoxic and natural low cost polymers such as bacterial biomass (Abd El Aty and Zohair, 2020), Fungi (Abd El Aty et al., 2020), algae (Alharbi et al., 2020), Yeasts (Ammar et al., 2021), plant extracts (Parveen et al., 2016) and extracts of different natural gums, i.e., kondagogu-gum (Rastogi et al., 2014), salmalia malabarica gum (Murali krishna et al., 2015) guar gum (Pandey and Mishra 2014, Pandey and Mishra, 2016), ghatti gum (Kora et al., 2012). These biopolymers are used as both stabilizing and reducing agents (Demchenko et al., 2020; Wang et al., 2021). Astragalus sarcocolla a historical shrub, belongs to the Fabaceae family able to form the gum as a secondary metabolite. Sarcocolla gum is one of the most famous gum resins in Kingdom of Saudi Arabia used in folk medicine for treating wounds, flatulence and used as antiviral agent to prevent various winter diseases in children (Ababutain, 2017).

Based on the research articles published on the medicinal applications of the genus "*Astragalus*", we have noticed that *sarcocolla* gum resin has not been studied as a reducing and capping agent for biological synthesis of AgNPs. Therefore, the objective of this study is to explore the potential green synthesis of AgNPs with *Astragalus sarcocolla* gum aqueous extract as a reducing, capping, and stabilizing agent and ascertain characterization of gum-AgNPs. Also, the biological activity of silvernanoparticles as antibacterial and anticancer agents was evaluated on Gram-positive and Gram-negative bacteria, yeast, fungi and five carcinoma cell lines for their potential biomedical applications.

## 2. Materials and Methods

# 2.1. Preparation of Astragalus sarcocolla gum aqueous extract

Sarcocolla red and yellow gum of the Astragalus sarcocolla tree was obtained from local herbarium market in Hafr Al Batin City, Saudi Arabia. Red (**RG**) and yellow (**YG**) gum was ground separately using ceramic mortar into a fine powder in a 100 ml Erlenmeyer flask. 1 g of fine powder along with 20 ml of distilled water was stirred for 60 min on magnetic starrier (60rpm and 30°C). Further, the aqueous extracts were centrifuged at 5000 cycle/min for 10 min., and the stock extracts of (50 mg/ml) concentration were stored at 4 °C for future studies.

## 2.2. Protein content

According to Lowry *et al.* (1951), the protein concentration in stock extracts of red and yellow gum was assayed.

#### 2.3. Green-synthesis of silver nanoparticles

Three different concentrations of (5, 10, 20 mg/ml) were prepared from red and yellow stock aqueous extracts and evaluated for biosynthesis of nano-silver. 10 ml of each prepared concentration was mixed with 5 ml of 4mM

AgNO<sub>3</sub> separately at 10 pH and incubated in a dark rotating shaker of 150 rpm and 28-30 °C for 24 hours (Abd El Aty *et al.*, 2020).

## 2.4. Characterization techniques

#### 2.4.1. UV-visible spectroscopy

The formation of silver nanoparticles was initially detected by visual observation of color change from pale red and pale yellow to reddish-brown color. The bioreduction of silver ions was monitored by UV–Visible spectrophotometer. The absorption spectrum of the aqueous filtrate was scanned in the range of 200–800 nm. The sharp peak given by UV visible spectrum indicated the formation of AgNPs at the absorption range 400–450 nm.

### 2.4.2. Transmission Electron Microscopy (TEM)

TEM analysis was performed to determine the morphology, size and shape of the silver nanoparticles. TEM measurements were done by (TEM; JEOL, JEM-2100, Japan) according to Ammar *et al.* (2021).

# 2.4.3. 2.4.3. Dynamic light scattering (DLS)

Zeta potential and distribution of particle size were measured using Dynamic Light Scattering (Particle Sizing Systems, Inc. Santa Barbara, California, USA) in the range of  $0.1-1000 \ \mu\text{m}$ . Dynamic light scattering system (DLS) was used for the analysis of average size and distribution of particles in solution which gives the hydrodynamic diameter of particles.

2.5. Cytotoxicity assay

# 2.5.1. Cell culture

Cytotoxic assays were performed using five human cancer cell lines namely (A549 lung cancer, HCT116 colon cancer, MCF-7 breast cancer, PC3 prostate cancer, and paca2 pancreatic cancer) and one humane normal cell line (BJ-1), all cells purchased from the karolinska center, Department of oncology and pathology, Karolinska institute and hospital, Stockholm. Cancer cells were maintained in DMEM medium and DMEM F12 in case of normal cells. All media were supplemented with 10% fetal bovine serum and 100  $\mu$ g ml<sup>-1</sup> streptomycin, and 100 IU ml<sup>-1</sup> penicillin. And then the cells were incubated at 37C° in 5 %CO<sub>2</sub> and 95% humidity. Cells were subcultured using trypsin 0.15 % (Abd El Aty *et al.*, 2020; Alharbi *et al.*, 2020).

#### 2.5.2. MTT Assay for testing cell viability

Cancer cells were seeded at a density of  $1 \times 10^4$  and  $1 \times$ 10<sup>5</sup> (in case of normal cells) per well into 96-well plates in appropriate culture medium and pre-incubated for 24 h at 37°C humidified atmosphere containing 5% CO2. After that, the cells were treated for 48 h with different concentrations of sample (12.5, 25, 50 and 100  $\mu$ g ml<sup>-1</sup>) in the respective cell culture medium. Doxorubicin was used as positive control and 0.5 % DMSO was used as negative control. Cell viability was determined using the MTT (3-5-dimethylthiazol-2-yl)-2, 5-diphenyltetrazolium (4. bromide) assay as described by Mosmann (1983). The equation used for calculation of percentage cytotoxicity was:

#### Percentage of cytotoxicity %= (1- (av(x) / (av(NC))) \* 100

Where Av: average, X: absorbance of sample well measured at 595 nm with reference 690 nm, NC: absorbance of negative control measured at 595 nm with reference 690.

The IC<sub>50</sub> values were determined using probit analysis and utilizing the SPSS computer program (SPSS for windows, statistical analysis software package / version 9 / 1989 SPSS Inc., Chicago, USA).

## 2.5.3. Cellular morphology

Microscopic examination of human tested cancer cell lines was checked by using Inverted microscope (Nikon, TMS-F, New York, USA).

## 2.6. Assessment of antimicrobial activity (Bioassay)

Silver-nanoparticles biosynthesized from aqueous extract of red gum (R1, R2, R3) and those prepared using aqueous extract of yellow gum (Y1, Y2, Y3) were tested against harmful pathogenic bacteria, yeast and fungi by the agar diffusion technique (Abd El Aty and Ammar, 2016).

## 2.6.1. Antibacterial assay

All prepared AgNPs were screened against pathogenic strains of Gram-positive (Staphylococcus aureus ATCC29213, Bacillus subtilis ATCC6633, Lactobacillus cereus ATCC14579) and Gram- negative (Escherichia coli ATCC25922, Salmonella enterica ATCC25566). Suspensions of all pathogenic strains were prepared and adjusted to be approximately  $(1 \times 10^6 \text{ spores}^{-\text{ml}})$ . 1 ml of each bacterial suspension was inoculated into a plate containing 50 ml of sterile nutrient agar medium (NA). Wells of about 15 mm in diameter were made in the solidified agar media and filled with 400 µl of AgNps colloidal solution, then they were left for 120 min at 4 °C for compound diffusion. The plates were incubated for 24 h at 30 °C for bacteria growth. The inhibition zones of all tested organisms were recorded in millimeters at three different points, and the average values are reported as Mean ± SD using MS Excel (Abd El Aty and Zohair, 2020).

## 2.6.2. Antifungal assay

The antifungal effects of biosynthesized AgNPs were tested against the unicellular yeast (*Candida albicans* ATCC10321) and filamentous fungus (*Aspergillus niger* NRC53). One ml of  $(1 \times 10^8 \text{ spores}^{-ml})$  fungal suspensions was inoculated into 50 ml sterile potato dextrose agar (PDA) medium. 400µl of AgNps colloidal solution was added to each well separately and left for compound diffusion. The plates were incubated for 72 h at 28 °C and inhibition zones were recorded in millimeters.

## 3. Results and Discussion

# 3.1. Gum extract preparation and protein content evaluation

Three different concentrations of *sarcocolla* gum (5,10 and 20 mg/ml) were prepared from the stock aqueous extract of (50 mg/ml, w/v) Fig. 1. Results of protein analysis according to Lowry *et al.* (1951) indicated the presence of higher protein content in stock aqueous extract of yellow-gum (5.458mg/ml), greater than the red-gum (3.161mg/ml) as shown in Table 1.



**Figure 1.** Photograph of *sarcocolla* gum. Stock aqueous extract of red (A) and yellow (B) gum at concentration of 50 mg/ml (w/v). **Table 1.** Estimation of protein content of *sarcocolla* red and yellow gum.

Concentration of sarcocolla gum		Protein content (mg/ml)			
(mg/ml) (w/v)	-	Red-gum (RG)	Yellow- gum(YG)		
Stock aqueous extract	50	3.161	5.458		
	5	RG1 (0.316)	YG1 (0.546)		
Tested dilutions	10	RG2 (0.632)	YG2 (1.092)		
	20	RG3 (1.264)	YG3 (2.183)		

3.2. Sarcocolla gum-mediated the synthesis of silver nanoparticles

The effect of gum-concentration on the formation of AgNPs was studied. Red and yellow aqueous extracts of 5, 10 and 20 mg/ml (w/v), containing different protein concentrations, were evaluated as reducing and capping agents for biosynthesis of nano-silver. The formation of the AgNPs during the reduction process is indicated first by the formation of reddish to dark brown color after mixing the gum extracts with AgNO<sub>3</sub>, which can be visually observed. Roy et al., (2015b) emphasized that the colour change resulted from the reduction of silver ions with free electrons excitation, which in turn forms surface plasmon resonance absorption (SPR) bands. In addition, the obtained reddish-brown color of the reaction mixture showed stability without any change at all experiments indicating the stability of the formed AgNPs, in accordance with (Nanda and Majeed, 2014; Ammar et al., 2021).

Results obtained, indicated the ability of *sarcocolla* Gum-proteins to work as reducing and capping agent able to convert  $AgNO_3$  into the nano form. All biosynthesized AgNPs were further characterized by UV-spectroscopy, TEM and DLS analyses.

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## 3.3. Characterization of sarcocolla gum AgNPs

## 3.3.1. UV-Vis spectral analysis

The UV–visible absorption spectra of the AgNPs prepared with different concentrations of red-gum (RG) with 0.316, 0.632 and 1.264 mg/ml protein content respectively are recorded and shown in **Fig. 2.** The UV-vis spectra strong peaks with maxima around 425–440 nm were observed, which correspond to the typical surface plasmon resonance of silver nanoparticles (Kora and Arunachalam, 2012; Mudhafar *et al.*, 2021). In addition, the SPR band broadened with the high gum concentration (RG3) and shifted from 425 to 440 nm, indicating an increase in particle size of AgNPs-RG3, So, the low gum-concentration sample is preferred for synthesis of small sized uniform particles.

Silver-nanoparticles biosynthesized using yellow gum were scanned by UV–Visible spectrophotometer in the ranges of 200 to 800 nm. The result in **Fig. 3** showed that surface plasmon resonance of AgNPs -YG1, YG2 and YG3 appeared at 425, 420 and 420, respectively. The appearance of these peaks is due to the plasmon resonance and inters band transition of AgNPs which proved the biosynthesis of nanoparticles (Ammar *et al.*, 2021). These characteristics are similar to AgNPs synthesized by Kora and Arunachalam, (2012) who detected the formation of AgNPs from Gum tragacanth (*Astragalus gummifer*).

Results obtained in Fig. 3 emphasized that the absorbance intensity increased directly from 2.23 to 2.47 and 3.12 respectively, with increasing the concentration of the yellow-gum extracts. It is also observed that the surface plasmon peak that occurs at 425 nm with the lowest concentration is slowly shifted toward lower wavelength 420nm at high extract concentrations. This shift mainly depends on the particle size and shape (Lee and El-sayed 2006).



**Figure 2.** UV–vis spectroscopy of biosynthesized silvernanoparticle from *Sarcocolla* red gum at 5 (RG1), 10 (RG2) and 20 (RG3) mg/ml concentration.



**Figure 3.** UV–vis spectroscopy of biosynthesized silvernanoparticle from *Sarcocolla* yellow gum at 5 (YG1), 10 (YG2) and 20 (YG3) mg/ml concentration.

3.3.2. TEM analysis

TEM images of the silver nanoparticles synthesized with 5, 10 and 20 mg/ml red gum showed different shapes varying from spherical, cubic to semi rounded particles with a size range of 9.23±3.66 nm for AgNPs-RG1, 11.36±9.57 nm for AgNPs-RG2 and 15.72±10.79 nm for AgNPs-RG3. TEM analysis (**Fig. 4**) also showed well-dispersed AgNPs biosynthesized using low concentrations of red gum (RG1& RG2). On the other hand, some aggregations were observed with samples prepared with high gum concentration (RG3).

Silver-nanoparticles biosynthesized from yellow gum were spherical to cubic, polydispersed particles with different sizes depending on the gum concentration. AgNPs-YG1 showed sizes from 2.00-17.82 nm, and the average particle size was about  $10.92 \pm 5.48$  nm. When the concentration of yellow gum was increased from 5 to 10 and 20 mg/ml, the particle size of the formed nanoparticles increased to about  $12.36 \pm 8.37$  nm and  $15.16 \pm 6.30$  nm respectively as showed in Fig. 5.

The increase in average particle sizes with increase in concentration of the *Astragalus gummifer* gum was also demonstrated by Kora and Arunachalam, (2012). Based on the obtained results, we concluded that the particle size of the nanoparticles can be controlled by varying the gum type and concentration.

The crystallinity of the synthesized nanoparticles was confirmed from the observed clear lattice fringes in highresolution image, and the concentric rings with intermittent bright dots appeared in the selected-area electron diffraction (SAED) pattern as shown in Figs. 4 and 5.



Figure 4. TEM for three synthesized AgNPs using *Sarcocolla* red gum at 5 (RG1), 10 (RG2) and 20 (RG3) mg/ml concentration. Inset shows selected area electron diffraction (SAED) patterns of AgNPs.



Figure 5. TEM for three synthesized AgNPs using *Sarcocolla* yellow gum at 5 (YG1), 10 (YG2) and 20 (YG3) mg/ml concentration. Inset shows selected area electron diffraction (SAED) patterns of AgNPs.

## 3.3.3. Dynamic light scatter analysis (DLS)

Dynamic light scatter analysis (DLS) was applied for the most active biosynthesized silver–nanoparticles, AgNPs-RG1 and AgNPs-YG1 to confirm the formation of particles in nano-size. To detect the average size distribution of AgNPs synthesized using low concentrations of red and yellow gums, two different techniques, INTENSITY-Weighted Gaussian Distribution and Zeta potential were applied. Intensity-weight Gaussian Distribution was found to be 48.2 and 74.2 nm, respectively. And their Zeta potential were -41.32 and -30.54 mv, respectively, which indicated their good stability Fig. 6.

High negative values of AgNPs-RG1 and YG1 appeared clearly to be advantageous for long-term colloidal stability, as mentioned by Eltarahony *et al.* (2018), who indicated that the values less than (-25 mV) or greater than (+25 mV) display higher electrical charge on nano-particles surface, which prevents agglomeration, but Alshammari and Abd El Aty (2022), showed slightly low surface charges of -6.70, -8.73 and 0.16 mv



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Figure 6. Gaussian distribution and Zeta potential of AgNPs biosynthesized by RG1 and YG1.

## 3.4. Biomedical applications of sarcocolla gum-AgNPs

## 3.4.1. Cytotoxicity assay

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Silver-nanoparticles biosynthesized from aqueous extract of red gum (RG1, RG2, RG3) and that prepared using aqueous extract of yellow gum (YG1, YG2, YG3) were tested for their cytotoxic effect against five human cancer cells and one normal cells Table 2. The results, expressed as  $IC_{50}$  values are reported in Table 3.

The results showed that, out of three biosynthesized silver-nanoparticles of red gum aqueous extract, the AgNPs-RG1 was the most active and possessed high cytotoxic effect against four cancer cells, namely A549, pc3, Mcf7 and paca2 with IC<sub>50</sub> values (88.4,75.6, 72.2& 55.0 ug/ml, respectively) and showed low cytotoxic effect (20 % at 100ug/ml) against human normal cells (BJ-1). On the other hand, AgNPs-RG2 showed cytotoxic effect against only paca2 cell line with IC 50 (47.0ug/ml) and AgNPs-RG3 showed only cytotoxic effect against mcf-7 with IC<sub>50</sub> (74.4ug/ml), but two nanoparticles of (AgNPs-RG2 and AgNPs-RG3) showed highly cytotoxic effect against normal cells IC50 (46.8 and 76.4ug/ml). Devanesan et al., (2020) showed good cytotoxicity of As-AgNPs biosynthesized from the plant Ferula foetida (asafoetida gum) against MCF-7 cell lines. Good cytotoxicity of silver-nanoparticles against human cancerous cells mainly occurs due to the high proliferation rate and abnormal metabolism of cancerous cells, which in turn causes the high uptake of nanoparticles by these cells more than normal cells (Park et al., 2010; Madunić et al., 2018).

Results also emphasized that silver-nanoparticles biosynthesized from different concentrations of yellow gum aqueous extract possessed various cytotoxic activity. AgNPs-YG1 showed high cytotoxic effect against three cancer cells (PC3, MCF-7 and paca2) with IC<sub>50</sub> values

(75.0, 82.8 and 81,6ug/ml respectively), and normal cells (BJ-1) with IC<sub>50</sub> value (81.8ug/ml). Another study of Sánchez-Navarro *et al.*, (2018) also confirmed that the lower concentration of *Annona muricata* aqueous extract exhibited cytotoxicity oral fibroblasts. On the other hand, AgNPs-YG3 has high cytotoxic effect against tow cancer cells (MCF-7 and paca2) with IC<sub>50</sub> values (52.6 and 90.3ug/ml respectively), with low cytotoxic effect against normal cells (Bj-1) 13.6% at 100 ug/ml. AgNPs-YG2 possessed high cytotoxic effect against only breast cancer cell (IC50=72.2).

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The cytotoxic effect of the AgNPs-RG1 and AgNPs-YG1 indicates the possible application of these AgNPs as chemotherapeutic agents (Ahamed and AlSalhi, 2010).

**Table 2.** Cytotoxic effect of *Sarcocolla* Gum-AgNPs against five

 different human cancer cell lines and normal cell line (BJ-1).

	Cytotoxicity % <sup>*</sup>							
Treatment	A549	PC3	HCT- 116	MCF- 7	Paca2	Normal cells (BJ-1)		
AgNPs-RG1	55.3	60.8	18.6	64.6	91.5	20.8		
AgNPs-RG2	14.5	46.4	4.4	27	97	100		
AgNPs-RG3	19.9	10	9.1	65	55.7	69.5		
AgNPs-YG1	22.5	58.3	27.7	57.9	94.3	64.6		
AgNPs-YG2	22.2	2.8	21.7	64	40.3	38.2		
AgNPs-YG3	36.2	26.6	28.4	76	52.1	13.6		
Doxorubicin	98.2	96.6	99.4	97.6	98.3	99.8		

\* Cytotoxicity % of AgNPs measured at 100ug/ml against different cancer cell lines.

Table 3. IC 50 µg/ml of Sarcocolla Gum-AgNPs samples.

	IC50 ug/ml					
Treatment	A549	PC3	HCT-116	MCF-7	Paca2	BJ-1
AgNPs-RG1	88.4	75.6	-	72.2	55.0	-
AgNPs-RG2	-	-	-	-	47.0	46.8
AgNPs-RG3	-	-	-	74.4	81.6	76.4
AgNPs-YG1	-	75.0	-	82.8	50.7	81,8
AgNPs-YG2	-	-	-	72.2	-	-
AgNPs-YG3	-	-	-	52.6	90.3	-
Doxorubicin	21.6	21.6	26.1	37.6	28.3	13.5

#### 3.4.2. Cellular morphology

The effects of the *Sarcocolla*-AgNPs-RG1 and AgNPs-YG1 on cellular morphology were observed directly using Inverted microscope as shown in Fig. 7. Untreated cancer cells were homogeneously distributed on a cultured field, exhibiting a uniform polygonal shape. Following incubation with the silver-nanoparticles, various morphological changes were observed. Photos also showed that exposure of the cells to the AgNPs transformed the shapes of the cells from polygonal to circular and resulted in cell shrinkage. The non-active nanopartcles did not show any significant morphological change. Notably, these morphological alterations were observed at 100  $\mu$ g/ml concentration.



**Figure 7.** Microscopic examination of *Sarcocolla*-AgNPs-RG1 and AgNPs-YG1 treated and untreated cells of (a) A549, (b) PC3, (c) HCT-116, (d) MCF-7, (e) Paca2, (f) Normal cells (BJ-1).

#### 3.4.3. Antimicrobial assay

Antibacterial and antifungal activities of the synthesized red and yellow gum-mediated AgNPs with different sizes and shapes were investigated against Grampositive (*S. aureus, B. subtilis, L. cereus*) and Gramnegative (*E. coli, S. enterica*) bacteria using the agar diffusion method. Results in Table 4 indicated that AgNPs-RG1, RG2 and YG1 exhibited broad spectrum antimicrobial effects against all tested pathogenic bacteria, yeast and fungi, but no antimicrobial activity was displayed by AgNPs-RG3, YG2 and YG3, this negative effect may be due to large sized particles and aggregations.

Figs. 8 and 9 showed good antibacterial activity of AgNPs-RG1, RG2 and AgNPs-YG1 against gram-positive strains with inhibition zone diameter in range from 19-25 mm and from 19-26 mm respectively. Also, the gram-negative strains were highly inhibited after treatment with AgNPs-YG1 (IZD in range from 22-24 mm), followed by AgNPs-RG1 (IZD in range from 19-23 mm) and AgNPs-RG2 (IZD in range from 19-22 mm).

Ramdath *et al.* (2021) emphasized that the bactericidal effects observed in all bacterial strains caused as a result of releasing silver-cations. Researchers showed the ability of AgNPs to bind on to the surface of bacterial cells and directly affect the sulphur and phosphorous moieties of the cell-membrane; therefore, the cell metabolism has been failed leading to the bacterial death (Rawani *et al.*, 2013; Bindhu and Umadevi, 2015).

Results also observed that both the AgNPs-RG1 and YG1 solutions exhibit similar antifungal activity in the unicellular yeast *C. albicans* (21 and 20 mm respectively) and the filamentous fungus *A. niger* (17 and 18 mm respectively), but AgNPs -RG2 showed only an inhibitory effect against *C. albicans* 22mm.

In most cases, the antifungal mechanism of silver ions on microorganisms, due to their effects on the function of membrane-bound enzymes, inactivation of cellular proteins and enzymes essential to DNA replication (Min et al., 2009; Ammar et al., 2021).

In general, the findings suggested the AgNPs-RG1 and YG1 as good potential antibacterial and antifungal agents.

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Table 4. Antibacterial and antifungal activity of the biosynthesized AgNps against pathogenic bacteria and fungi.

	Inh	ibition zone dia	meter				
	(IZD)						
Samples	Gram-negativ	ve bacteria	Gram-positive b	acteria		Yeast	Fungi
(400µl/ well)	Escherichia coli ATCC25922	Salmonella enterica ATCC25566	Staphylococcus aureus ATCC29213	Bacillus subtilis ATCC6633	Lactobacillus cereus ATCC14579	Candida albicans ATCC10321	Aspergillus niger NRC53
Red -Gum	N. A	NL A	N A	NI A	NI A	NL A	NI A
extract (C)	N.A	N.A	N.A	N.A	N.A	N.A	N.A
AgNPs RG1	23±0.17	19±0.28	25±0.42	22±0.16	21±0.35	21±0.18	17±0.17
AgNPs RG2	22±0.38	19±0.44	19±0.17	22±0.51	25±0.24	22±0.34	N.A
AgNPs RG3	N.A	N.A	N.A	N.A	N.A	N.A	N.A
Yellow- Gum extract (C)	N.A	N.A	N.A	N.A	N.A	N.A	N.A
AgNPs YG1	24±0.18	22±0.17	26±0.44	19±0.31	21±0.17	20±0.25	18±0.28
AgNPs YG2	N.A	N.A	N.A	N.A	N.A	N.A	N.A
AgNPs YG3	N.A	N.A	N.A	N.A	N.A	N.A	N.A
N A no potivity							

N.A. no activity



**Figure 8.** Photos of the antimicrobial effect of biosynthesized AgNPs using *sarcocolla* red gum at 5 (RG1), 10 (RG2) and 20 (RG3) mg/ml concentration against *E. coli* (A), *S. enterica* (B), *S. aureus* (C), *B. subtilis*(D). *L. cereus* (E) and *C. albicans* (F).



Figure 9. Photos of the antimicrobial effect of biosynthesized AgNPs using *sarcocolla* yellow gum at 5 (YG1), 10 (YG2) and 20 (YG3) mg/ml concentration against *E. coli* (A), *S. enterica* (B), *S. aureus* (C), *B. subtilis*(D). *L. cereus* (E) and *C. albicans* (F).

#### 4. Conclusion

This paper, showed a novel simple, cost effective, ecofriendly green method using aqueous extract of red and yellow Astragalus sarcocolla gum. All characterization studies emphasized the biosynthesis of stable nanoparticles with different shapes and sizes specially at low gum concentrations, which in turn indicated the good application of sarcocolla gum as a reducing and stabilizing agent instead of using toxic chemical entities. Anticancer and antimicrobial potential of six gum-mediated silver nanoparticles was investigated. AgNPs-RG1 and AgNPs-YG1 showed the best cytotoxic effects against four cancer cells namely A549, pc3, Mcf7 and paca2, and the inverted microscope examination showed various morphological changes in the cells treated with AgNPs compared with untreated cancer cells, which confirmed the toxic effect of AgNPs. In addition, AgNPs-RG1, RG2 and AgNPs-YG1 exhibited good inhibition zones against all tested pathogenic bacteria, yeast and fungi.

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## **Conflict of interest**

The authors declare that they have no conflict of interests.

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# Localized Depletion of Drosophila *Upf1* and *Upf2* in the Central Nervous System Leading to Anatomical Defects of the CNS of the Embryo and Larva

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## Abstract

Nonsense-mediated mRNA decay (NMD) is a quality control pathway that degrades mRNAs with premature termination codons, (PTC), which otherwise will result into a truncated protein with a potential dominant-negative effect. NMD is also an RNA turnover regulatory mechanism for controlling a significant percentage of wild type mRNAs. The pathway functions via coordination of some proteins known as NMD factors. The core NMD factors are Upf1, Upf2, and Upf3, which, in coordination with other important factors tag and mobilize an mRNA for degradation. The role of NMD in the architectural development of central nervous system (CNS) in different organisms has been studied. However, these in vivo studies of the involvement of NMD presented some challenges due to the lethality of Upf1 and Upf2. In this work, we studied the CNS localized loss of function and considered the effect of depletion of Upf1, Upf2, and Upf3 at an early and late Drosophila embryogenesis on the overall anatomical structures of the CNS in the embryo and the larvae. We found that depletion of Upf1 and Upf2 at early embryogenesis caused a significant reduction in the hatching and viability of the embryos. Also, the area of the ventral nerve cord in relation to the total area of the embryo was significantly reduced. However, the Upf3 depleted embryos exhibited normal hatching rates, viability, and ventral nerve cord area when compared with the control. Additionally, CNS localized depletion of Upf1 and Upf2 but not Upf3 at the late stage of embryogenesis resulted in the reduction of the optic lobe of the third instar larval brain. Our findings suggest that NMD factors Upf1 and Upf2 are necessary during the early and late Drosophila CNS development. Their absence in the CNS interferes with its developmental processes.

KeyWords: Nonsense-mediated mRNA decay, NMD factors, Central nervous system, Drosophila melanogaster, Embryogenesis

# 1. Introduction

RNA is the central conduit via which gene information is expressed. Consequently, controlling the half-life of RNA (RNA turnover) as an essential way of regulating the expression of gene information and the level of protein (Serin et al., 2001; Bicknell and Ricci, 2017; Cheng et al., 2017). A major RNA turnover mechanism is nonsensemediated mRNA decay (NMD). NMD is a highly conserved surveillance pathway that cleans the system from aberrant mRNA harboring premature termination codon (PTC), which, if translated, will lead to possibly undesirable proteins with dominant-negative effect (Ghosh and Jacobson, 2010; Hug et al., 2016; Nickless et al., 2017). Beyond the cleaning task, NMD regulates a considerable percentage of wild-type mRNAs, having thus a gene regulatory function in addition to the quality assurance function (Wittkopp et al., 2009; Nasif et al., 2017). The essential player proteins of the NMD (NMD factors) in Saccharomyces cerevisae, Caenorhabditis elegans, Drosophila melanogaster, and vertebrates are Upframeshift suppressor (Upf) proteins (Upf1, Upf2, and Upf3) (Peccarelli and Kebaara, 2014; Fatscher *et al.*, 2015; Son *et al.*, 2017). These core NMD factors in coordination with other factors in rather controversial modes activate and execute NMD functions.

NMD has demonstrated significance in the general development and architectural arrangement of CNS in different organisms (Laumonnier et al., 2010; Barone and Bohmann, 2013). For example, NMD is essential in the development and maintenance of synapse structure and function in Drosophila (Metzstein and Krasnow, 2006; Long et al., 2009; Giannandrea et al., 2013). Similarly, NMD is indispensable for the proper development of the brain in zebrafish (Wittkopp et al., 2009). In humans, Upf3B-NMD was associated with proper nervous system function (Tarpey et al., 2007; Chan et al., 2009). Furthermore, Upf3 and Upf2 NMDs were associated with neurophysiological abnormalities in humans, mice, and flies (Huang et al., 2018; Johnson et al., 2019; Jega et al., 2020). In vitro studies with mouse cell lines have shown that the Upf1-NMD function is critical for neural differentiation (Lou et al., 2014), and Upf3 was associated

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with an increase in the self-renewal of primary neural progenitor cells at the expense of their differentiation (Jolly *et al.*, 2013). In another *in vitro* study, Upf3B has shown an influence on the hippocampal neurite growth. The knockdown mutants were found to have altered expression of SIX3 and ROBO1 genes, a master regulator of cortical development and axon guide genes respectively (Nguyen *et al.*, 2012; Alrahbeni *et al.*, 2015).

Nevertheless, developmental processes are diverse and intricate with different requirements of gene products across the developmental stages and organism classes. Typically, the requirement of NMD factors was also variable across organisms and physiological processes (Avery et al., 2011; Fatscher et al., 2015). For instance, in Drosophila, Upf1 and Upf2 mutations have shown lethality independent of Upf3 by arresting cell division and survival. On the contrary, Upf3 and SMG1 (another NMD factor) lack any essential role in growth and development (Avery et al., 2011). Depletion of Upf3 orthologue SMG4 in C. elegans has proven to only mildly affect the NMD target degradation during development. However, no noticeable effect was seen in the development and differentiation in upf1-3 mutants S. cerevisae (Metzstein and Krasnow, 2006; Vicente-Crespo and Palacios, 2010; Alex et al., 2014). In contrast, the loss of Upf proteins in mice was accompanied by severe implications on growth and development (Takahashi and Yamanaka, 2006; Schweingruber et al., 2013). The three Upf proteins and SMG1 are known to be required for NMD in mammalian cells (Serin et al., 2001). Although NMD factors play a role in mammalian neuronal differentiation and survival of neural progenitors in vitro (Lou et al., 2014) and synaptic architecture and synaptic vesicle cycle efficacy (Long et al., 2009), testing its in vivo role and the stepwise involvement of the NMD factors in nervous system development and function has presented challenges due to the requirement for organism viability of most essential NMD factors. In this research, we used functional genetics and other Drosophila manipulation tools and techniques to study the effect of the loss of function of the core NMD factors in the development of CNS in the early and late embryogenesis.

## 2. Materials and Methods

#### 2.1. Flies Used

Bloomington Stock number is designated as BM followed by a number. Initials stand for the donors of the flies: MM, AG, and FC for Mark Metzstein, University of Utah Salt City; Acaimo Gonzalez Reyes; and Fernando Casares, Centro Andaluz de Biologia del Desarrollo, Sevilla, Spain (CABD) respectively. The fly stocks used in this work are as follows:

yw GFP hsFLP neoPFRT19A/ yw neoFRT19A (AG), ovo D FRT19A/ c (1) Dx; FLP12 (BM 23880), yw Upf1<sup>13D</sup>/FM7c (MM), yw FRT19A FRT19A Upf3<sup>1</sup>/SM6a Upf2<sup>29AA</sup>/FM7c (MM), w; (MM). Upf3[Df]/SM6 (BM 9424), SP/cyo; UASGFP/TM6B (FC). w;;SimGal4 (FC), neoPFRT19A/ovoDhsFLPFRT19A,Upf1<sup>13D</sup>FRT19A/ovoD hsFLPFRT19AUpf2<sup>29AA</sup>FRT19A/ovoDhsFLPFRT19A, SimGal4;UASGFP, Sim Gal4;UAS-Upf1i, Sim Gal4;UAS-Upf2i, SimGal4;UAS-Upf3i.

# 2.2. Generation and analysis of mutant embryos of Upf1 and Upf2

To analyze the effect of complete loss of *Upf1* or *Upf2* from early embryogenesis, mutant embryos of Upfl or Upf2 were generated from the germline of the sterile mothers of ovoD (Avery et al., 2011). Mutant flies were obtained following classical mating scheme (Roote & Prokop, 2013). The mutant virgin female flies Upf1 or Upf2 genes which are on chromosome X - were crossed with mutant males of ovoD also on chromosome X. The chromosomes were inherited following Mendelian rules, and the appropriate mutations were selected against the balancer chromosomes, FM7 for chromosome X. The embryos were collected hourly for 12hours, stained with mouse anti-Repo (1:100) and anti-mouse Cy3 (1:100) as primary and secondary antibodies respectively, and then the CNS was viewed and imaged under fluorescent microscope LEICA DM6000 (Cao et al., 2006).

# 2.3. Generation of embryos with CNS localized loss of function of NMD factors

To generate wild type embryos with a localized absence of NMD factors in the nervous system, Male and female flies (1-3 days old) in a ratio of 1:1 were collected from each of the following: Sim-Gal4>UAS-Upf1RNAi, Sim-Gal4>UAS-Upf3RNAi Sim-Gal4>UAS-Upf2RNAi, (experiments) and Sim-Gal4>UAS (control) and placed in a food vial with dry yeast for two days at 18°C. The flies were then placed in an apple juice agar for egg collection. For the early expression of RNAi, the embryos were placed in a 25°C incubator (activation temperature of the Gal4>UAS recombination) 1hr after laying. Meanwhile, for the late expression of the RNAi, the Gal4>UAS recombination was supposedly activated at 25°C; thus, the embryos were first kept at 18°C (inactive temperature for Gal4>UAS) until after 14 hours of laying; they were then transferred to 25°C (active temperature for Gal4>UAS). This was a way of circumventing the activation of the Gal4>UAS recombination until at the late stage of embryogenesis. The embryos were then analyzed for hatching and CNS development.

## 2.4. Viability and Antibody staining of the embryos

For viability, the hatching and survival index of the embryos were analyzed. To study the CNS structure, embryos were stained with a glial marker [Rabbit anti-repo (1:100)], as a primary antibody to examine the distribution of glial cells as a representation for the overall shape of the CNS and [Rabbit Cy3 (1:100)] was used as a secondary antibody (Cao *et al.*, 2006).

# 2.5. Immunostaining of the third Instar Larval Brain

To study the larval brain, third instar larvae were selected from the RNAi embryos in which the SimGal4 was expressed at the late stage of embryogenesis among the control (SimGal4>UAS), SimGal4>UAS-*Upf1i*, SimGal4>UAS-*Upf2i* and SimGal4>UAS-*Upf3i*. The larvae were dissected and the brains were collected and stained with a primary antibody [rabbit anti-Dachshund (dac) (1:500)] and a secondary antibody [Cy2 rabbit 1:100] (Cao *et al.*, 2006).

## 2.6. Measurement of VNC versus the embryo area

The image was converted to gray scale 8bit then measurement and scale were set to area and  $\mathrm{mm}^2$ 

respectively. The VNC was bordered with a free selection tool and the area of the VNC was displayed. Subsequently, the whole embryo was selected in the same way and the area of the embryo was displayed. The area proportion of the VNC was obtained by dividing the area of the VNC by the total area of the embryo multiplied by a hundred

## 2.7. Microscopy and image analysis

Stack images of Brains were obtained under the Leica SPE DM2500 confocal microscope using Obj. imm x20 with a Zoom Factor of 1 and a pinhole size of 1 Airy unit. Brain images were scanned and stored in a series of 76 images with a vertical spacing of  $3\mu m$  (Z step). To analyze the stacked images obtained from the confocal microscope, Image J software was used. Z-projects were constructed for each brain. The central brain, optic lobe, and ventral nerve code areas were measured and compared against the control.

of the loss of function of those factors in CNS development. For complete embryonic loss of function, mutant embryos of Upf1 or Upf2 were generated from the germline of a sterile female fly carrying the ovoD mutation. By doing this, it was ensured that any egg laid by these flies was maternally mutant for the selected Upf gene. These upf1 and upf2 maternal mutant eggs showed incompatibility with life as very few hatched (5.35% and 8.35% for Upf1 and Upf2 respectively), and only 0.82% and 1.78% for Upf1 and Upf2 respectively developed to second instar larval stage and none developed to the third instar larval stage. Many of these embryos are characterized by having irregular dorsal appendages, (94.02% and 90.82% for Upf1 and Upf2 respectively) and abnormally high yolk (Table 1).

Similarly, the ventral nerve cord failed to be established in most of the embryos, 96.02% and 89.3% for Upf1 and Upf2 respectively (Figure 1).

### 3. Results

To assess the role of core NMD factors in the development of the nervous system, we studied the effect

## Table 1. Development analysis of the maternally mutant embryos

Average hatching, presence of regular dorsal appendages and shape, the yolk condition, and survival to the second instar larval stage of the maternally mutant embryos. FRT19A /ovoD FRT19A (control), Upf1 [13D] FRT19A /ovoD FRT19A (Upf1 mutants) and Upf2 [29AA] FRT19A /ovoD FRT19A (Upf2 mutants).\* indicate significant difference compared to control (p<0.001, ANOVA, and Tukey's pairwise). Data are presented as means ± SEM

Genotype (no of female flies, N= 20)	Average No. of eggs laid	% Embryos hatched	% Embryos with regular dorsal appendages and shape	% Embryos with abnormally high yolk	% Embryos survived to 2 <sup>nd</sup> instar
FRT19A /ovoD FRT19A	$115\pm4.16$	90.5 ± 1.09	98.61 ± 1.91	$1.31\pm0.29$	$74.02 \pm 2.11$
Upf1 [13D] FRT19A /ovoD FRT19A	$179\pm21.7$	$5.35 \pm 0.73*$	$3.98\pm0.46*$	$94.02 \pm 1.69*$	$0.82 \pm 0.71*$
Upf2 [29AA] FRT19A /ovoD FRT19A	$118\pm 6.69$	$8.35 \pm 0.89*$	$10.7\pm0.70*$	$90.82 \pm 1.61*$	$1.78 \pm 0.32*$



**Figure 1.** Central nervous system establishment in *Upf*1 and *Upf*2 mutant embryos. (i) Lateral confocal images of the central nervous system in embryos. Anti-repo staining (a glial marker) showing the ventral nerve cord of stage 12 to 14 in (A) Control, (B) and (C) maternal mutant embryos of *Upf*1 and *Upf*2 respectively displaying unestablished CNS. Arrows point to the position of the nerve cord. Anterior end on the right. (ii) Percentage of embryos with established CNS in FRT19A (control embryos), *Upf*1<sup>13D</sup> (maternal homozygous *Upf*1 mutant embryos), and *Upf*2<sup>29AA</sup> (maternal *Upf*2 mutant embryos). \* Indicates significant difference compared to control (p<0.0001, ANOVA, and Tukey's pairwise). Data are presented as means  $\pm$  SEM

Subsequently, temperature-sensitive SimGal4>UAS-*RNAi* was used to drive the localized depletion of Upf1, Upf2, and Upf3 in the CNS at an early and late stages of embryogenesis (stages 5 and 13 respectively). The result showed a significant reduction of hatching in both the early and late stage depleted embryos of Upf1 and Upf2 but not Upf3. However, there was slight improvement of hatching in the embryos of late expression of RNAi. The embryos that hatched displayed high chances of reaching adulthood as indicated by the percentage of larva adult escapers (Table 2).

Table 2. Hatching analysis of the embryos with Upf loss of functions localized in the CNS

Average hatching and survival of the genotypes: *Sim-Gal4>UAS* (control), *Sim-Gal4>UASUpf1i* (*Upf1-RNAi*), *Sim-Gal4>UASUpf2i* (*Upf2-RNAi*) and *Sim-Gal4>UASUpf3i* (*Upf3-RNAi*). \*Indicate significant difference compared to the control (p<0.001),  $\dagger$  Indicate significant difference when compares with the Upf3-RNAi). The proportion of survival is calculated as P = f/n; n is the total number of larvae collected for rearing; f = number of larvae reaching the adult stage

Time of expression of Gal4	Genotype (no of female flies, N= 25)	Average No. of eggs laid	Percentage hatching	Percentage of larva adult escapers
Early Embryogenesis	Sim-Gal4>UAS	131.2±5.5	95.12±5.1	96.31±5.4
	Sim-Gal4>UAS-Upf1i	$122.8 \pm 3.9^{\dagger}$	$12.05 \pm 2.1^{*^{\dagger}}$	78.38±2.5*
	Sim-Gal4>UAS-Upf2i	105.6±5.0	21.97.0±4.5* <sup>†</sup>	84.48±3.2
	Sim-Gal4>UAS-Upf3i	159.6±8.4	65.04±3.9	94.22±4.8
Late Embryogenesis	Sim-Gal4>UAS	252.2±6.9	83.98±0.8	93.31±3.0
	Sim-Gal4>UAS-Upf1i	$116.1 \pm 4.4^{\dagger}$	37.51±4.3* <sup>†</sup>	81.18±1.9
	Sim-Gal4>UAS-Upf2i	124.6±5.0	$32.74{\pm}1.7^{*\dagger}$	87.25±6.8
	Sim-Gal4>UAS-Upf3i	207.7±3.2	65.05±4.2	91.70±2.0

Furthermore, the lateral view of the Upf1 and Upf2 embryos showed a significant reduction in the area of VNC with respect to the total area of the embryo in the early depleted embryos of Upf1 and Upf2 (Figure 2). However, no significant difference is noticed when the depletion was delayed till the late stage of embryogenesis (Figure 3)

(i)





Figure 3. Central nervous system establishment in embryos with late Upf loss of functions localized in the CNS. (i) Lateral view of CNS of embryos. (A) Control (Sim-Gal4>UAS), (B) Upf1i (SimGal4UASUpf1i), (C) Upf2i (Sim-Gal4>UASUpf2i), and (D) Upf3i (Sim-Gal4>UASUpf3i). Arrows point to the ventral nerve cord (ii) The area of ventral nerve cord compared to the total area of the embryos in the genotypes: Control (Sim-Gal4>UAS), Upf1i (SimGal4UASUpf1i), Upf2i (Sim-Gal4>UASUpf2i) and Upf3i (Sim-Gal4>UASUpf3i);

Due to the observed improvement in hatching and survival of the embryos with delayed depletion of Upf1 and Upf2, the resultant third instar larva brains of these embryos were analyzed. The result showed normal VNC development but a significant reduction in the area of optic lobes of Upf1 and Upf2 mutant larval brains, but there was no significant reduction of any parameter noticed in the Upf3 mutants' larval brain (Figures 4 and 5).

(i)





**Figure 2.** Central nervous system establishment in embryos with early *Upf* loss of functions localized in the CNS. (i) Lateral view of CNS of embryos. (A) Control, (B) *UpfTi*, (C) *UpfZi*, and (D) *Upf3i*. Arrows point to the ventral nerve cord. (ii) The area of ventral nerve cord compared to the total area of the embryos in the genotypes: Control (*Sim-Gal4>UAS*), *Upf1i* (*SimGal4UASUpf1i*), *Upf2i* (*Sim-Gal4>UASUpf2i*) and *Upf3i* (*Sim-Gal4>UASUpf2i*); \*indicate significant difference when compared to the *Upf3i*, p<0.001. †Indicate significant difference compared to the *Upf3i*, p<0.05. (ANOVA and then Turkey's pairwise were used for the statistical analysis).


Figure 4. The central nervous system of mutant larvae. Confocal images of third instar larvae brains stained with a dachshund (gray) labeling the CNS tissues and Hoechst (blue) for nuclei. (A1 – A3) brain from the control (Sim-Gal4>UAS-GFP). (B1 – B3) Brain from the *Upf1*i (SimGal4>UAS-*Upf1*i). (C1 – C3) Brain from the *Upf2*i (SimGal4>UAS-*Upf2*i). (D1 – D3) Brain from the *Upf3*i (SimGal4>UAS-*Upf3*i). A1, B1, and C1: Dachshund; A2, B2, C2: Hoechst; A3, B3, C3: composite images; OL: optic lobe; CB: central brain; VNC: ventral nerve cord.



Figure 5. The proportion of central nervous system regions in larvae with loss of function of NMD factors in late NS development. The proportion of the area of OL (optic lobes), CB (central brains) and VNC (ventral nerve cords) to the total area of the larval brain, for the genotypes: Control (Sim-Gal4>UAS), Upf1i (Sim-Gal4>UAS-Upf1i), Upf2i (Sim-Gal4>UAS-Upf2i) and Upf3i (SimGal4UASUpf3i). \*Indicate significant difference when compared with the control (p<0.001; ANOVA and Tukey's pairwise).

#### 4. Discussion

NMD machinery ensures the production of functional proteins by eliminating mRNAs with PTC. Studying the developmental involvement of this pathway has proven important in pursuit of potential therapies in cancer and developmental biology. Similarly, NMD factors show promising roles in mammalian neuronal differentiation and survival of neural progenitors in vitro (Lou et al., 2014) and in synaptic architecture and synaptic vesicle cycle efficacy (Long et al., 2009), but their in vivo role in nervous system development and function has been hard to test due to the requirement for viability of most core NMD factors. In this work, we focused on the development of CNS during early embryonic and larval stages of Drosophila, in the absence of core NMD factors Upf1, Upf2, and Upf3, Owing to the remarkable similarities of insects with vertebrate in brain and nerve cord development, especially regarding the expression and function of homologous genes (Holley et al., 1995; Arendt and Nübler-Jung, 1999; Denes et al., 2007). Thus, we checked the ventral nerve cord (VNC) development in Upf1 and Upf2 maternally mutant embryos and found that VNC failed to be established in most of the embryos (Figure 1). The embryos were incompatible with life, very few survived to the second instar larvae stage, and none survived to adulthood (Table 1)

To investigate the localization effect of NMD absence in the CNS development, we used a temperature-sensitive GAL4/UAS system to derive the expression of RNAi and depleted the Upf1, Upf2 and Upf3 at early stage of embryogenesis specifically during the midline cells development. We found that there was a slight improvement in the survival and establishment of VNC compared to the maternally mutant embryos, but lethality was still near 80 percent. Also, significant reduction in the area size of the VNC of Upf1 and Upf2 depleted embryos was also observed in those embryos (Table 2). Although the restriction of the repression of the mutant core NMD factors to the midline reduced the burden of early cellular damage as noticed in the maternally mutant embryos, high mortality and reduced VNC were still noticed (Figure 3). This indicates that the expression of NMD factors in the nervous system at this stage of development is necessary for organismal viability. Nevertheless, delaying the depletion of Upf1 and Upf2 till the late stage of slightly embryogenesis improved the hatching approximately from 12% to 38% and 22% to 33% for Upf1 and Upf2 respectively (Figure 2). Still there was significant difference when compared with the control or Upf3 depleted embryos. These findings suggest that NMD plays a role in both early and late embryogenesis.

Dpp protein is implicated in the determination of the initial dorsal-ventral pattern of *Drosophila* embryo, by providing the signal for the border establishment along the domains of the dorsal-ventral axis, which happen before gastrulation at the cellular blastoderm stage (stage 5-6) 2-3hours after egg laying (Raz and Shilo, 1993). Previous work showed that the elimination of the *Drosophila* zygotic Dpp pathway resulted in embryos with no ventral structures including CNS (Raz and Shilo, 1993). The work of Shum *et al.*, (2016) in hESCs that established the role of NMD in regulating TGF- $\beta$  and BMP signaling

(mammalian orthologue pathways of Drosophila Dpp) in ectoderm and mesoderm differentiation suggests that activation of Dpp (TGF-\(\beta\) homolog) may have been disturbed due to the absence of NMD and, consequently, the establishment of CNS (VNC in this case) was interfered with. In support of this hypothesis, our analysis of GSCs showed that Dpp signaling was disrupted in Drosophila germline stem cells (Unpublished). Avery et al (2011) showed that the localization of Gurken-Torpedo signaling pathway (TGF-alpha - EGF in vertebrates) that targets Broad-Complex (BR-C) was adequate in NMD mutant eggs. Since the restriction of BR-C expression depends on the interaction between EGF (Gurken) and BPM (Deng and Bownes, 1997), then the integrity of DPP signaling in this system might have been disrupted in the CNS phenotype described in this work.

The loss of NMD at midline cells developmental stage in Sim>Gal4 embryos might have affected the characteristic cell division of the neuroectoderm. Consequently, the patterning of the *Upf1* and *Upf2* depleted embryos during embryogenesis is compromised (Chang *et al.*, 2000), resulting in the reduced area of the VNC (Figure 4 and 5). Another possibility could be the compromised establishment and maintenance of the ventral epidermal and neuronal cell lineage (Chang *et al.*, 2000) due to the loss of NMD. This may have caused molecular deficit and defects in the establishment of the VNC, which results in the observed low survival rate.

Full establishment of cellular identities within ventral ectoderm and the differentiation of the ventral epidermis are achieved at the end of stages 9 and 12 of embryonic development respectively (Raz and Shilo, 1993). At stage 13, the ganglion mother cells will start differentiating into neurons. When expression of the RNAi was delayed to the beginning of stage 13, a dramatic improvement of viability and hatching of the embryos was noticed, indicating that the requirement of NMD for viability is due to its role in early embryogenesis. Moreover, analysis of the third instar larval brain from those embryos showed no reduction in the size of the central brain and the ventral nerve cords of the mutant larvae. However, we found a significant reduction in the optic lobes of the Upf1 and Upf2 larva but not in Upf3 mutants (Figure 4). Interestingly, Lou et al shows that the deficit of Upfl and Upf3B in mouse neuronal stem cells led to reduced proliferation and increased differentiation into neural progenitors which expressed some markers of mature neurons (Lou et al., 2014). A similar mechanism could be at play in Drosophila optic lobes since the formation of vesicles of the optic lobes from the OL precursors begins at stage 13 (Hartenstein, 1993; Spindler and Hartenstein, 2010). However, NMD is a strictly regulated process in guiding the neuronal development. The Upf1 and Upf2 also have other non NMD functions that makes it difficult to tell whether the phenotypic expression is a result of NMD malfunction or other non NMD functions of the factors. Furthermore, the staining method employed was a proxy to determining the overall structure of the CNS using glial cells. The distribution, organization and complexity in relationship between the glial cells and neural formation are yet to be fully encompassed (Bahrampour et al., 2017). As such, the interference of glial cells factors in the observed defects may not be ruled out. Thus, an unbiased analysis of the house keeping genes in relation to these

phenotypes may provide better explanation for NMD regulation and also the level of NMD participation in the phenotypes.

#### 5. Conclusion

Our work has demonstrated neurodevelopmental and neurophysiology defects in flies mutants for core NMD factors. We showed the failure to establish CNS in Upf1and Upf2 maternally mutant embryos. When the loss of Upf1 and Upf2 was localized in the nervous system, the result is nearly lethal with death occurring at the embryonic stage. We also revealed that those flies with loss of function of Upf1 and Upf2 localized to the NS exhibited a reduction of the areas of the ventral nerve cord in embryos and the optic lobes in the larvae.

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# The ability of *Lactobacillus helveticus -13* (*Lh-13*) Isolate Isolated from Lactic Acid Products to form A biofilm by Applying Modern Microscopy Methods

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# Abstract

This article presents the study of the morpho-cultural properties and biofilm formation of lactobacilli isolated from lactic acid products (irimchik, suzbe, cheese, ashygan kozhe) produced in different districts of the Karaganda region. The species of the isolated lactic acid bacillus isolates were identified by using a MALDI-TOF mass spectrometer.

The evaluation of the resistance of the isolates to various stress factors, such as the ability to survive at low acidic pH values and in the presence of bile, made it possible to identify some promising isolates – *Lactobacillus helveticus -13* (*Lh-13*) and *Lactiplantibacillus plantarum* – 5 (*Lpl-5*), for studying antimicrobial and biofilm-forming activity.

The antimicrobial activity of *Lh-13*, *Lpl-5* was closely studied. They have a certain level of antibacterial and fungicidal activities, which indicates their pronounced inhibition against indicator microorganisms.

Using a laboratory robot, *TecanEVolizer100 (Tecan)*, the optical density of *Lh-13*, *Lpl-5* was studied in the wells of polystyrene plates, reflecting the intensity of biofilm formation along their surface. Using laser capture microdissection, the images of cell morphology in biofilm of *Lh-13* isolates included in the matrix were obtained. The atomic force microscopy made it possible to study the two and three-dimensional morphological images of biofilm-forming isolates of *Lh-13*.

Keywords: lactobacilli, mass spectrometry (MALDI-TOF MS), biofilm, laser capture microdissection, atomic force microscopy, antimicrobial activity.

### 1. Introduction

The advances in the sciences of human and animal microbiota confirm the beneficial health effects of lactic acid foods and probiotics containing probiotic bacteria, which can adhere and colonize on the inner wall of the intestinal tract, form microbial communities, and regulate the balance of intestinal microflora, etc. (Hill *et al.*, 2014; George *et al.*, 2018; Yue *et al.*, 2020; Bechelaghem *et al.*, 2022).

There are many lactic acid products and probiotics on the market right now. They are used to provide alleged health benefits based on the probiotic properties of specific strains of lactic acid bacteria (Casey *et al.*, 2004; Mitropoulou *et al.*, 2013; Singh *et al.*, 2012). The effectiveness of probiotic agents and functional food products primarily depends on the properties of the species of various strains of lactic acid bacteria included in their composition. Lactobacilli are a key component of starter cultures for such products (Corona-Hernandez *et al.*, 2013; Taverniti *et al.*, 2014). Lactobacilli, along with other members of the normal microbiota of human and animal mucous membranes, plays an important role in the body's In the modern biomedical practice, they can be used as methods of personalized medicine: the probiotic culture of lactobacilli exhibits antimicrobial activity against the pathogenic flora of the patient as well as stimulates antagonism and is not inhibited by pathogens (Ejtahed *et al.*, 2017; Torres and Tovar, 2021; Schupack *et al.*, 2022).

The viability and survival of lactobacilli under stressful conditions are the most important parameters for ensuring therapeutic functions. This parameter is also considered an important criterion for the selection of an active probiotic strain. In addition, the beneficial properties of lactobacilli are in their ability to form biofilms, which allows them to withstand environmental conditions, resulting in successful colonization and maintenance of their population (Guarner *et al.*, 2005; Son *et al.*, 2017).

A biofilm, according to current concepts, is a community of microorganisms connected by the surface and enclosed in a matrix composed of extracellular polymeric substances synthesized by them, as well as polysaccharides and proteins. Biofilm formation by probiotic bacteria such as lactic acid bacteria is considered

anti-infectious defense and the formation of microorganism colonization resistance (Ghosh *et al.*, 2019; Zeng *et al.*, 2020).

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a beneficial property as it can promote colonization and longer persistence in the host mucosa avoiding colonization by pathogenic bacteria (Salas-Jara *et al.*, 2016; Tatsaporn and Kornkanok, 2020).

The scientifically established phenomenon of the protective function of the lactobacilli's biofilm is promising for further use in the medical, pharmaceutical, food and agricultural industries, which is a priority for Kazakhstan (Aitzhanova *et al.*, 2020).

In this regard, the above mentioned conditions prompted the search for new unique candidates for probiotic cultures of lactobacilli with highly active probiotic properties having *the GRAS status* (generally recognized as safe) (Wu et al., 2017).

The search and study of the probiotic properties of domestic competitive starter cultures isolated from traditionally natural ethnical products, such as those traditionally produced, is an effective way to obtain genetically stable strains of probiotic cultures as a constant source of the strains for the needs of the country to improve the quality of microbiological resources in the national stocks.

The present study is aimed at screening the probiotic properties (tolerance to acidic pH and bile) of lactic acid bacillus isolates isolated from national lactic acid products in order to assess the ability to form biofilms and to study the morphology of cells in biofilm using laser capture microdissection and atomic force microscopy.

#### 2. Materials and methods

*Materials.* For the given study, the isolates of lactobacilli isolated from traditional home-made national lactic acid products produced in different districts of the Karaganda region, Kazakhstan were used (Table 1).

 Table 1. National lactic acid products and number of samples from different regions.

National lactic acid products	Regions Karkaraly	Zhanaarka	Aktogay	Bukhar- Zhyrau	Osakarov district
(Number of samples)					
Irimchik	2	1	3	2	-
Suzbe	2	2	3	2	-
Cheese	-	-	-	3	1
Ashygan kozhe	1	-	2	-	-
Total	24				

Note: The results of the primary screening are published earlier in the given work: «Screening of Antimicrobial and Adhesive Activity of Lactobacilli Isolated from the National Food Products from Different Districts of the Karaganda Region (Kazakhstan)» Open Access Macedonian Journal of Medical Sciences. 2021 Sep 24; 9(A):827-832.

# 2.1. Isolation and identification of lactobacilli from dairy products

One of each sample (irimchik, suzbe, cheese, ashygan kozhe) was taken aseptically and transferred to a 10 ml phosphate buffered saline and then vortexed. The 1 ml of

the produced homogenate was then inoculated in a 10 ml MRS broth contained in screw caped tube, and incubated under anaerobic conditions for 24 hours at 37°C. Further on, the serial dilutions prepared from each obtained culture were spread over the MRS (deMan, Rogosa, Sharpe) agar-1 plates and incubated anaerobically for 48 hours at 37° C (Lin et al., 2006; Mulaw et al., 2019). After incubation, the isolated colonies underwent both the Gram stain and catalase test. Only rod-shaped, gram-positive, catalasenegative isolates were selected for the tests. The individual colonies were subcultured in the MRS broth for 48 hours at 37°C, and the control smears were taken. The selected strains were stored in the MRS broth containing 20% glycerol at -22°C for further analysis. The isolates were activated in the MRS broth prior to each analysis (Wang et al., 2016).

The pure cultures of lactobacilli were identified using MALDI-TOF MS (*Matrix Supported Laser Desorption / Ionization Flight Time Mass Spectrometry, Bruker, Germany*). The bacterial spectra were matched against the MALDI-TOF MS biotype reference library (Alatoom *et al.,* 2011; Schulthess *et al.,* 2014).

# 2.2. Tolerance to acidic pH and bile

The acid resistance of the bacterial isolate was observed by incubating lactobacilli at pH3 in the MRS broth. 9 ml of the acidified MRS broth (adjusted to pH3 by 5N HCl) and 1 ml of the MRS broth containing  $10^9$  CFU/ml of the test isolate of lactobacilli were added to each tube. The tubes with the suspension were further incubated at 37°C for 24 hours under anaerobic conditions. The growth of the isolates was monitored for 0h, 3h and 6h by measuring the absorbance of the culture broth at 492 nm using a spectrophotometer. The growth of lactobacilli in the MRS broth without HCl was used as a control (Kim *et al.*, 2019). The experiment was repeated three times.

The isolated isolates were tested for bile tolerance. To study the tolerance of lactic acid bacillus isolates to bile, a medicinal bile preparation containing natural gallbladder bile of cattle was used. Bile medicine tolerance of the isolated bacteria was examined by inoculating the freshly cultured isolates at  $10^7$  CFU / ml into the MRS broth containing bile medicine at different concentrations (0.3%; 0.5%; 1%). The medium was then incubated at 37°C in anaerobic conditions. The growth of the isolates was monitored at 0h and 3h by measuring the absorbance of the culture broth at 492 nm using a spectrophotometer. The growth of lactobacilli in the MRS broth without bile was used as a control (Tambekar and Bhutada, 2010). The experiment was repeated three times.

# 2.3. Antimicrobial properties isolates against indicator microorganisms

The study of the bactericidal and antifungal activity of antagonist strains in relation to test strains to opportunistic microorganisms of different groups was determined by *the deferred-antagonism method* (Bohora *et al.*, 2019).

The antimicrobial activity of the isolated lactic acid bacillus isolates was assessed using indicator microorganisms: *Staphylococcus aureus NCTC 12973*, *Escherichia coli NCTC 12923*, *Salmonella typhimurium NCTC 12023* and *Candida albicans NCPF 3179* (test strains from the *Laboratory of Human Microbiome and Longevity "National Laboratory Astana"*, *Nazarbayev University (Nur-Sultan, Kazakhstan)*. The antimicrobial activity was assessed by the zone of no growth of test strains around the colony of the tested isolate of lactobacilli; the studies were repeated three times with the results expressed as an arithmetic mean.

#### 2.4. Study of biofilm formation of strains

The ability of each individual strain to form a biofilm was assessed using the O'Toole method (O'Toole, 2010). The biofilm - synthesizing ability of Lh-13, Lpl-5 was determined by a 96-well polystyrene plate (flat-bottomed, transparent, sterile, U-shaped bottom) for enzyme immunoassay. The sterile plastic plates were used. The 150 µl of the MRS broth and the prepared bacterial culture of lactobacilli were added to each well. The initial optical density of bacterial cultures in the medium was 0.1 unit (OD (490nm) = 0.1). At the same time, each strain was introduced into 4 wells, 50 µl each. The 50 µl of the MRS broth was used for further control. It was incubated for 48 hours at 37°C under anaerobic conditions. To assess the state of biofilms, the contents of the wells were removed by phosphate buffer pH = 7.2 three times, and dried in a thermostat at 60°C for 60 minutes. Further on, it was stained with a solution of gentian violet (2% crystal violet) in the volume =  $150 \ \mu l$  for 15 minutes at room temperature. Afterwards, the dye solution was sucked out, washed off under a stream of distilled water, shake out and dried in the air at room temperature. The dye was eluted with 95% ethyl alcohol (150 µl per well). The plate was covered with a lid, left for 30 minutes and measured by a TecanEVolizer100 laboratory robot (Tecan) at a wavelength of 490 nm.

The results were interpreted in accordance with the optical density of the colored solvent. The efficiency of biofilm formation was assessed by the method (Stepanović *et al.*, 2007). The biofilm biomass formation:  $ODc \le \sim \le 2*Odc - no/weak; 2*ODc <\sim \le 4*Odc - moderate; > 4*Odc - dense. The experiment was repeated three times.$ 

# 2.5. Study of biofilm formation by a laser capture microdissection

To visually assess the ability of *Lh-13* to form biofilms on the surface of the slide, the sterile Petri dishes with a diameter of 100 mm were used. A sterile glass slide was placed in the dish (Lenz *et al.*, 2008), a bacterial suspension with a density of 1.0 McF and a volume of 1 ml was applied to a sterile glass slide and placed in a thermostat at 37°C and kept for 4 hours for the cells to bind, then 5 ml MRS broth was added after 4 hours and incubated at 37°C for 24h and 48h respectively.

In 24 hours and 48 hours after incubation, the culture medium was carefully removed with a dispenser, the glass surface was washed three times with 1.15 M phosphate buffer, fixed with 96°alcohol, dried, stained with gentian violet solution (2% crystal violet) (Azeredo et al., 2017) for 2 minutes at room temperature, after which washed with a phosphate buffer. After staining, the samples were covered with coverslips and embedded in the vitrogel mounting medium (12-005, Biovitrum). Further on, the samples were microscoped by laser capture TM microdissection ArcturusXT LaserCapture Microdissection (LCM) in the Differential Interference Contrast mode.

The laser capture microdissection studies were carried out in the shared laboratory of the NCJSC Medical University of Karaganda. After obtaining the images of the cell surface, the data was processed using *Arcturus XT* software.

# 2.6. Study of biofilm formation by an atomic force microscope (AFM)

A microscope slide was used as a support. The *Lh-13* isolate was cultivated on a glass slide according to the above-mentioned method, the material was fixed using a 2.5% glutaraldehyde solution (Chao *et al.*, 2011) with an exposure for 2 hours at a temperature of 4°C, after which the prepared agent was thoroughly washed with bi-distilled water and dried in the air at room temperature. The biofilm formation ability of *Lh-13* isolate isolated from lactic acid products of the Karaganda region was assessed using the method of atomic force microscopy in a semi-contact mode. The advantage of the semi-contact scanning method is that a cantilever does not touch its surface for the most of the oscillation period and, therefore, does not damage the object under study.

The atomic force microscope was used to carry out the research in the laboratory of solid-state Physics of the Astana branch of the Institute of Nuclear Physics. The AFM samples were measured using an *AIST-NT SmartSPM* microscope in a semi-contact mode with an amplitude of 50 nm. The high-resolution silicon AFM cantilevers of the NSG10 series with a resonance frequency of about 210 kHz were used as a probe. After obtaining images of the cell surface, the data was processed using the *IAPro 3.2.2* software.

# 2.7. Statistical analysis

The obtained results are presented as mean  $\pm$  standard error, obtained as a result of three-fold repetition of the experiment (Statistica 8.0 software was used) (Kabanikhin *et al.*, 2021).

# 3. Results

#### 3.1. Isolation of lactobacilli and identification

Thirteen isolates of lactobacilli were isolated from 24 samples of irimchik, suzbe, cheese, ashygan kozhe produced by the traditional home-made method from different districts of the Karaganda region (Kazakhstan). All 13 isolated isolates are gram-positive with the cells located: singly, in pairs, chain-shaped. The isolates are immobile and catalase-negative. They do not form spores (lactobacilli do not have catalase thus, no gas formation in the sample with hydrogen peroxide was observed). On the MRS agar-1, the colonies are small, white, round, not pigmented, with the smooth edges, 1-3 mm in diameter, grow well at  $37^{\circ}$ C for 48 hours under anaerobic conditions. The figure illustrates the morphological (Figure 1 a, b, c, d) and cultural (Figure 1. e, f, g, h) characteristics of some isolated lactobaccili isolates.

As a result of the identification of isolates on the mass spectrometer, the following isolates were detected: Lactobacillus helveticus (6 strains), Lacticaseibacillus rhamnosus (2 strains), Lactiplantibacillus plantarum (1 strains), Lacticaseibacillus paracasei (3 strains), Limosilactobacillus fermentum (1 strains).



Figure 1. Morphological (100x objective) and cultural properties of the isolated lactobacilli: a-e) *Lpc-5/1*; b-f) *Lpl-5*; c-g) *Lh-13*; d-h) *Lrh-24*.

#### 3.2. Tolerance to low acidic pH and bile salts

All thirteen lactobacilli isolates were tested for acidic pH resistance. As a result of the experiment, not all strains were resistant to acidic pH. The results demonstrated that 9 of 13 lactic acid bacillus isolates showed resistance to pH 3 for 0h, 3h and 6h despite differences in viability (growth with marked turbidity of the broth).

The isolates of Lactobacillus helveticus-13 (Lh-13), Limosilactobacillus fermentum-18 (Lf-18), Lacticaseibacillus rhamnosus-24 (Lrh-24), (Lpc-5/1), Lacticaseibacillus -5/1 paracasei Lactiplantibacillus plantarum -5 (Lpl-5) were found to be more resistant to acid stress. However, the isolates Lactobacillus helveticus-14 (Lh-14), Lactobacillus helveticus -22 (Lh-22), Lacticaseibacillus paracasei -12 (Lpc-12), Lacticaseibacillus paracasei – 44 (Lpc-44) did not tolerate low pH, yet some growth of isolates was observed (Figure 2).

The results of experimental studies have shown that the degree of resistance to acidic pH does not depend on the species; they differ among strains of the same species. These results are consistent with those obtained in the previous similar in vitro studies. The previous studies showed the ability of strains of lactobacilli to maintain their viability when exposed to the pH values of 3 (Mishra and Prasad, 2005; Guo *et al.*, 2010; Grosu-Tudor *et al.*, 2012; Menconi *et al.*, 2014).



Figure 2. Acid resistance of lactobacilli isolates (mean±SD, three replicates).

The survival of 5 isolates of lactobacilli in the MRS broth containing 0.3, 0.5, 1% bovine bile was studied after incubation for 3 hours, and the results of optical density (OD) varied. According to the results (refer to Figure 3), lactic acid bacillus isolates retained their viability after exposure to 0.3-0.5% bovine bile for 3 hours (which reflects the time spent in the small intestine). The isolates of *Lh-13*, *Lpl-5* showed maximum growth at 0.3-0.5% bovine bile concentration compared to *Lf-18*, *Lrh-24*, *Lpc-5/1* (despite their acid resistance).



**Figure 3.** Survival of selected *Lh-13, Lf-18, Lrh-24, Lpc-5/1, Lpl-5* isolates in the MRS broth supplemented with 0.3%, 0.5%, 1.0% bovine bile (mean±SD, three replicates).

One could observe stable growth in *Lh-13*, *Lpl-5* at 1% bovine bile concentration, and optical density (OD) varied slightly. Despite the observed properties, such as acid resistance, the growth rate of the isolates of *Lf-18*, *Lrh-24*, *Lpc-5/1* decreased with an increase in the concentration of 1% bovine bile.

As a result of screening, *Lh-13*, *Lpl-5* have shown resistance to bovine bile and have been further tested for probiotic properties *in vitro*.

### 3.3. Detection of antibacterial activity of the isolates

The isolates of *Lh-13*, *Lpl-5* were tested for antimicrobial activity against gram-positive, gramnegative and yeast indicator microorganisms. The carriedout studies made it possible to establish that the *Lh-13*, *Lpl-5* showed antibacterial activity against indicator microorganisms, but the zone of inhibition of the test strains varied. The antifungal activity was found in only one *Lh-13* isolate (Table 2).

Table 2. Antibacterial and antifungal activity of Lh-13, I	pl-5
isolates	

Isolated Lactobacilli isolates (symbols)	S. aureus NCTC 12973	E. coli NCTC 12923	S. typhimurium NCTC 12023	C. albicans NCPF 3179
	Zone of in	hibition (dia	meter in mm)	
Lh-13	13.0±1.0	17.0±2.0	15.0±2.0	8.0±2.6
Lpl-5	14.0±3.0	15.0±2.0	16.0±1.0	0±0

Note: the diameters of inhibition zones (in mm) are presented as mean + SD, the research is repeated three times.

Thus, *Lh-13* possesses a certain level of antibacterial and fungicidal activity, which indicates their pronounced antimicrobial properties in relation to some opportunistic test strains.

# 3.4. Study of biofilm formation of isolated isolates

According to the study, the tested *Lh-13*, *Lpl-5* after 48 hours of incubation at 37°C in the standard MRS broth medium used to form biofilms on lactic acid bacteria on plastic plates varied in optical density (Figure 4).



**Figure 4.** Assessment of the optical density of biofilm on plastic plates: Average optical density of the dye in the wells of polystyrene plates, reflecting the intensity of biofilm formation on their surface, by *Lh-13*, *Lpl-5* strains isolated from the national lactic acid products of the Karaganda region (mean±SD, three replicates).

According to the studied parameters, the intensity of biofilm formation shown by the *Lpl-5* ( $OD_{490nm}$ -2.686) expressed moderate intensity, and the one shown by the *Lh-13* strain expressed high intensity.

Microscopy is the simplest and fastest method to observe morphology of microorganisms attached to a surface. This observation allows researchers to compare the morphology of bacteria in a biofilm. In this regard, indicators reflecting the high intensity of *Lh-13* (OD<sub>490nm</sub>-4.672) biofilm formation strains were studied by using a laser capture microdissection and an atomic force microscope.

# 3.5. Microscopy of the morphology of lactobacilli cells in biofilm using a laser capture microdissection

As a result of the study, in the morphology of the cells in the biofilm of the *Lh-13* by a laser capture microdissection in the DIC mode after 24 hours of incubation, one can observe some adhesion of single rodshaped bacteria (Figure 5a). The bacteria adhere to a glass slide the most intensively with further compact microcolonies formed as they are united by an extracellular polymer matrix-mucus. Microcolonies gradually increase in size.

After 48 hours, homogeneous (rod-shaped) microbial communities were observed in the preparation; the structure on the outside is presented in the form of a protective film that unites all cells into a single system (Figure 5b). The increased thickness of the biofilm formed its specific structures, namely depressions and voids between them.





Figure 5. Cell morphology of *Lh-13* isolate in the biofilm (a) *Lh-13* after 24-hour incubation, (b) *Lh-13* after 48-hour incubation, a laser capture microdissection microscopy, homogeneous (rod-shaped) microbial communities, 60x objective.

Thus, using the resources of the laser capture microdissection allowed viewing the images of microcolony morphology and macro-colony of *Lh-13* isolate.

# 3.6. Study of the morphology of lactobacillus cells in the biofilm using an atomic force microscopy (AFM)

The AFM images obtained by scanning in the semicontact mode made it possible to establish the morphology of cells in the biofilm. The advantage of the semi-contact scanning method of the sample is that a cantilever does not touch its surface for most of the oscillation period and, therefore, does not damage the object under study.

As a result of the study, one could observe adhesion to the surface of the glass slide and the distribution of the cell mass of the *L. hel-13* isolate after 24 hours. The bacterial cells are rod-shaped, homogeneously accumulated with sharp dips, which refer to depressions and voids between them (Figure 6a). After 48 hours of incubation, one could observe some dense accumulations of rod-shaped biomass of Lh-13 cells linked to each other. The architectonics of the adhered cells of the Lh-13 isolate is supported by an exopolysaccharide matrix of microcolonies accompanied by the formation of clusters with the round channels around them. The microcolonies got increased largely (Figure 6c).

AFM provides 3D imaging of surface ultrastructures with molecular resolution in real time and under physiological conditions. Using the AFM resources, it was possible to get a clearer visualization to present the sample in a 3D image (Figure 6 b, d). The biofilm surface is not smooth, having numerous irregularities; *Lh-13* isolate is located in space randomly, but uniformly.

With the help of AFM, a biofilm surface roughness profile is constructed (Figure 6 e-j). The biofilm surface roughness is a set of surface irregularities. The roughness parameters were determined from the obtained images of surfaces with a microscopic field size of  $20 \times 20 \ \mu\text{m}$ . The differences in the roughness of the biofilm surface in their linear dimensions are associated with the relative position of the cells of the *Lh-13* isolate and matrix.



**Figure 6.** Cell morphology of *Lh-13* isolate in the biofilm, atomic force microscopy: a-b) 2D and 3D images of *Lh-13* after 24 hours of incubation; c-d) 2D and 3D images of *Lh-13* after 48 hours of incubation; e-j) biofilm surface roughness profile. The biofilm surface roughness profile was measured by plotting the surface profile along the line: (e, h) directed along the maximum cell length; (f, i) where the long biomass of the cell accumulation of *Lh-13* is formed; (g, j) where a large number of the *Lh-13* aggregates (dense layers) is attached.

Thus, the study of the biofilm by an atomic force microscopy made it possible to study the morphology of the biofilm-forming strain in 2D and 3D projections, displaying the relief and topographic image of the biofilm of the *Lh-13* isolate.

#### 4. Discussion

The isolates isolated by morpho-cultural characters and identification (Zheng *et al.*, 2020) by MALDI-TOF MS indicate that they refer to: *Lactobacillus helveticus* (6 *strains*), *Lacticaseibacillus rhamnosus* (2 *strains*), *Lactiplantibacillus plantarum* (1 *strain*), Lacticaseibacillus paracasei (3 strains), Limosilactobacillus fermentum (1 strain).

The mimicking conditions of the gastrointestinal tract made it possible to identify promising isolates of *Lh-13*, *Lpl-5* to study antimicrobial and biofilm-forming activity. The study of antimicrobial activity under conditions of intermicrobial relations enabled to witness the antimicrobial activity of *Lh-13* as the result the production of antimicrobial substances.

A detailed screening of *Lh-13* and *Lpl-5* isolates was carried out by the *TecanEVolizer100 (Tecan)* operating system. During such screening, *Lh-13* showed a high level of density (OD<sub>490nm</sub>-4.672) during biofilm formation in the wells of polystyrene plates.

There is a large number of methods for visualizing the image of the obtained biofilms and studying their properties: from measuring the optical density to various options for light and electron microscopy (Relucenti *et al.*, 2021). Thanks to the use of lasers, highly sensitive light detectors, digital photography and computer technology, the methods of studying the cell's morphology and biofilm formation of bacteria have been transformed to provide objective studies in microscopy. A special role in forming this methodology was played by computer methods for processing and analyzing digital images. They made it possible not only to simplify, accelerate and automate many of the existing microscopy methods, but also ensured the acquisition of previously inaccessible images (Chang *et al.*, 2012; Babu and Singh, 2014).

The specialized microscopy bank made it possible to visualize the image and compare two methods: a laser capture microdissection and an atomic force microscopy, using the example of *Lh-13*. Both methods recorded the homogeneity of morphological properties with equal efficiency. The laser capture microdissection showed that Lh-13 cells were rod-shaped, and the bacterial cells were in the extracellular matrix in the form of a homogeneous massive accumulation. Working in the mode of the investigated method, a distinctive feature is the use of gentian violet staining performed according to the abovementioned technique, which gives a volumetric image, focusing on the clear lines and boundaries of the accumulation of microorganisms as the enveloping effect of biofilm.

The atomic force microscopy allows studying biological objects and processes without using complex fixation methods as well as obtaining images of bacterial cells with high resolution (Alsteens, 2012). By using an atomic force microscope, the two and three-dimensional morphological relief images were accurately studied, with an indication of the profile of surface roughness, a homogeneous massive accumulation is visually observed, reflecting the active biofilm formation of lactobacilli, 100% preserving the true topography.

The microscopic methods used, namely a laser capture microdissection and an atomic force microscopy, complement each other in the visualization of the experiment.

The expediency of using microscopic research methods is based on the fact that only visual control is able to assess the morphological state of both the strains of lactobacilli themselves and the matrix of biofilms.

The results of our own research and literature reviews (Flemming and Wingender, 2010; Gavrilova et al., 2019) allow stating that the biofilm of lactobacilli is a community of cells attached to the surface and united by the extracellular matrix. In addition to adhesins, mucoid capsules and surface polysaccharides of bacterial cell walls can stimulate the formation of biofilms on the surface of solid substrates. After colonization, bacteria begin to actively secrete exopolysaccharides, which ensure the formation of a biofilm while filling the intercellular space (Spangler et al., 2019; Barzegari et al., 2020). In this case, communicative connections are regulated by means of special substances released into the environment, namely autoregulators with an established chemical structure. It is known that microbial cells in biofilms produce exopolysaccharides, mainly composed of polysaccharides,

proteins and nucleic acids, which form a protective gellike matrix around the cells (Aoudia *et al.*, 2016). According to the literature, the *L. helveticus* strain has a probiotic ability, in particular antimicrobial activity, and is able to produce mucus-binding proteins and proteins of the surface layer, having the properties of EPS formation and cell aggregation, proteolytic activity and bacteriocin production (Li *et al.*, 2015; Gómez *et al.*, 2016; Fontana *et al.*, 2019).

It is experimentally confirmed that *Lh-13* isolate can form biofilms in vitro on the surface of the glass slide, indicating their ability to colonize, having tolerance to acidic pH and bovine bile, and antimicrobial activity.

The given study has several limitations. When studying the cell morphology of *Lh-13* isolate in biofilm using the atomic force microscopy (AFM), an integrated approach was not taken into consideration. This approach includes three methods: semi-contact, mismatch, phase imaging, morphometric parameters of the cell (height, width, length, diameter), adhesive properties of the substrate, bacteria, biofilm (determination of adhesion forces between biofilm and substrate, as well as adhesion strength). These studies are in perspective.

We were able to visualize biofilms on a substrate (a microscope slide) using atomic force microscopy; due to the uniqueness of the biofilm creation approach; the image was augmented by a laser microdissection method. Because there was no scanning microscope in the lab, a stained image of the morphology and topography of the Lh-13 cells in both singular and biofilm form was obtained.

This investigation enabled the selection of a biologically active (biofilm-forming) *Lh-13* isolate with antibacterial capabilities as a probiotic candidate; nevertheless, further studies of this isolate's probiotic properties are required.

# 5. Conclusions

The work's results established the groundwork for further study on the probiotic characteristics of isolate *Lh*-*13*. More research is needed to establish how biofilms and their active chemicals suppress foodborne pathogens, adhesive activity, antibiotic resistance, and so on.

These physiologically active strains of *Lh-13* will hopefully be used in vivo as probiotics for the nutritional needs of both people and animals in the near future.

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# Optimization, Characterization of Newly Immobilized Mannanase on Activated Chitosan Beads and Its Applications

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# Abstract

Mannanase produced by mutant *Penicillium citrinium* Egy5 LC368457 isolated from Pharaoh Mummies of Ancient Egyptian Museum, Cairo, Egypt, was covalently immobilized onto activated chitosan beads by Polyethyleneimine, glutaraldehyde and dopamine. Loading time, enzyme's units, pH and temperature were optimized. The immobilized enzyme can keep its maximum activity at a broader range of pH and make it more stable at higher temperatures, including 60 °C, which is preferred to reduce microbial contamination of the enzyme. The reusability test indicated the high stability of immobilized enzymes that were still active at 75.82% of their activity at cycle number 9 and in the production of mannooligosaccharides, which showed antioxidant and prebiotic activity.

Keywords: Penicillium citrinium; reusability; β-Mannanases; immobilization technique; mannooligosaccharides

#### 1. Introduction

According to the most recent Enzyme market analysis, the sum spent for enzymes in the global market reached up to \$7,652.0 million, and the expanded catalyzed interest for enzyme are for the most part for nourishment and beverage industries.

The purpose of the immobilization technique is to improve an enzyme's operational presentation for industrial applications. The immobilized enzymes are more increasingly impervious to natural conditions. They can be effectively removed at the end of the reaction; the product is not contaminating with the enzyme and even more the immobilized enzymes can be reused as often as possible. In order to optimize the performance of immobilized enzymes, many matrices have been described in the literature. (Nadaroglu and Sonmez, 2016; and Mohapatra 2021).

In the constantly increasing biotechnology industry, hemicellulases have emerged as essential enzymes (Ismail *et al.*, 2022 and Nour *et al.*, 2022). Because of their diverse qualities, they gain a wide array of industrial applications.  $\beta$ -mannanases are an important group within hemicellulases that attack the mannan backbone's glycosidic linkages to release -1,4-manno-oligosaccharides (Chauhan *et al.*, 2012). Mannanase with biological origins has a broad range of applications in a variety of industries, such as production of manno-oligosaccharides which have

prebiotic activity, pharmaceutical preparation, and pulp biobleaching, and as pre-treatment of plant biomass for second biofuel generation. The determination method of immobilization  $\beta$ -mannanase is necessary to prevent enzyme activity loss by avoiding changes in the chemical structure and reactive groups in the enzyme's binding site.

Adsorption, covalent coupling, entrapment, and crosslinking are the most well-known ways of enzyme immobilization (Hashem *et al.*, 2016). Immobilized enzymes are more tight and resistant to environmental changes than unbound enzymes, which increases their thermal operational stability and recoverability. (Zhao *et al.*, 2011).

The biocatalyst is more resistant to harsh industrial processes when organic (alginate, chitosan, collagen, and carrageenan) and manufactured (polyacrylamide, amberlite, and polyvinyl alcohol) components are adsorbed on the surface of different polymers (Sirisha *et al.*, 2016).

Because of its abundance, biocompatibility, biodegradability, and nontoxicity, chitosan nanoparticles have become a popular immobilized matrix in recent years (Mohapatra 2021). The high surface-to-area ratio of immobilization on nano-sized particles aids in increased enzyme loading, faster reaction rates, and resistance to fouling and diffusion. (Ansari *et al.*, 2016 and Verma *et al.*, 2016). The surface covalent attachment of an enzyme onto chitosan nanoparticles is achieved by using a crosslinker on the nanoparticles (e.g. glutaraldehyde). As a

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result, the biocatalytic –CHO groups in glutaraldehyde interact with the –Amino group molecules in glucosamine Nadaroglu and Sonmez (2016). The enzymatic activity showed that mannanase enzyme obtained from fungal Clitocybegeotropa was immobilized on magnetite ( $Fe_3O_4$ ) nanoparticles using adsorption method for the clarification of some fruit juices (Verma *et al.*, 2016).

The properties of free and activated chitosanimmobilized  $\beta$ -mannanase from the gamma mutant *Penicillium citrinium* Egy5 LC368457 are described in this paper, in addition to the the biological activities of mannooligosaccharides produced from the immobilized  $\beta$ mannanase.

# 2. Materials and methods

# 2.1. Materials

Locust bean gum was obtained from sigma (St. Louis, Mo., USA). Chitosan, dopamine was purchased from Fluka. Polyethyleneimine (PEI) (50%, w/v) (MW: 423), Cat # 468533, was purchased from Aldrich. Glutaraldehyde, Dowex-IXD particle size 0.0730-0.15 mesh, acrylamide, bisacrylamide, TEMED, Potato dextrose agar and TLC cards coated with silica gel, layer thickness 0.2mm were obtained from Merck KGaA 64271 Darmstadt Germany. Coffee beans were bought from local market, Giza, Egypt. The rest of the reagents were of analytical quality.

#### 2.2. Microorganism and its maintenance medium

*Penicillium citrinium* Egy5 LC368457 was isolated from Pharaoh Mummies of Ancient Egyptian Museum, Cairo, Egypt. The fungus was grown on potato dextrose agar (PDA) and grown for 7 days at 30°C until being maintained at 4°C and subculturing on a monthly basis. The probiotic beneficial bacteria *Pediococus lactis 1,2*, *Lactobacillus lactis, Lactobacillus acidophilus* and *Lactobacillus planterum* compared to that of pathogenic *Escherichia coli* cultured on MRS-medium (containing the sample as sole carbon source). The five probiotics were cultivated on MRS mixture for 24 hours, whereas *E. coli* was grown on nutritive broth medium for 24hrs with in laboratory.

# 2.3. Cultivation conditions and enzyme preparation

#### 2.3.1. Mutagenesis with gamma rays treatment

Mutagenesis was carried out using gamma rays produced from Cobalt-60 (Co60) as a source of gamma radiation (by Egyptian Atomic Energy Authority) (Abosereh *et al.*, 2019).

# 2.3.2. Production of $\beta$ - mannanase

The mutant *Penicillium citrinium* Egy5 LC368457 was used for the production of  $\beta$ - mannanase as previously described by (Khattab *et al.*, 2020 and Foda *et al.*, 2022). The medium used contain (g/L): KH<sub>2</sub>PO<sub>4</sub>, 8.5; Nitrogen complex (peptone + ammonium sulfate+ Urea), 1.8 and Coffee waste (10g/flask). The pH of the medium was adjusted at 5 before autoclaving. Each Erlenmyer flask contain 50ml inoculated with 8 percent spore suspension (on potato dextrose agar) cultured for 12 days from a 7day-old age fungus shaking at 120rpm at 30°C. The crude extract was partially purified by fractional precipitation using ammonium sulfate. All the fractions were dialyzed.

#### 2.3.3. Enzyme activity and Protein content

The Nelson–Somogyi method was used to determine the enzyme's activity (Smogi *et al.*, 1952). The partial pure enzyme was added to 1ml of locust bean gum (1% (w/v) for 10 minutes at 50°C in sodium citrate buffer (50mM) at pH 5.5 (Hashem *et al.*, 2001). Under the assay conditions, the quantity of enzyme that releases 1 mol of mannose per minute is defined as one unit of mannanase (U). Lowery-Folin technique was used to determine the protein content (lowery *et al.*, 1951).

## 2.4. Screening for the best carrier

48 carriers were screened individually for immobilization of  $\beta$ -mannanase according to Hashem *et al.* (2016). These carriers were made by dissolving their components in distilled water, then using an encapsulator, dropping the polymer solutions into 3 percent CaCl2, 3 percent KCl, or 0.05 M FeCl3 to form homogenous gel beads. To complete the hardening process, the gel beads were placed in the same solution for three hours. The gel pellets were submerged in a 4 percent (v/v) polyethylenimine (PEI) liquid at pH 9.5 for three hours to set up them for immobilization via covalent bonds of the enzyme, and then steeped in glutaraldehyde (GA) 2.5 percent for three hours before rinsing with dist. After soaking in H<sub>2</sub>O, the pellets were available for enzyme immobilization.

# 2.5. Immobilization of enzyme

lg of the previously manufactured gel beads carrier was combined with 1 milliliter of partly purified mannanase. The mixture was allowed at room temperature overnight to immobilize the beads, which were then rinsed with buffer solution and stored at 4°C for following studies, the Yield of Immobilization was computed using the equation as follows:

```
The Yield of Immobilization (%) =
Immobilized enzyme activity (U/g carrier)
(%).
```

```
Enzyme added (U/g carrier) - Unbound enzyme (U/g carrier)
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2.6. Optimum conditions for enzyme immobilization process

# 2.6.1. Effect of different chitosan with different concentrations enzyme

Different activated gel beads with different aldehyde groups were used to determine the enzyme concentration required to react with all of the aldehyde groups identified on the gel beads:

#### A. Chitosan+dopamine

chitosan + glutraldhyde (2.5%)+ polyethelene+ dopamine were soaked in different concentrations of enzyme (9.16, 20.02 and 29.65 IU). Enzyme activity was determined as mentioned before. Unbounded enzyme was determined.

### 2.6.2. Effect of different concentration of glutraldhyde

The effect of different glutraldhyde concentrations (1, 2.5, 3.5, 5 and 7%) on the catalytic activity of the immobilized enzyme was determined by soaking aminated chitosan beads in different concentrations of glutraldhyde for 3hrs at room temperature on a shaker (150 rpm).

#### 2.6.3. Loading time for immobilization of $\beta$ -mannanase

The effect of time loading of partially purified  $\beta$ mannanase on chitosan beads treated with 3.5% glutraldhyde at various time periods (2, 4, 6, 8, 20, 24 and 26 h) at room temperature on a rotary shaker (150 rpm) was studied.

# 2.6.4. Different pHs of enzyme solution

The effect of pH of loading partially purified  $\beta$ mannanase on chitosan beads treated with glutraldhyde at different pHs of enzyme (4, 4.5, 5, 5.5, 6 and 6.5) at room temperature on a shaker (150 rpm) after 6hrs was determined.

# 2.6.5. Effect of different temperature of enzyme solution

The effect of different temperatures of loading partially purified  $\beta$ -mannanase on chitosan beads ranged from 20 to 37°C with 3.5% glutraldhyde at pH 5.5 on a shaker (150 rpm) after 6hrs was studied.

#### 2.6.6. Operational stability

This was done by incubating 0.5 g of immobilized  $\beta$ mannanase with locust bean gum at 60°C for 10 minutes, comprising roughly 20.02 IU of the enzyme. The pellet was removed by filtration at the conclusion of the reaction period, washed with buffer, to start a new run; the pellets were re-suspended in freshly prepared substrate. The activity of  $\beta$ -mannanase was measured in the supernatant.

#### 2.7. Characterization of the gel beads

# 2.7.1. Infrared Fourier Transform (FT-IR)

Fourier transform infrared spectroscopy was used to record the infrared spectra of all formulations (chitosan), (chitosan + PEI), (chitosan +PEI + dopamine), (chitosan + PEI + dopamine + GA), and (chitosan + PEI + dopamine + GA + Enzyme) (FTIR-8300, Shimadzu, Japan). At room temperature, FT-IR spectra were collected in the wavelength range of 4000 to 400 cm<sup>-1</sup>.

#### 2.7.2. Scanning Electron Microscope (SEM)

The various gel compositions' surfaces (chitosan), (chitosan + PEI), (chitosan +PEI + dopamine), (chitosan + PEI + dopamine + GA) and (chitosan + PEI + dopamine + GA + enzyme) Scanning electron microscopy was used to investigate the samples. (SEM, S-590, HITACHI)

# 2.8. Stability and optimization of free and immobilized $\beta$ -mannanase

The following studies were carried out to verify the effectiveness of the novel carriers for mannanase immobilization in industry.

# 2.8.1. The pH Effect

Identical reaction mixes of free and immobilized enzymes were incubated in sodium citrate buffer (0.05M) in this experiment at different pHs ranging from 4 - 6.5 at 50°C for 10 min. The enzyme assay was done in normal circumstances.

# 2.8.2. Effect of temperature

The kinetics on the activity of free and immobilized mannanase was evaluated with executing the reaction for 20 minutes at various temperatures varying between 40 and 70°C., in agreement to Nagar *et al.* (2012). The activation energy (Ea) including both free and

immobilized mannanase was estimated utilizing the Arrhenius plot, as shown in equation (1):

$$Slope = - Ea /R$$
(1)

Where R denotes the gas constant

Both free and the immobilized enzyme was investigated in the absence of the substrate at temperature range from 40-70°C up to 60min to determine the thermal stability. The residual activity of the free and the immobilized enzyme was determined at the optimum condition previously calculated. Without pre-incubation, the enzyme's activity was assumed to be 100 percent.

# 2.8.3. Thermodynamic research

A regression plot of log relative activity (percent) versus time was used to get the kd (min). For both free and immobilized mannanase, the t1/2 (the time it takes for activity to fall to half of its original level) and D-value (the time required to reduce 90 percent of enzyme activity) were calculated from the Eq. (2, 3).

$$t_{1/2} = \ln 2/kd$$
 (2)

$$D-value = \ln 10/kd$$
(3)

A plot of log denaturation rate constants (lnkd) vs reciprocal of absolute temperature was used to determine the activation energy (Ed) for both free and immobilized mannanase denaturation (K) using the Eq. (4)

$$Slope = -E_d/R \tag{4}$$

### 2.8.4. pH stability

The free and immobilized enzymes were treated to varied pH values ranging from 4 to 7 for different time intervals in the absence of the substrate (15, 30, 45, 60, 90 and 120 min.). The residual activities were then tested under the same conditions as before.

# 2.8.5. Effect of substrate concentrations and kinetic constant determination

For the determination of Michaelis-Menten kinetic parameters, different initial locust bean gum concentrations were used ranging from (2.5- 17mg/ml). The partial pure enzyme's dynamical parameters were calculated using the Lineweaver-Burk plot (Lineweaver and Burk, 1934), which was plotted using the formula giving:

$$1/V = (1/V_{max}) + (K_m/V_{max}) (1/S)$$
  
(8)  
 $K_{cat} = V_{max}/e$  (9)

where V is the partial pure enzyme's activity (U/mL), Vmax is the maximal activity, Km is the Michaelis-Menten constant, S is the locust bean gum concentration (mg/mL), Kcat is the turnover number, and e is the enzyme concentration.

# 2.8.6. Effect of different salts on $\beta$ -mannanase activity

The effect of Cu<sup>2+</sup>, Zn<sup>2+</sup>, Ca<sup>2+</sup>, Hg<sup>2+</sup>, Mn<sup>2+</sup>, SDS, EDTA and Mercapto ethanol in concentration (1mM) for 30 minutes at 30°C on  $\beta$ -mannanase activity was determined. Each was added separately to free and immobilized  $\beta$ -mannanase at 1mM final concentration.

### 2.8.7. The immobilized $\beta$ -mannanase's operating stability

0.5 g of immobilized  $\beta$ -mannanase was carried out in the experiment (wet), which contained approximately

20.02 IU of the enzyme. The immobilized form was incubated for 10 minutes at 60°C with Locust bean gum (10mg). It was filtered out at the conclusion of the reaction period, rinsed to start a new run, and re-suspended in solution with prepared substrate and pure water. The activity of  $\beta$ -mannanase was measured in the supernatant.

# 2.8.8. Thin - layer chromatography

Carbohydrates were separated and primary detected by (TLC) techniques using silica gel plates. The samples were spotted on plates with different authentic for mono, di, tri, tetra sugars. Plates were developed at room temperature in a saturated chamber containing the mobile phase which is propanol: water (8.5:1.5 v/v). Sugars were recognized using a spraying procedure. The dried plates were then added to the phenol-sulphuric acid reagent (3 g of phenol and 5 ml of concentrated sulphuric acid in 95 ml of ethyl alcohol), followed by incubation at 100 C in an oven for 10-15 min. (Adachi, 1965).

2.9. The biological actions of the mannooligosaccharides synthesized (MOS)

Antimicrobial and antioxidant activity were measured for MOS's according to the following methods:

## 2.9.1. Antioxidant activity

The antioxidant activity of the samples was examined according to the approach provided; the scavenging activity of 1, 1-diphenyl-2-picrylhydrazyl (DPPH) radicals was determined by Brand-Williams *et al.*, (1995). The reaction was carried out by adding 0.1mL of the sample solution to 3.9mL of methanol solution of DPPH radical (1.1 x  $10^{-4}$ mol/L). The reaction allowed for 30 minutes of standing in the dark. Using Trolox as a standard, the decrease in absorbance was determined spectrophotometrically at 515 nm. The outcomes are given in  $\mu$ MTrolox Equivalents (TE)/ $\mu$ g sample.

# 2.9.2. Determination of prebiotic activities

The oligosaccharide  $DP_4$  was used as carbon sources for growing the three probiotics and (in parallel) a pathogenic strain *E. coli*. A medium-containing blank made out of uninoculated mannooligosaccharides (Hussein *et al.*, 2010). *E. coli* was cultured on nutritional broth medium, while probiotics were produced on conventional MRS broth medium. The optical densities of the growths were obtained at 625nm after 24 hours of incubation at 37°C, and The following formula was used to computed the prebiotic factor:

O.D of Bifido genic growth of culture Prebiotic factor = ------

#### O.D of pathogenic growth of culture

The prebiotic activity is determined by comparing the densities of growths of the probiotic beneficial bacteria *Pediococus lactis* 1,2, *Lactobacillus lactis, Lactobacillus acidophilus*, and *Lactobacillus planterum* cultured on MRS-medium with those of the pathogenic *Escherichia coli* (containing the sample as sole carbon source). The five probiotics were cultivated on MRS medium for 24 hours, whereas E. coli was grown on nutritional broth medium for 24 hours. As an inoculation, stock solutions of 0.1 ml of each resulting bacterial suspension were used. For a 10-ml study medium, including the researched material as a supply of carbohydrates. A carbohydrate

source of 150 mg per 10 ml MRS-base medium was used to make this medium.

The resultant bacterial growth was detected at 625 nm after incubation during 24hrs at 37°C, against a blank made up of uninoculated MOS's including medium table (3).

The "Prebiotic factor" was used to determine the prebiotic activity: Prebiotic factor = Bifidogenic bacterial growth O.D. versus *E. coli* growth O.D. All studies were carried out in three different ways, and the findings are given as means SD (n=3).

# 3. Result and discussion

#### 3.1. Enzyme production

β-mannanase can be produced by microbes and filamentous organisms (Adigazel *et al.*, 2015 and Ismail *et al.*, 2019). The mutant *P. citrinium* β-mannanase was reported in our previous work as a good producer of βmannanase that produce351 IU/ml with specific activity 21.57 U/mg protein (Ismail *et al.*, 2019).

# 3.2. Partial purification

The fraction brought precipitation at 60-70% ammonium sulphate saturation represented 34.03% of the total recovered activity with 7.99 fold increase in the specific activity. Our previous work (Ismail *et al.*, 2019) reported that the fraction determined at 60-70% (w/v) ammonium sulphate provided the most specific activities (27.83 U/mg proteins). Nadaroglu and Snomez (2016) and Olaniyi & Adebowale (2017) reported partial purification of mannanase using ammonium sulphate.

#### 3.3. Enzyme immobilization

An important parameter in determination the economic viability of the industrial use is the reusability which shows the significance of the generated enzyme's immobilization (Fernandes *et al.*, 2013).

Among 48 different tested carriers, the immobilization by covalent binding with Chitosan-NaOH+ dopamine+ Polyethylenimine was the best giving the highest immobilization yield (34.41 %), which agrees with Mohy Eldin *et al.* (2012) who mentioned that covalent binding is progressively ideal as it leads to stable enzyme derivatives.

The immobilization process was optimized with different factors. The optimum conditions were the loading of 20.02 IU/ml on activated chitosan with glutraldhyde, polyethylenimine and dopamine, sufficient for achieving maximal immobilization yield (58.86%), while it was only 19.41%, in case of activated chitosan directly. Fig. 1 (A, B) showed the change in the surface of the beads for different gel formulation.

The increase in the loading enzyme decreases the immobilization yield, and this may be attributed to the increase on the surface of beads hamper diffusion of the substrate to the enzyme's active site (De Medeiros *et al.*, 2020 and Fernandez *et al.*, 2017).

The yield of immobilization increased to 61.7% with 3.5% glutraldhyde (fig, 1C). Covalent binding through glutraldhyde most likely increased the carrier local surface area and, accordingly decreased the streric impediment that surrounds the active site (Siso *et al.*, 1990). Blibech *et al.*, (2011) reported that 2.5% glutraldhyde was optimum for the immobilization yield.

In addition, the effect of different pH and time of loading enzyme was studied. The optimum yield was achieved (69.07%) at pH 6 after 6h (Fig, 1D) and (Fig, 1E). Also, the temperature affected the yield of immobilization. A yield of 77.2% was achieved at 20°C but decreased to 8.12% at 37°C (Fig, 1F). Similarly, Nadaroglu and Sonmez (2016) found that the immobilization of  $\beta$ -mannanse was significantly enhanced at the mild acidic pH 5 and at 20°C.



Figure (1A, B): Effect of different treatment of chitosan with different concentrations of enzyme A-Chitosan+dopamine





Figure (1C): Effect of different concentrations of glutraldhyde %



Figure (1E): Effect of different pHs of enzyme loading



Figure (1F): Effect of different temperatures of enzyme loading

3.4. Characterization of the untreated and treated immobilized enzyme

#### 3.4.1. Fourier Transform Infrared (FT-IR)

From 400 to 4000 cm-1, FT-IR spectroscopic investigation of chitosan with gel particles, triggered particles, and immobilized beads was done. (Fig,2). Chitosan gel beads had distinct peaks in their infrared spectra (curve A); in this figure the peak of amine group is clear at 3429cm<sup>-1</sup> which is corresponding to NH<sub>2</sub> group. The spectra for aminated beads show that the peak at 3429 cm<sup>-1</sup>became broader that more amine groups were found on the surface of the beads, indicating their existence (curve B). After treatment with dopamine, а distinguishable band appeared at 1109 cm<sup>-1</sup>, corresponding to the C–O of the phenol group (curve C). Beads that have been activated with glutaraldehyde display two additional peaks. The first was at 1631 cm-1, referring to the (C=N-) group formed by the reaction of NH2 end groups with glutaraldehyde, and the second was at 1429 cm-1, referring to the (C=O) group formed by a free aldehyde end of glutaraldehyde (durve D). Finally, the immobilized beads produced a larger signal at 3433 cm<sup>-1</sup>, reflecting a naturally occurring increase in the quantity of amino groups in the enzyme



Figure 2. The examination of chitosan gel beads, activated beads, and immobilised beads using FT-IR spectroscopy

The FTIR spectra of the immobilized enzyme agree with novel *Streptomyces*  $\beta$ -mannanase Mohapatra (2021) and immobilized lipase from *Rhizomucor meihei* (Collins *et al.*, 2011) and  $\beta$ -galactosidase from *kluyveromyces lactis* (Klein *et al.*, 2012), and alginate lyase from Arthrobacter species AD-10 Mohapatra (2021).

#### 3.4.2. Scanning Electron Microscope (SEM)

The results shown in Fig (3) showed the changes in the different gel formulation after each step of the immobilization.

The surface of chitosan beads was smooth, and after activation with glutraldhyde molecule accumulated on the surface (figure, 3B) and finally Figure 3C shows the shape of beads homogenous, symmetrical and spherical beads, agree with (Zhao *et al.*, 2016).



Figure 3. Scanning Electron Microscope (SEM) shows the shape of the beads

# 3.5. Effect of pH value of the reaction

The influence of pH on the activity of partly purified  $\beta$ mannanase in a reaction combination and immobilized enzyme was studied in sodium citrate buffer (0.05M) at pH ranged from 4 – 6.5 at 50°C. The results in Fig. 8 indicated that  $\beta$ -mannanase was optimally active at pH 5.5 (control) for both free and immobilized enzymes. The optimum pH of the free and immobilized enzyme was at pH 5.5 (Fig, 4A), and the immobilized enzyme still active (98.97%) at pH 5 and 98.67% at pH 6.0. These results indicated that the immobilized enzyme can keep its maximum activity at a broader range of pH.



Figure 4A. Effects of varying pH levels on free and immobilized enzyme activity



Figure 4B. Optimum temperature profile of free and immobilized mannanase.

Shalaby *et al.*, (2017) For *P. chrysogenum* mannanase, the optimal pH for the free and immobilized forms was found to be 6.0 and 6.5, respectively, respectively. Nadaroglu and Sonmez (2016) found that the immobilization of  $\beta$ -mannanase was determined at pH 5.0.

Most fungal  $\beta$ -mannanases have been found to be most active in the 4.0-5.0 acidic pH range (Lim *et al.*, 2012; Katrolia *et al.*, 2013), whereas  $\beta$ -mannanase is derived from *A. terreus* FBCC1369 was most active at pH 7.0. The optimal pH, according to Mohapatra (2021), is 7.5.

Additionally, the immobilized enzyme shows good pH stability that retained 100% of its activity at pH 5.5 and 6 for 2hr and retained more than 92% and 95% after 2hr at pH 6.5 and 5.0. Panwar *et al.* (2017) reported that between pH 6 and 10, the immobilized  $\beta$ -mannanase of *Bacillus sp.* CFR1601 was stable.

# 3.6. Effect of temperature

One of the most critical elements determining enzyme activity is temperature. With rising temperature, the activity of both free and immobilized  $\beta$ -mannanase enhanced up to 60°C. The free and immobilized enzyme showed activity of 113.28% and 116.26% of the control (50°C), respectively. Further increase of the reaction temperature up to 65°C leads to decrease of free βmannanase activity (85.94%), while the immobilized one reaches 102.86%) (Fig. 4B). These findings revealed that the immobilized enzyme was more active than the free enzyme at higher temperatures. Covalent connections were formed between functional groups in enzyme molecules and the carrier surface, strengthening the flexibility of the enzyme's molecular structure and minimizing the effect of temperature on enzymes dissociation, may be referred to as shifting in the optimal temperature (Martin et al., 2001 and Ferrarotti et al., 2006). Covalent immobilization is one among the most extensively distributed utilized strategies for improving enzyme activity at high temperatures in enzyme engineering (Nwagu et al., 2012 and Gill et al., 2006). In general, high temperature is preferred and required for the majority of enzyme activity since it promotes conversion rates. Furthermore, high temperatures enhance the solubility of the substrate while also reducing microbial contamination (Ismail et al., 2019).

The optimal temperature at previous studies were 40°C for Streptomyces galbus (Kansoh *et al.*, 2004), 50°C *Bacillus sp.* CFR1601 (Srivastava *et al.*, 2015), and 58°C *Streptomyces lividans* (Arcand *et al.*, 1993), and for the immobilized enzyme at 60°C for *Clitocybe geotropa* 

(Nadaroglu *et al.*, 2016), and at 70°C for *Bacillus sp. CFR1601* (Panwar *et al.*, 2017) and *Penicillium occitanis* (Blibech *et al.*, 2011).

Arrhenius plots were used to compute the activation energy (Ea) of immobilized and free mannanase (Fig. 5). The energy needed to form enzyme- substrate complex was 2.5 higher than the immobilized enzyme. The lower activation energy needed in the immobilized enzyme makes it more suitable for the industrial application, and this would reduce the total cost of the industrial process (Naggar *et al.*, 2012).



Figure 5. Calculation of activation energy (Ea) for free and immobilized enzymes using Arrhenius plots.

Many studies have suggested that the rise in the optimal temperature for immobilized mannanase is linked to a rise in the reaction's energy barrier as a result of the activation energy (Ea) (Guo *et al.*, 2017 and Arrhenius *et al.*, 1889).

Thermal stability profile (Fig, 6A, B) showed that the immobilized enzyme improved the stability of the enzyme at temperature from 50-60°C. After 2 hours at 50°C, the immobilized enzyme maintained 86.4 percent of its activity, compared to 56.27 percent for the free enzyme. After 2 hours at 60°C, the immobilized enzyme preserved 54.9 percent of its activity while the free enzyme was completely destroyed. This could be because of the covalent interaction between free enzyme and nanoparticles reduces protein unfolding and denaturation by enhancing structural rigidity and lowering thermal vibrations (Hanefeld *et al.*, 2009).



Figure 6A. Thermale-stability profile of immobilized mannanase.



Figure 6B. Thermal-stability profile of free mannanase.

At temperatures of 50-60°C, the heat inactivation rate of free and immobilized mannanase were examined. Plotting the log of residual activity against time yielded a linear relationship, confirming a first-order kinetic response of the free and immobilized enzyme (Fig. 7A, B). The stability of enzymes was investigated as a function of temperature in this thermodynamic study. The thermal stability parameters of free and immobilized mannanase were shown in Table, 1. It was evident that as the temperature was raised, the  $t_{1/2}$  fell, as did the (kd), the first order thermal deactivation rate constant (Fig. 8 A, B).

When the half-lives of free and covalently immobilized mannanase on chitosan beads were compared, the difference was striking, especially at higher temperatures. The results showed that the lower the kd the greater is the thermal stability of the enzyme which agrees with what was mentioned by Tayefi-Nasrabadi *et al.*, 2008. Furthermore, after covalent immobilization, D-values of mannanase increased, confirming the enhanced thermal stability of the immobilized mannanase. Previous studies also concluded that after immobilization, the enzyme's half-life increases (Mateo *et al.*, 2007).

**Table 1.** Thermodynamic parameters for thermal inactivation of free and immobilized mannanase.

	Free enzyme				Immobilized enzyme		
Temp ℃	50 ℃	55 ℃	60 °C	Temp ℃	50 ℃	55 ℃	60 ℃
kd (min- 1)	0.001	0.002	0.0027	<i>kd</i> (min- 1)	0.0005	0.0009	0.0011
<i>t1/2</i> (min)	693	346.5	256.67	<i>t1/2</i> (min)	1389.29	770.164	630.134
D- value (min)	2303	1151	852.96	D- value (min)	4605.17	2558.428	2302.585



Figure 7A. Arrhenius plot for Kd calculation of free mannanase (free)



Figure 7B. Arrhenius plot for Kd calculation of immobilized mannanase (immobilized)



**Figure 8A**. Arrhenius plot to calculate activation energy (Ed) for denaturation (immobilized mannanase)



Figure 8B. Arrhenius plot to calculate activation energy (Ed) for denaturation (free mannanase)

coli with the highest activity of 24.9 and 15.65 times recorded for *Pediococus lactis 2 and Lactobacillus planterum*, respectively.

# 3.6.1. Antioxidant activity

By measuring the scavenging activity of the generated mannooligosaccharides mixture against the 1,1-diphenyl-2-picrylhydrazyl (DPPH) radical, the antioxidant activity of the mixture was determined. The results indicated that the produced oligosaccharides possessed antioxidant activity of about 166  $\mu$ M Trolox Equivalents (TE)/mg.

This result agrees with Amna *et al.* (2018) who indicated that the hydrolysate (rich in mannooligosaccharides) of konjac gum (linear mannan polymer) possessed significant antioxidant activity.

#### 4. Conclusion

In our production, the Mannanase enzymeproduced by the mutant *Penicillium citrinium* Egy5 LC368457 was covalently immobilized onto activated chitosan beads by Polyethyleneimine, glutaraldehyde and dopamine. The immobilized enzyme possessed high stability and reusability as it retained 75.82% of its activity at cycle number 9. Furthermore, they produced mannooligosaccharides, which showed antioxidant and prebiotic activity. The influence of different substrate concentrations

In Lineweaver-Burk graph Fig. (9), the activities of free and immobilized enzymes for locust bean gum concentrations were plotted, and maximal activities (V<sub>max</sub>) and Michaelis-Menten constants  $(K_m)$  values were computed. The results showed an increase in the K<sub>m</sub> value of the immobilized enzyme. For free and immobilized enzyme, the K<sub>m</sub> was 5 and 5.5 mg ml-1, respectively. In addition, the free and immobilized enzymes had  $V_{max}$  of 20 and 25 mg/min/ml, respectively. K<sub>m</sub> value commonly increases after immobilization (Park et al., 2005). Mohapatra (2021) reported 4.94mg/ml and 93.5U/mg protein as  $K_m$  and  $V_{max}$  value for the immobilized Streptomyces species ALg-S25 mannanase. Nadaroglu and Sonmez (2016) reported a K<sub>m</sub> value of 0.122mg/ml for Clitocybe geotropa. Panwar et al., (2017) reported a K<sub>m</sub> value of 6.7mg/ml for Bacillus sp. CFR1601.K<sub>m</sub> and V<sub>max</sub> values are mostly determined by the enzyme's source, and hence represent the enzyme's sensitivity to the substrate.



**Figure 9**. Effect of substrate (locust bean gum) concentrations on the activity of the free and immobilized *Penicillium citrinium* 150GY β-mannanase

# 4.1. The effect of metal ions on free and immobilized $\beta$ -mannanase activity

The effects of different metal ions on the free and immobilized enzymes were investigated. The results indicated that EDTA stimulated both free and immobilized enzymes, resulting in increased activity by 14.3% and 15.6% respectively. Also,  $Ca^{+2}$  increased the activity by 12.9 and 8.2%, respectively. On the other hand,  $Hg^{2+}$  and  $Mn^{2+}$  decreased the activity of both free and immobilized enzyme (Fig. 10). Different concentration of EDTA ranged from 0.5 to 5M were examined, and the results showed that the activity reached its maximum at 3mM after 30 min

incubation reached 170.8% and 180.5% for free and immobilized enzyme, respectively (Table, 2). Mohapatra (2021) recorded that EDTA had strong inhibition on the free and immobilized enzyme, and different effect cation action of the enzyme may be due to adopt different geometrics in the same site in the absence of substrate.



Figure 10. Effect of different activators and inhibitors on the activity of the free and immobilized enzyme **Table 2.** Effect of different concentration of EDTA with different

incubation time on the activity of the free and immobilized

enzvme

conc	Time	Relative activity (%)		
		Free	Immobilized	
		enzyme	enzyme	
0.5	30 min	129.34	128.92	
	60 min	132.58	140.50	
1	30 min	168.25	180.48	
	60 min	148.35	163.94	
3	30 min	170.78	180.48	
	60 min	150.78	164.67	
5	30 min	152.35	149.04	
	60 min	143.28	135.82	

4.2. Production of manno-oligosaccharides

L.B.G was more suitable substrate for hydrolysis; therefore, different incubation periods (1hr, 2hr, 3hr, 4hr, 5hr, 6hr, 21hr and 24hr) for hydrolysis of gum locust bean (1%) were applied at 45°C and with 2.5 IU/ml for free and immobilized enzyme. The results showed that in each case for free and immobilized enzyme the hydrolysis increased by increasing the time of the reaction. The 1h hydrolysis reaction yielded 4.39 and 3.1mg/ml for free and immobilized enzyme, respectively, while the 24 h reaction yield was enhanced and reached 8.16 and 7.5 mg/ml of reducing sugars for free and immobilized enzyme, respectively. The TLC indicated that as the time increased the production of MOS (visualized by the spot intensity on TLC plate) increased and the production of monomer increased (Fig. <sup>\\</sup>A).

The degree of polymerization of the produced mannooligosaccharide was detected by HPLC and indicated that the enzyme hydrolyzed the locust bean gum to DP4 which has several biological activities (Fig. 11B). The significant item freed from L.B.G was manotetraose (M4) with minor of di, tri oligosaccharides and mannose. Our outcomes concur with Hakamada *et al.* (2014).



**Figure 11A.** TLC plate of hydrolysis product of LBG by (Immobilized enzyme and Free enzyme) of mutant of *P.citrinium*150GY  $\beta$ -mannanases at various periods 1, 2, 3, 4, 5, 6 and 7h.

Lan a: mannose, lanb: melibiose, lanc: raffinose, lan d :stachyose, lan 1: 1h, lan 2: 2h, lan 3: 3h, lan 4:4h, lan 5: 6h, lan 6:21h, lan 7: 24h



Figure 11B. HPLC for hydrolysis of locust bean gum

### 4.3. Operational stability

The immobilized enzyme was stable until 5<sup>th</sup> cycle as it retains 100% of its activity. The enzyme retained 75% of its activity at cycle number 9. The activity then dropped, which might be attributed to the released sugar during hydrolysis or the leaking of the enzyme from the carrier (Gouda *et al.*, 2002). The successive reuse of the immobilized enzyme is a major advantage of this technique due to enhancing the economic value (Fig. 12 A, B).



Figure 12A. TLC plate of hydrolysis product of LBG by immobilized enzyme and its reusability.



Figure 12B. Operational stability of immobilized from *Penicillium citrinium*150GY

#### Biological activities of galactomanno-oligosaccharide

#### 4.3.1. Prebiotic activity

Mannooligosaccharides had been previously estimated as prebiotic compounds. They can increase the growth of good bacteria, such as *Lactobacillus* and *Bifidobacterium*, specifically. They can also prevent *Salmonella* and *E. coli* bacteria from attaching to each other in the digestive system (Chauhan *et al.*, 2014 and Dhawan *et al.*, 2015). In the current study, the prebiotic activity of the produced mannooligosaccharides mixture was evaluated by comparing its effect on the growth of various probiotic bacteria including *Pediococus lactis 1,2*, *Lactobacillus lactis, Lactobacillus acidophilus* and *Lactobacillus planterum* with that of the pathogenic *E. coli*. The results in table 3 indicated that the produced oligosaccharide mixture possessed a positive effect on the growth of all the tested probiotic strains as compared to the growth of *E*.

Table 3. Prebiotic activity of MOS

Probiotic microorganism	Ratio againt E. coli
Pediococus lactis 1	13.06
Pediococus lactis 2	24.9
Lactobacillus lactis	3.2
Lactobacillus acidophilus	4.7
Lactobacillus planterum	15.65

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# Optimization of the Process of Reducing the Bitterness of Bitter Melon with Response Surface Methodology

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# Abstract

Bitter melon fruit (*Momordica charantia*, Linn.) is rich in vitamins and minerals, antioxidants, and steroid saponins, which taste bitter and are not liked. Steroid saponin compounds have a good role in biological activities such as antidiabetic, anti-hypercholesterolemia, anti-obesity, anti-tumor, anti-inflammatory, analgesic, antiviral, and antidepressant. Currently, many efforts have been made to reduce the bitter taste, such as salting. To optimize the salting process, the response surface method with the developed model was employed. The design in this study was a 2k or partial factorial design with two levels for each variable coded -1 and +1 and expanded with a value of  $\alpha$ , where  $\alpha = 2k/4$ , and k = number of variables. To check the accuracy of the second-order polynomial model, the observations were repeated five times at the center point (X1 = 0 and X2 = 0). Bitter taste response parameters were measured using descriptive sensory tests and LC-MS chromatographic analysis of diosgenin compounds. The optimization results show that the quadratic polynomial regression equation is Y = 2.468 - 0.1053X1 - 0.0176X2 + 0.0153X12 + 0.0178X22 + 0.085X1X2 where X1 = salt concentration and X2 = salting time. A minimal bitter taste response was obtained at a salt concentration of 5 % and 15 min of salting time with a desirability value of 94.1%. In the condition of a minimum bitter taste response of 2.145, the optimum point for each variable is a stationary point, considered the optimum response.

Keywords: Bitter gourd, Bitter cucumber, Reduce bitter taste, Herbal medicine, Medicinal vegetable, *Momordica charantia* L., Optimization decrease, Salting treatment

### 1. Introduction

The bitter melon plant belongs to the Cucurbitaceae family; and the distribution includes China, India, and Southeast Asia. Bitter melon or bitter gourd fruit (Momordica charantia, Linn.) is rich in nutrients, especially vitamins, minerals, and fiber, and contains many complexes of beneficial bioactive compounds, and antioxidants, among other alkaloids, terpenoids, steroids, tannins, and saponins (Nursal and Yeanny 2019), which contribute to extraordinary versatility in treating diseases. Several researchers (Asmawati et al., 2022; Damat et al., 2019, 2020, 2021; Gangakhedkar et al., 2021, Setyobudi et al., 2019; Sur and Ray, 2020; Ummi et al., 2019) stated that fiber and antioxidants have good roles in supporting biological activities and act as anti-diabetic, antihypercholesterolemic, anti-obesity, antitumor. antiinflammatory, analgesic, antiviral, and antidepressant. The

people of southern Japan use bitter melon as a laxative and anthelmintic (Shubha *et al.*, 2018). Bitter melon extract in India is used as a diabetic drug, rheumatic drug, liver disease drug, and lymphatic disease drug (Ee Shian *et al.*, 2015).

Bitter melon in Indonesia, apart from being known as a vegetable, is also traditionally used as a phlegm laxative, fever reducer, and appetite enhancer. Bitter melon leaves are used as a menstrual laxative, burn medicine, skin disease medicine, and worm medicine (Bahagia *et al.*, 2018). Since it is known that the bitter melon plant is productive for health, several researchers have tried to identify and isolate the material that depends on the bitter melon plant. Various kinds of treatment can be done to reduce the bitter taste of bitter melon before the culinary/cooking process is carried out. One way is often done is by salting treatment (Ummi *et al.*, 2019). Various methods of salting are carried out by the community, squeezing bitter melon fruit that has been sliced

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longitudinally and transversely to become bitter melon slices using fingers for a specific time and adding a certain amount of salt. Indonesia, an archipelagic country consisting of thousands of islands, has various tribes, languages, and cultures. Each region also has a distinctive food, processed using local ingredients and favored by the local community and then referred to as the area's traditional food. This food can change regarding ingredients and processing methods (Weaver, 2018). Even more interesting is consuming food with essential elements derived from plants and animals locally with natural processing (Wijaya, 2019). The problem is more in the way Indonesian people process their food. Almost all traditional Indonesian food is processed using heating until it is cooked. In addition, especially for vegetable processing, the vegetable ingredients are often washed, boiled, and the water removed, then the vegetables are kneaded before being mixed with spices and served. This way, some nutrients, such as vitamins and minerals that are soluble in water, will be wasted through washing, and some that are not heat resistant will be damaged during the cooking process (Reis et al., 2015; Xu et al., 2014). Setyobudi et al. (2021, 2022) reported a decrease in vitamin C and vitamin A levels when heating above 50 °C. This high temperature has a negative impact because these two vitamins are enchangers in the absorption of Fe nonheme nutrients in the human body. Another adverse effect is the damage to antioxidants. Therefore, this research was carried out to avoid damage to the valuable ingredients in bitter melon by developing a model for optimizing the salting process.

#### 2. Material and Methods

Optimization of the bitter melon pre-treatment process by adding salt and pressing was carried out using the Response Surface Methodology (RSM). The research design using the RSM method, which many statisticians have developed to construct a second-order response surface design with two variables, is the Central Composite Design (CCD). The design of this study is a 2k factorial or partial factorial (fractional factorial) with two levels on each variable coded -1 and +1 and expanded with a value of  $\alpha$ , where  $\alpha = 2 \text{ k/4}$  and k = number of variables. The second-order polynomial model is tested for accuracy by making observations repeated five times at the midpoint (X1 = 0 and X2 = 0) (Kumari and Gupta, 2019). In addition, before compiling the primary research with a composite design, a preliminary study was carried out to determine the optimum point of each optimized variable. These points are considered process conditions that produce the optimal response.

# 3. Results and Discussion

#### 3.1. Preliminary research

This preliminary study optimized the concentration of added salt (KK) and kneading time (KW). In addition, preliminary research was conducted to determine the optimum point of each optimized variable in the initial treatment of squeezing bitter melon slices that had been added with salt. This point is suspected to be a condition that produces an optimum response, namely a minimal bitter taste response (Y). The results of Y response data obtained from preliminary research from each optimization variable are presented in Figure 1 and Figure 2.

Based on Figure 1, it can be seen that the minimum score, which is the optimal value in the preliminary study of the salting optimization process, is found in the 7.5 % concentration treatment with a duration of 15 min with an average score of 2.4. This score shows the minimum bitter taste response score. Figure 2 shows that the minimum mean score of 2.4 is found in the 10 % salt concentration treatment and the salting time of 10 min. This minimum score is the optimum value at the preliminary research stage of the salting optimization process. This optimum value indicates a minimal bitter taste response. The optimum value at the initial research stage of the optimization of the salt kneading process is then used as the optimum level and the experimental area in the primary research stage of the optimization of the salt kneading process.



Figure 1. Histogram of preliminary research on the optimization of the squeezing process at 15 min



Figure 2. Histogram of preliminary research on process optimization salting at 10 % salt concentration

As a comparison material in the preliminary research on the optimization of the salting kneading process with this descriptive sensory test, a test was carried out by measuring the levels of bitter taste compounds in bitter melon fruit by LC-MS chromatographic method. Diosgenin compounds are known to have a bitter taste in bitter melon. Diosgenin is a steroidal saponin compound with a bitter taste (Joseph and Jini, 2013). The chromatogram of the results of the analysis of the diosgenin content of bitter melon in a preliminary study of optimization of the salting squeezing process of bitter melon before culinary processing is contained in the appendix. In comparison, the table of diosgenin levels on the optimization of salt concentration and salting time variables is presented in Figures 3 and Figure 4. The chromatography results of the diosgenin content in Figure 3 show that the combination treatment of 7.5 % salt concentration and 15 min of squeezing time resulted in the lowest diosgenin level of 0.461 mg g<sup>-1</sup>. Meanwhile, the chromatography results of diosgenin levels on optimization of squeezing time with a salt concentration are presented in Figure 4.



Figure 3. The levels of diosgenin compounds are corrected for the bitter taste in optimization of salt concentration with 15 min

The lowest diosgenin level shown in Figure 4 is 0.470, namely the 10 % salt concentration treatment with a kneading time of 10 min. Low diosgenin levels indicate a lot of diosgenin is wasted after squeezing bitter melon slices with added salt. In addition, low diosgenin levels indicate a minimal bitter taste response. The points of the lowest diosgenin levels in Figure 3 and Figure 4 are the points that are thought to produce an optimum response, namely a minimal bitter taste response (Y), while the level of treatment that will be the center point of the experiment in the main study is the level of treatment that produces a minimal bitter taste response in each optimized variable.



Figure 4. Levels of bitter-tasting compounds (diosgenin) on optimization of squeezing time with 10 % salt concentration

Based on Figure 1 and Figure 3, the minimal bitter taste response with a mean score of 2.4 and the lowest diosgenin level with a concentration of 0.461 mg g<sup>-1</sup> was obtained at a salt concentration of 7.5 %, while Figure 2 and Figure 4 show a minimal bitter taste response with the same average score (2.4) and the lowest diosgenin level of 0.470 mg  $g^{-1}$  obtained at 10 min of squeezing. The variables with minimal bitter taste response and the lowest diosgenin levels were then used as the center point for the primary research with the coded variable (0,0). The treatment for coded variables with a central point (0.0) was expanded by taking two inflection points from each variable, namely at the point before the level (coded -1) and at the point after the level (coded +1) from the optimum level. Therefore, the two inflection points for the salt concentration variable are 5 % (code -1) and 10 % (code +1), while the two inflection points for the variable length of extraction time are 5 min (code -1) and 15 min (code + 1). These points are then used to develop experimental designs in the primary research.

#### 3.2. Main research process optimization

The primary research was carried out using three levels on each variable which was assumed to be the optimum level and the experimental area obtained from the preliminary research. Determining the desired optimum point in the response surface analysis with a central composite design requires an experimental area around the center point. The experimental area consists of two levels of each variable coded with -1 and +1 and expanded with the value of  $\alpha$ . The formula set for the value of  $\alpha$  is where k is the number of variables being tested (Kumari and Gupta, 2019) so that the value of  $\alpha$  is set at 1.414. Code variables -1 and +1 indicate the level of variables that are before and after the optimum point. The distance between the coded variable -1 and the optimum variable 0 is the same as the distance between the coded variable +1 and the optimum variable 0.

The experimental design used in this main study is the Central composite design with a combination treatment of the processes obtained from the introduction, namely the salt concentration of 7.5 % and the duration of 10 min repeated five times. Further expansion of the treatment was carried out by combining the points before and after the optimum conditions for the concentration variables of 5 % (code -1) and 15 % (code +1) and for the variable length of time 5 min (code -1) and 15 min (code +1). In determining the second-order equation, the treatment is further expanded by combining each optimum condition with points  $-\alpha$  and  $-\alpha$ . The point  $\alpha$  for the concentration variable was determined at 3.965 % (code -1) and 11.035 (code +1), while for the time variable, it was determined for 2.93 min (code -1) and 17.07 (code +1). The composite design of this research center is presented in Table 1.

Condition Original variable		Code Variable					
Process	Concentration (%)	Time (min)	$\mathbf{X}_1$	$\mathbf{X}_2$	Response of Bitter taste		
1	5	5	-1	-1	$2.87 \pm 0.06$ bit bitter		
2	5	15	-1	+1	$2.1 \pm 0.05$ bit bitter		
3	10	5	+1	-1	$2.5 \pm 0.03$ bit bitter		
4	10	15	+1	+1	$2.47 \pm 0.06$ bit bitter		
5	7.5	10	0	0	$2.53 \pm 0.08$ bit bitter		
6	7.5	10	0	0	$2.5 \pm 0.05$ bit bitter		
7	7.5	10	0	0	$2.57 \pm 0.05$ bit bitter		
8	7.5	10	0	0	$2.47 \pm 0.03$ bit bitter		
9	7.5	10	0	0	$2.5 \pm 0.07$ bit bitter		
10	3.965	10	-1.414	0	$2.33 \pm 0.05$ bit bitter		
11	11.035	10	+1.414	0	$2.37 \pm 0.03$ bit bitter		
12	7.5	2.93	0	-1.414	$2.67 \pm 0.03$ bit bitter		
13	7.5	17.07	0	+1.414	$2.47 \pm 0.03$ bit bitter		

Table 1. Central cor	mposite design for	pre-salting process	conditions for Bitter melon
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Score description: 1 to 1.99: less bitter ; 2 to 2.99: slightly bitter ; 3 to 3.99: bitter ; 4: very bitter

# 3.2.1. Response surface methodology analysis (RSM)

### 3.2.1.1. Model selection analysis

Statistical model selection analysis was conducted to determine the appropriate model for describing the significance of the research results obtained. The model selection analysis was based on: i) the description of the sum of squares (Sequential Model Sum of Squares), ii) the inaccuracy test of the model (Lack of Fit Test), and iii) the summary of the statistical model (Summary of Statistics). Several models may be selected, including linear models, 2-factor interaction models, and quadratic and cubic models.

# 3.2.1.2. Model selection based on the number of squares

The selection of the model based on the number of squares is based on the highest value of the polynomial degree with the condition that the model is accepted if the p-value < 0.05 (the probability of error from the model is less than 5 %), which means the model has a significant effect on the response. The table of the model selection results based on the description of the number of squares is presented in Table 2. Based on the analysis of the Sequential Model Sum of Squares (Table 2), it is found that a model can be chosen to describe the phenomenon of the effect of salt concentration and to knead time on the resulting bitter taste. Therefore, the analysis results

obtained that matches the selected model (Suggested) is of quadratic model design.

The model selection based on the number of squares starts from the linear model. The linear model has a pvalue of 0.0856 (8.56 %), indicating that the probability of model error is more than 5 % (the p-value in the program has been set < 5 %), which means that the model is not significant (not significant) on the response. The next model observed is 2FI (interaction between two variables). Based on Table 2, it can also be seen that the p-value for the 2FI model is 0.0057 (0.57 %), which indicates the model error is less than 5 % which means that the 2FI model is significant to the response. The following model observation is the Quadratic form with a p-value of 0.0529 (5.29 %) - indicating that the probability of error from the model is close to 5 % - which means that the Quadratic model has a significant (significant) effect on the response, making it the best design and selected as "Suggested" referring to the sum of the squares description. The Cubic model has a p-value of 0.0121 (1.21 %), which indicates that the probability of error from the model is less than 5%, which means that the Cubic model has a significant effect on the response. The program declares the Cubic model "Aliased" (not recommended) because it is suspected that the model is too complex and impossible to use.

Source Diversity	Number of Squares	db	Square Mean	F Count	P value Prob>F	Information Model
Maan va Tatal	80.50	1	80.50		110021	
Mean vs Totai	80.30	1	80.30			
Linear vs Mean	0.15	2	0.073	3.18	0.085 6	
2FI vs Linear	0.14	1	0.14	13.04	0.005 7	
Quadratic vs						
2FI	0.054	2	0.027	4.61	0.052 9	Suggested
Cubic vs Quadratic	0.034	2	0.017	12.13	0.012 1	Aliased
Residual	0.006 97	5	0.001 39			
Total	80.88	13	6.22			

Table 2. The results of model selection based on the description of the sum of squares

# 3.2.1.3. Model selection based on model inaccuracy test

The selection of the second model is based on the model inaccuracy test (Lack of Fit Test). Kumari and Gupta (2019) state that the main criterion for the accuracy of the model is based on the lack of fit test because a model is considered appropriate if the model inaccuracy test is not statistically significant and is considered inappropriate to explain a problem from an analyzed if the model's inaccuracy is statistically significant. In contrast to the previous model selection criteria, the model selection criteria based on the model's inaccuracy is determined by a p-value > 5 %, where the model is accepted if it has a p-value > 5 %, which means the model inaccuracy is not significant to the response. The calculation of the model selection results based on the model inaccuracy test is presented in Table 3.

Table 3. Results of model selection based on inaccuracy of the test model

Source	Number of Squares	dh	Square Mean	E Count
Diversity	Number of Squares	ub	Square Mean	I' Count
Linear	0.23	6	0.038	26.30
2FI	0.089	5	0.018	12.42
Quadratic	0.035	3	0.012	8.18
Cubic	0.001 25	1	0.001 25	0.87
Pure Error	0.005 72	4	0.001 43	

Table 3 for the Quadratic model shows a result of 0.0351 (3.51 %), which means that the inaccuracy of the Quadratic model has a significant effect on the response, and so the Quadratic model is not accepted. Still, compared with other values, the Quadratic model has a p-value close to 0.05, and so the test suggests using the Quadratic model (suggested). For the Cubic model, a p-value of 0.4027 (40.27 %) was obtained, which indicates that this model is not significantly different, but the Cubic model is not recommended (aliased). In the Linear and 2FI models, each has a p-value of 0.0035 (0.52 %) and 0.0151 (0.30 %), indicating a p-value of less than 5 %, and so the two models are significantly different and the model is conclusively incorrect.

# 3.2.1.4. Model selection based on statistical model summary

The selection of the third model is based on the P value. P value statistical model analysis (model summary statistics) remained by the calculation analysis of the Probability of the previous calculations. According to 0.0000 mm (2013), determining the best model is focused on the maximum adjusted R2 and Predicted R2 values. Furthermore, the model selection also focuses on 0.0111 minimum PRESS (Prediction Error Sum of Squares) 0.4021 us (Analysis of the analysis of the model based on the complete summary of the statistical model is presented in Table 4.

The p-value in the analysis of the model's inaccuracy in

Table 4. The results of the model selection based on the summary description of the statistical model

Source	Standard		Adjusted	$\mathbb{R}^2$		
Diversity	Deviation	$\mathbb{R}^2$	$\mathbb{R}^2$	Prediction	PRESS	Information
Linear	0.15	-0.325 4	0.388 4	0.266 1	0.50	
2FI	0.10	0.312 7	0.750 2	0.667 0	0.26	
Quadratic	0.076	0.317 1	0.892 2	0.815 1	0.26	Suggested
Cubic	0.037	0.764 9	0.981 6	0.955 8	0.089	Aliased

The statistical parameter used to select the suitable model is the model with the lowest standard deviation and PRESS. The low standard deviation and PRESS indicate that the level of variance and prediction of the number of squares error is low. Based on Table 4, the lowest standard deviation is owned by the Cubic model, but the model has a high PRESS value, so the Cubic model cannot be selected. In addition, the complexity of the Cubic model makes this model Aliased status. The model that has the lowest standard deviation value is the Quadratic model. The quadratic model has a standard deviation of 0.076, and the lowest PRESS is 0.26, which makes this model a Suggested status (recommended to be selected).

The quadratic model has an R2 value of 0.3171, which indicates that the salt concentration factor, soaking time in salt solution in the study, has an effect of 31.71 % on the diversity of bitter taste responses while the remaining 68.29 % is influenced by other factors not included in the model. The adjusted R2 value is 0.892 2, which means the close relationship between salt concentration and soaking time in a salt solution to the bitter taste response is 89.22 %. The difference between the R2 and Adjusted R2

is thought to be caused by the emergence of insignificant additional variables in the development of the model. Montgomery (2013) states that a decrease in Adjusted R2 will occur if the variables added to the modeling have no effect.

# 3.2.2. Analysis of variance (ANOVA) of RSM on bitter taste response

From the results of the three model selection processes, the Quadratic model was chosen as the model used to explain the relationship between the variables X1 (salt concentration) and X2 (squeezing time) to the Y response (bitter taste). After selecting the model, an analysis of variance was carried out on the Quadratic model. The results of the study of variance for the Y response (ethanol

Table 5. Results of analysis of variance (ANOVA) of the quadratic model

content) with the complete Quadratic model are presented in Table 3. The analysis of variance (ANOVA) presented in Table 5 shows that the model significantly affects the response, where the p-value is less than 0.05 (5 %). A lack of fit or significant test inaccuracy of 0.0351 indicates that the model only matches some design values. This is because the p-value is smaller than 0.05. The analysis of variance showed that the soaking time, the interaction between the salt concentration and the soaking time, and the salt concentration (squared) had a significant (significant) effect on the response. Other factors, namely salt concentration (linear) and soaking time (squared), had no significant (not significant) impact on the response.

Source Diversity	Sum of Squares	df	Mean Square	F Value	p-value Prob > F	Information
Model	0.34	5	0.068	11.58	0.002 8	significant
A-Concentration	4.000E-004	1	4.000E-004	0.069	0.800 9	not significant
B-Time	0.15	1	0.15	25.15	0.001 5	significant
AB	0.14	1	0.14	23.49	0.001 9	significant
A^2	0.040	1	0.040	6.85	0.034 6	significant
B^2	8.160E-003	1	8.160E-003	1.40	0.275 3	not significant
Residual	0.041	7	5.829E-003			
Lack of Fit	0.035	3	0.012	8.18	0.035 1	significant
Pure Error	5.720E-003	4	1.430E-003			
Cor Total	0.38	12				

Description:  $A = Variable X_1/Salt Concentration (% v v^{-1}); B = Variable X_2 (soaking time h^{-1}), AB, A_2, B_2 = interaction between treatments$ 

# 3.2.3. Response graph of the effect of salt concentration and salting time on the decrease in the bitter taste of bitter melon.

The response graph is used to facilitate the description in knowing the effect of the variable on the bitter taste response. The bitter taste response is depicted in 3dimensional curves and plot contours. A contour plot is a 2-dimensional plot, a cross-section of a 3-dimensional curve. Contour plots help analyze the effect of interaction between factors on responses Oliveira (2019). Figure 5 depicts dimensional curves and plot contours for optimizing the process of squeezing bitter melon with the addition of salt. Each figure shows the effect of the two parameters on the fruit-squeezing process. The values listed in the boxes on the contour plots indicate bitter taste in the studied squeezing process conditions. Figure 5 shows that the shape of the saddle shape curve depicts the possibilities of the variables at the maximum and minimum points. Such a contour system is called a saddle or minimax system. The interaction between salt concentration and soaking time is shown in Figure 5. The x-axis and y-axis in Figure 5(a) show the optimized variables. The x-axis shows the salt concentration variable, while the y-axis shows the soaking time variable. Circular lines indicate the response. The optimal response is characterized by the presence of a flag in the middle of the contour, which shows the optimal point information located at the point (node) displayed on the flag. The contour plot indicates the optimum salt concentration at the point (node) at 5 % (v v<sup>-1</sup>) and and 15 min.



Figure 5. (a) Contour plot and (b) response surface curve (three dimensions) salt concentration and duration of concentration on bitter taste respons

#### 3.2.4. Determination of optimum conditions

Software Design Expert 7.1.5 was used to identify the best combination of bitter taste reduction process parameters to optimize bitter taste response. A desirability is a tool used to explain how well the optimal solution offered follows the objectives of the response A desirability value of 1 indicates the perfect case, but a desirability value of 0 indicates the response must be discarded. In this study, the optimal solution offered by the model is a salt concentration of 5 % (v v<sup>-1</sup>), and a soaking time of 15 min for predicting the bitter taste response is the same as the optimization, which is 2.145 with a desirability value of 0.941. The optimum point of each variable is a stationary point which is assumed to be the optimum response.

#### 4. Conclusion

The optimum treatment for removing the bitter taste of bitter melon can be done by squeezing the bitter melon, which has been sliced lengthwise and crosswise for 15 min with the addition of 5% salt concentration. This treatment is optimal for salting bitter melon with a minimum bitterness response score of 2.145. The optimization results show that the quadratic polynomial regression equation is Y=2.468-0.1053X1-0.0176X2+0.0153X12+0.0178X22+0.085X1X2, where X1=salt concentration and X2=stirring time. This salting process changes several parameters of nutritional content and bioactive compounds, such as total carbohydrate, crude protein, fat, total sugar, pectin, soluble and insoluble food fiber, vitamin C,  $\beta$ -carotene, diosgenin,  $\beta$ -sitosterol, stigmasterol, and campesterol.

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# Therapeutic Potential of Ginger Extract on the Embryotoxicity and Nephrotoxicity Induced by Labetalol in Rat Fetuses

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# Abstract

Labetalol is a widely used antihypertensive drug, especially during pregnancy. However, its adverse effects on fetuses are unclear. This study aimed to evaluate the therapeutic role of ginger extract on embryonic and renal toxicity induced by labetalol in 20-day-old rat fetuses. Sixty pregnant rats were divided into four groups. Group I served as the control, group II received 200 mg/kg aqueous extract of ginger orally from the 6<sup>th</sup> to the 15<sup>th</sup> day of pregnancy, group III received 300 mg/kg labetalol orally over the same period, and group IV received labetalol and aqueous extract of ginger orally. At the end of the experiment, i.e. on the 20<sup>th</sup> day, renal specimens from the rat fetuses were processed for light and electron microscopic examination and flow cytometric analysis of the cell cycle and apoptosis. Additionally, embryotoxicity and morphological parameters were assessed. The labetalol group showed a significant increase in embryo resorption and high growth retardation in the 20-day-old fetuses. Fetal kidneys displayed renal corpuscles with shrunken, narrowed urinary spaces, podocyte affection, tubular cell degeneration, hemorrhage, and hyalinization of tubules. Flow cytometric analysis demonstrated G0/G1 phase cell cycle arrest and a significant increase in apoptosis and necrosis. Administration of ginger with labetalol prevented most of these morphological, histological, and molecular changes. Prenatal exposure to labetalol caused embryonic and nephrotoxicity when administrated during the organogenesis phase. Ginger ameliorated these toxicities.

Keywords: Ginger; Labetalol; Embryotoxicity; Nephrotoxicity; Apoptosis; Cell cycle.

# 1. Introduction

Labetalol is a combined  $\alpha$ - and  $\beta$ -adrenoceptor blocker widely used to treat high blood pressure and long-term angina. This includes essential hypertension, hypertensive emergencies, and hypertension during pregnancy (Podymow and August, 2011). It is used alone or in combination to treat high blood pressure, which increases the workload of the heart and the arteries. This can damage blood vessels in the brain, heart, and kidneys, resulting in stroke or heart or kidney failure (Campese and Krol, 2002). Common side effects of labetalol include orthostatic hypotension, dizziness, headache, and nausea (Lee, 2003; Whelan et al., 2020). Serious side effects may include severe bradycardia, hypotension, cardiac impairment, bronchospasm, respiratory distress, cardiotoxicity, hypoglycemia, and hepatotoxicity (Rosenthal and Oparil, 2002; Grassin et al., 2008; Whelan et al., 2020; El-Borm et al., 2021). Moreover, various βadrenoceptor blocking agents are associated with perinatal mortality and fetal growth retardation (Petersen et al., 2012; Ersbøll et al., 2014).

Ginger is a common natural spice widely used as a powder or a fresh root. It is a subtropical rhizome of the plant *Zingiber officinale* (*Z. officinale*) Roscoe (Zingiberaceae family) (Johari *et al.*, 2013). It contains several compounds, such as gingerol, shogaol, zingiberence, paradol, resin, starch, volatile oil, and vitamins C and A (Dhanik *et al.*, 2017; Kim *et al.*, 2022). Ginger has antioxidant, antimicrobial, antiviral, gastroprotective, antidiabetic, antihypertensive, cardioprotective (El-Borm *et al.*, 2021), anticancer, and immunomodulatory effects (Dissanayake *et al.*, 2020).

Many studies advise using ginger as an effective treatment for nausea during the first and second trimesters of pregnancy (Bryer, 2005; Abu Baker, 2013). Moreover, ginger treatment during pregnancy does not increase the risk of congenital malformations, stillbirth, perinatal death, preterm birth, or low birth weight (Weidner and Sigwart, 2000; Heitmann *et al.*, 2013). Furthermore, the therapeutic role of ginger against renal damage has been demonstrated (Tzeng *et al.*, 2013; Gholampour *et al.*, 2017; Pratap *et al.*, 2017; El-Bahr *et al.*, 2022).

In this study, we investigated whether coadministration of an aqueous extract of ginger with labetalol to pregnant rats prevented labetalol-induced embryotoxicity and nephrotoxicity in 20-day-old fetuses. We measured the mother's body weight and percentage of embryonic absorption, fetal morphology, histopathological examination of the fetal kidney using light and transmission electron microscopy, and apoptosis rates and cell cycle analysis using flow cytometry.

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# 2. Materials and Methods

### 2.1. Preparation of ginger water extract

Fresh ginger rhizomes (*Z. officinale*) were purchased from a local market at Shebeen El-Koom, Menoufia, Egypt, and were shade-dried and crushed into a powder. 125 g ginger powder was soaked in 1000 ml distilled water for 12 h at room temperature and filtered to obtain an aqueous extract (Kamtchouing *et al.*, 2002). The extract concentration was 24 mg/ml.

# 2.2. Animals

The principles of animal care and use of laboratory animals guide approved by the Faculty of Science, Menoufia University, Egypt (Approval No. MUFS/F/EM/1/20) and the National Institutes of Health guide for the care and use of laboratory animals (NIH publications No. 8023, received 1978) were carefully followed while conducting this study. Sixty healthy mature virgin females and 30 fertile males of Wistar albino rats (Rattus norvegicus) weighing 160  $\pm$  10 g and aged 17  $\pm$  1 weeks were purchased from Helwan Farm, Ministry of Health, Cairo, Egypt. Before the study, the animals were housed in plastic cages under hygienic conditions with ventilation good in the animal house of the Faculty of Science, Menoufia University. They were kept under controlled ambient temperature ( $25^{\circ}C \pm 2^{\circ}C$ ) and lighting (12 h light/dark cycle) and were allowed free access to water and food. Two weeks before the experiment, females were checked daily to determine the estrous cycle stage. Only animals in the persistent anestrus (diestrus) stage were included in the experiment. Mating was induced by housing females and males overnight at a ratio of 2:1. The initiation of pregnancy was determined when the vaginal copulatory plug was present, and the vaginal smear was positive.

#### 2.3. Experimental design

The pregnant females were divided equally into four groups (15 in each group) as follows:

- Group I (control group) received 1 ml of distilled water.
- Group II (ginger group) was administrated an aqueous extract of ginger (200 mg/kg) (Abd El-Aty and Morgan, 2011).
- Group III (labetalol group) was administrated labetalol (300 mg/kg). Labipress tablets (each containing labetalol hydrochloride 100 mg) were manufactured by DBK for pharmaceutical industries (Cairo, Egypt). The tablets were ground and dissolved in distilled water (Mahmoud *et al.*, 1993).
- Group IV (labetalol + ginger group) received labetalol (300 mg/kg) followed by ginger (200 mg/kg) 1 h later.

All groups were orally administrated distilled water, labetalol, and/or ginger via an intragastric tube from the 6<sup>th</sup> to  $15^{th}$  day of gestation (Badawy *et al.*, 2019a). By the end of the experiment, i.e. on the  $20^{th}$  day of gestation, the pregnant rats were euthanized and dissected. The whole uterus was removed, weighed, and photographed with a digital camera. Embryos were excised from the uterus, and those that were alive were euthanized and subjected to gross examination to investigate morphological abnormalities under a dissection microscope. The

morphological assessment was carried out by counting the number of implants, live, resorbed, and dead fetuses.

# 2.4. Investigated parameters

#### 2.4.1. Morphological parameters

The crown-rump length (cm) of fetuses and body weight (g) of both the mothers and their fetuses were recorded.

# 2.4.2. Histopathological investigation

For examination with a light microscope, fetal kidney specimens were fixed in 10% formalin to prepare paraffin blocks. 5-µm sections were cut using a rotary microtome (IHC World, China), stained with hematoxylin and eosin (Suvarna et al., 2018), and photographed with an Olympus microscope (BX41, Japan).

# 2.4.3. Ultrastructural investigation

For transmission electron microscope (TEM) analysis, fetal kidney specimens were separated, immediately fixed in 2.5% glutaraldehyde, and manipulated according to the method by Kuo (2007) as described in El-Borm *et al.* (2021). Examination and photography were done using the JEOL electron microscope (TEM-1400Plus, Japan), Electron Microscope Unit, Alexandria University.

### 2.4.4. DNA fragmentation assay

DNA extraction was performed according to the method by El-Garawani and Hassab El-Nabi (2016) and described in detail in Sakr *et al.* (2014).

# 2.4.5. Cell cycle analysis

Fresh fetal kidney samples were transported in isotonic saline and prepared according to the method of Reichard and Asosingh (2018). The material was washed with isotone Tris EDTA buffer, 3.029 g of 0.1 M Tris (hydroxymethyl aminomethane, 1.022 g of 0.07 M sodium chloride, and 0.47 g of 0.005 M EDTA. These were dissolved in 250 ml distilled water. Then, the pH was adjusted to 7.5 with 1 N HCl. The samples were centrifuged at 1800 rpm for 10 min, and the cells were fixed in approximately 1 ml of ice-cold 96%–100% ethanol (BDH) for each sample.

After at least 12 h of fixation, the samples were centrifuged again, and excessive ethanol was removed. 200  $\mu$ l of cell suspension in citrate buffer was placed into a 15-ml Falcon tube. Then, propidium iodide (PI) was added. The samples were filtered through a 30-Mm pore diameter nylon mesh filter to eliminate nuclear clumps into another 5-ml tube ( $12 \times 75$  mm, cat. no.2058, Falcon). The samples were run in a flow cytometer within 1 h after adding PI. The flow cytometer used in the current study was Accuri C6 (Becton Dickinson, Sunnyvale, CA, USA), equipped with a compact air-cooked low-power 15 mwat argon ion laser beam (488 nm). Data analysis was conducted using the DNA analysis program MODFIT (v.2.0).

# 2.4.6. Annexin-V/PI dual staining assay

The cell suspensions (1 mL) in phosphate buffer were resuspended in 2ml 1x binding buffer (1ml of 10x buffer+99ml dist. H2O) then 100  $\mu$ l of cells suspensions were added to 5 $\mu$ l of annexin-V (Cat. No.556547 BD pharmingen FITC apoptosis Kit) then directly 5  $\mu$ l PI (PE label) was added. The cells were resuspended in 200  $\mu$ l 1X
binding buffer and immediately analyzed by the flow cytometer Accuri C6 (Becton Dickinson, Sunnyvale, CA, USA).

# 2.5. Data evaluation and statistical analysis

All data were expressed as mean  $\pm$  standard error of the mean (SEM). The differences between groups were tested by analysis of variance (one-way ANOVA) using SPSS for Windows v.22 (IBM Corp., Armonk, NY USA), followed by an LSD test for multiple comparisons. P < 0.05 was considered statistically significant. The significance of the obtained data was classified into two categories, P < 0.001 and P < 0.05.

# 3. Results

#### 3.1. Body weight of mothers

Fig. 1a displays the changes in body weight gain of the mothers. The mothers that received ginger exhibited a

gradually progressive increase in body weight, similar to the control group. On the contrary, the body weight of the labetalol group gradually decreased until the  $15^{\text{th}}$  day of gestation. The weight slowly decreased until the  $18^{\text{th}}$  day, after which the body weight gradually increased slightly until the  $20^{\text{th}}$  day. The mothers in the labetalol + ginger group exhibited a gradual increase in body weight but lower than that of the control group.

# 3.2. Morphology of the uteri

The uteri of the control and ginger groups had a healthy, bright appearance and normal fetal implantation between the two horns. Four pregnant rats from the labetalol group showed some resorbed sites, while two from the same group showed resorption of all fetuses, giving the uterus a dark color. The labetalol + ginger group had an improved number of fetuses and the appearance of the uteri (Fig. 1b).



**Figure 1:** (a) Graph showing changes in the body weight gain of mothers in different groups. (b) Photographs showing different uteri of pregnant rats at the 20<sup>th</sup> day of gestation. Control and ginger groups showed normal appearance and implantation. The Labetalol group showed partial or complete resorption of fetuses (arrow). The Labetalol+ginger group showed normal implantation.

# 3.3. The average weight of the uteri and the percentage of resorption

As shown in Table 1, there was no significant difference in the uterine weight of pregnant rats from the ginger group (53.5 g) compared with that of the control group (51.95 g). In contrast, the average weight of the uteri from pregnant rats administrated labetalol showed a highly significant decrease (16.83 g) compared to the control group (51.95 g). Meanwhile, the average weight of the uteri of the combined group exhibited a highly significant

increase (43.25 g) when compared with that of the labetalol-only group (16.83 g) and a slight decrease compared with that of the control group (51.95 g).

The control and ginger groups showed no embryonic resorption in their uteri, while the uteri with partial or complete resorption in the labetalol group recorded 40%. However, the percentage of uteri with resorption in the combined group decreased to 13.3% compared with that of the labetalol group (Table 1).

Table 1: Average weight of uteri and the percentage of resorption at the 20<sup>th</sup> day of gestation.

Uteri						
Groups	Total no. of sacrificed rats	Average weight of uteri (g)	C%	With resorption C%	Without resorption C%	
Control	15	51.95±0.025	0 (0%)	0 (0%)	15 (100%)	
Ginger	15	53.5±0.013	1.55 (2.9%)	0 (0%)	15 (100%)	
Labetalol	15	16.83±0.12***	-35.1 (67.6%)	6 (40%)	9 (60%)	
Labetalol+ Ginger	15	$43.25{\pm}0.065^{*a}$	-8.7 (-16.7%)	2 (13.3%)	13 (86.6%)	

C% = percentage of change compared with control.

Asterisks (\*\*\* P< 0.001, \* P< 0.05) refer to the P value compared with the control group.

a= highly significant (P<0.001) compared with labetalol group.

#### 3.4. Body weight and crown-rump length of the fetuses

The body weight and crown-rump length of fetuses from the ginger-treated group showed insignificant changes compared with controls. Compared with controls, there was a highly significant decrease in the weight and length of fetuses from the labetalol group. However, the fetal weight and length of the combined treatment group showed a highly significant increase compared with the labetalol-only group and a slightly significant difference compared with controls (Figs. 2a–c).



Figure 2: (a) Photographs of fetuses aged 20-day (n=40/group). (b) graph of the body weight of the fetuses. (c) graph of the crown-rumplength of the fetuses in different groups. Asterisks (\*\*\* P< 0.001, \* P< 0.05) refer to P values compared with the control group. a= highly</td>significant(P<0.001)</td>comparedwiththelabetalolgroup.

# 3.5. Histopathological observation

The renal cortex of the control fetuses displayed two zones: the subcapsular and the juxtamedullary zones. The subcapsular zone contained immature renal corpuscles, while the juxtamedullary zone contained mature renal corpuscles and proximal and distal convoluted tubules. Medullary rays were observed as extending between the two zones (Fig. 3a). The ginger group showed a normal structure, like that of the control group (Fig. 3b). The fetal kidney of the labetalol group exhibited degenerated renal corpuscles, which appeared shrunken with increased preglomerular space. Moreover, the proximal and distal convoluted tubules showed lumen dilation and epithelium vacuolation (Figs. 3c and 3d). Hemorrhage, hyalinization of tubules, hypertrophy of renal glomeruli, and tubular cell degeneration were also seen (Fig. 3e–g). The kidneys of the combined-treatment group showed improvement; the glomeruli and tubules regained their normal appearance, and there were no areas of obvious degeneration (Fig. 3h).



**Figure 3:** Photomicrographs of transverse histological sections in the 20-day-old fetal kidney. (**a**) control showing subcapsular zone (SC), immature forms of renal corpuscles (Arrowhead), the juxtamedullary (J), mature renal corpuscles (RC), convoluted tubules (CT), medullary rays (MR), nephrogenic mesenchyme (White Arrow), (**b**) ginger showing the normal structure, (**c-g**) Labetalol showing disrupted glomeruli (arrowhead), dilated and congested blood vessel (BV), degenerated convoluted tubules (CT), vacuolation in the epithelium of the convoluted tubules (Arrow), hemorrhage (h), congested renal corpuscles (White Arrowhead), shrinkages in the glomeruli (arrowhead) and tubular hyalinization (Star) (**h**) Labetalol + ginger group showing renal corpuscles (RC) and the convoluted tubules (CT). (H&E) Scale bare =  $15\mu$ m.

#### 3.6. TEM observation

The renal cortex of control fetuses showed normal glomeruli containing podocytes with many foot processes, a glomerular basement membrane, and a wide urinary space (Fig. 4a). The proximal convoluted tubule cells rested on a thin basal lamina and contained a basal euchromatic nucleus with a prominent nucleolus, an intact nuclear envelope, and a well-formed apical brush border. The cytoplasm was electron-dense with numerous rod-like mitochondria of various sizes between the basal folds (Fig. 4b). The distal convoluted tubules contained large basal euchromatic nuclei, numerous mitochondria, thin basal lamina, and few microvilli at the apical surface (Fig. 4c). The renal cortex of fetuses from the ginger group had the same structure as those of the control group (Fig. 4d–e).

The fetal renal cortex of the labetalol group showed many degenerative changes, including glomerular

basement membrane thickening and urinary space narrowing. The podocyte nuclei were electron-dense with an irregular nuclear envelope (Fig. 4f). The proximal tubule cells showed electron-dense and shrunken nuclei, a degenerated brush border in some areas, and swollen mitochondria. The basal membrane enfolding was destroyed (Fig. 4g–h). Other cells appeared vacuolated with a ruptured cell membrane and basal lamina (Fig. 4i). Most nuclei were fragmented with electron-dense shapes (Fig. 4j). The cells lining the distal convoluted tubules exhibited various degrees of nuclear damage. The nuclei were irregular and ruptured in some places with chromatin clumps, while others were fragmented (Fig. 4k).

The convoluted tubule cells from the combinedtreatment group showed normal cytoplasm and organelles, an intact brush border, euchromatic nuclei, mitochondria with preserved crista, and a thin basal lamina (Fig. 41).



**Figure 4:** Transmission electron micrographs of fetal renal cells. (**a-c**) control group showing podocytes and their foot processes, thin basement membrane, proximal convoluted tubule cells and distal convoluted tubule cells. (**d-e**) ginger group. (**f-k**) labetalol group showing degenerated podocytes, degenerated proximal convoluted tubules, and degenerated distal convoluted cells. (**l**) labetalol + ginger group. podocytes (Po), basement membrane (Arrow), visible urinary space (US), apical brush border (Bb), euchromatic nucleus (N), nucleolus (Nu), nuclear envelope (Ne), mitochondria (M), rough endoplasmic reticulum (rER), degenerated and pyknotic nuclei (Arrowhead). Scale bare =  $2\mu$ m for all except (**c** and **g**) = $5\mu$ m.

# 3.7. DNA fragmentation

Administration of labetalol caused marked DNA damage in the renal cells of fetuses. In Fig. 5, lane 3 shows the migration of DNA fragments compared with the control and ginger groups (lanes 1 and 2, respectively). Meanwhile, the administration of ginger after labetalol resulted in less DNA fragmentation than in the control and ginger groups (Fig. 5).



**Figure 5:** Photomicrograph of an agarose gel showing an evident variation of the DNA fragmentation in the renal tissue extract of 20-days-old rat fetuses treated with labetalol with/without ginger. bp: base pair, M: marker DNA (100 bp DNA Ladder, New England Bio-labs, Ipswich, MA, USA), 1: control, 2: ginger, 3: labetalol, and 4: labetalol + ginger.

# 3.8. Cell cycle distribution

Flow cytometric analysis of PI-stained renal cells of the labetalol group showed the accumulation of most cells in the G0/G1 phase (80.8%). In comparison, the fraction of cells in the S phase was non-significantly increased (17.6%) compared with that of the control (14.8%) and ginger groups (17.6) (Table 2 and Fig. 6). The percentage of cells

in G2/M revealed a highly significant decrease compared with that of controls (2.7% and 20.8% for the labetalol and control groups, respectively). However, co-administration of ginger with labetalol caused a highly significant reduction in G0/G1 phase cells (69.1%) and a highly significant increase in cells in the G2/M phase (15%) compared with the labetalol group (Fig. 6 and Table 2).



Figure 6: Representative flow cytometry graphs of cell cycle distribution of renal cells.

Table 2	: Percentage of	total apoptosis a	and cell cycle distri	ibution in renal cell	s of 20-day-old fetuses
			-		

Cells%	%	%	% cells analyzed		
Groups	Sub G1 (Apoptosis)	No. Cells/Cell cycle	G0/G1	S	G2/M
Control	4.2±0.01	95.8±0.15	64.3±0.08	14.8±0.11	20.8±0.06
Ginger	2.9±0.08	97.1±0.13	62.1±0.21	17.6±0.09	20.2±0.03
Labetalol	32.0±0.01	68.0±0.02	80.8±0.012***	17.6±0.03	2.7±0.138***
Lab+Ginger	7.9±0.05	92.1±0.17	$69.1 \pm 0.12^{a}$	15.7±0.14	15.0±0.043 <sup>*a</sup>

Data are represented as mean  $\pm$  SEM.

Asterisks (\*\*\*P< 0.001, \*P<0.05) refer to the P value compared with the control group.

a= highly significant (P<0.001) compared with labetalol group.

#### 3.9. Dual detection of apoptosis by Annexin-V/PI

Annexin V/PI analysis of fetal renal cells from the ginger group showed that most cells were viable (95.4%). On the other hand, the labetalol group showed a 59.1% reduction in the number of viable cells and a highly significant increase in the apoptosis and necrosis rates

(24.4% and 16.5%, respectively) compared with the control group, as shown in Figs. 7a and b. Administration of ginger after labetalol caused a noticeable amelioration (86%, 8.2%, and 5.8% for viable, apoptotic, and necrotic rates, respectively) compared with those of the labetalol group.



**Figure 7: (a)** Fluorocytograms of fetal renal cells; (FL1-A) = Annexin V +ve cells and Y-axis (Fl2-H)= PI-labeled cells. The lower left portion (Q7-LL) of the fluorocytogram (-ve for both stains) shows viable cells, whereas the lower right portion (Q7-LR) (+ve for Annexin) shows early apoptotic cells, the upper right portion (Q7-UR) (+ve for both stains) shows late apoptotic cells and the upper left portion (Q7-UR) (+ve for PI) shows necrosis. (b) Graph showing the percentage of viable, apoptotic, and necrotic renal populations in experimental groups. Asterisks (\*\*\* P< 0.001) refer to P values compared with the control group. a= highly significant (P<0.001) compared with the labetalol group.

### 4. Discussion

As observed in this study, all females treated with labetalol survived but showed significant weight loss compared with the control group. The reduction in maternal body weight may be due to reduced food intake or the developmental toxicity of the drug as displayed by reduced weight of the gravid uterus due to reduced mean fetal weight and the increased incidence of early and later resorption. Alternatively, this may be due to metabolic disorder in the mothers (Bateman *et al.*, 2012). Our study shows that treated rats displayed an asymmetrical distribution of fetuses in the two uteri horns and a reduced uterine weight. Also, the uterine horns displayed clearly visible embryonic resorption sites. An increase in resorption sites resulted in a significant reduction in uterine weight compared with the control group.

Oral administration of labetalol to female rats from the 6<sup>th</sup> day until the 15<sup>th</sup> day of gestation induced growth retardation, represented by a decrease in fetal body weight and length.  $\beta$ -blockers, especially atenolol, have been linked to fetal growth retardation when given early in pregnancy (Lydakis *et al.*, 1999). Other studies showed that  $\beta$ -blocker use during pregnancy increases the risk of fetuses that are small for gestational age, premature birth, perinatal mortality, and neonatal hypoglycemia (Petersen *et al.*, 2012; Ersbøll *et al.*, 2014), but some studies have reached the opposite conclusion (Heida *et al.*, 2012).

In contrast, a study by Rashidi *et al.* (2012) displayed that a carvedilol (5 mg/kg) injection at the 9<sup>th</sup> to 11<sup>th</sup> day of gestation in pregnant rats had protective effects on cleft palate induced by caffeine in rat fetuses. Injection of nifedipine and amlodipine at three dose levels each (5, 10, and 20 mg/kg, and 0.5, 1, and 2 mg/kg, respectively) showed no developmental toxicity in rat fetuses (Ramadan and Ashry, 2010; Jaiswal *et al.*, 2019). Also, Broulõk *et al.* (2001), reported that losartan (2 mg/kg b.wt) and enalapril (0.4 mg/kg b.wt) exerted no significant effects on body weight.

Although β-blocker exposure may increase the risk of small for gestational age, stillbirth, and growth retardation, the reasons remain unclear. Most  $\beta$ -blockers are thought to cross the placenta (Lennestål et al., 2009), which is associated with various adverse effects, including intrauterine growth retardation, neonatal respiratory depression, bradycardia, and hypoglycemia (Bateman et al., 2012; Ishibashi et al., 2017). A mechanism of β-blockers on placental hemodynamics has been proposed, suggesting that the decrease in placental blood flow is due to the selective vasoconstriction of placental vessels caused by the effect of β-blockers, which also leads to the absence of intrinsic sympathomimetic activity (Liu et al., 2019). Other mechanisms might contribute to fetal bradycardia caused by β-blocker exposure, negatively affecting fetal cardiac output and development. The negative inotropic and chronotropic effects of β-blockers can reduce maternal cardiac output, affecting fetal growth (Gelson et al., 2011). This effect could, at least in part, explain fetal growth retardation.

Labetalol induced many histological and ultrastructure changes in the kidney tissue of rat fetuses. Seleem (2016) observed similar effects, finding that verapamil caused atrophy and shrinkage of the renal corpuscles and degeneration of the kidney tubules. Also, Sánchez *et al.*  (2008) showed that administration of losartan during pregnancy resulted in severe renal abnormalities in both newborn and one-week-old animals. Moreover, Swelim and Sakr (2004) demonstrated that injection of captopril to pregnant mice resulted in degenerative changes in the renal tissue.

In contrast, a study by Abdelhamid et al. (2019) reported that carvedilol (20 mg/kg), nebivolol (10 mg/kg), and nadolol (50 mg/kg) have a mild protective effect on the histopathological changes in the kidneys of hypertensive rats. Additionally, carvedilol and nebivolol have protective effects against nephropathy and acute renal failure in rats (Akgullu *et al.*, 2015; Atwa *et al.*, 2016). Similarly, captopril (60 mg/kg/day) had ameliorative effects on the renal cortex of chronic hypertensive rats (Rezk and Ibraheim, 2017). Furthermore, captopril had a protective effect against cisplatin nephrotoxicity (Gad *et al.*, 2016), and amlodipine acted against gentamicininduced nephrotoxicity (Abdel-Rahman and Kandeel, 2012).

Many studies have shown that antihypertensive drugs induce apoptosis and cell cycle arrest (Koyama *et al.*, 2014; Oura *et al.*, 2017). Similarly, we showed that according to flow cytometric analyses, labetalol administration during organogenesis induced cell cycle arrest at the G0/G1 phase and a noticeable increase in apoptosis and necrosis rates in renal cells.

These findings were further corroborated by Attiq *et al.* (2018), who reported that carvedilol and celecoxib had genotoxic and cytotoxic effects on peripheral blood mononuclear cells. Losartan-treated rats at a dose of 15 mg/kg per day showed an increased percentage of cells in the G1 cell cycle phase in vascular smooth muscle cells (Bravo *et al.*, 2001). Also, Salman *et al.* (2011) reported that antihypertensive drugs such as clonidine, methyldopa, amlodipine, ramipril, and rilmenidine have a toxic effect on DNA in rat uterus tissue. This genotoxicity and cytotoxicity may be attributed to the overproduction of free radicles caused by labetalol administration, which impairs the innate antioxidant defense system of cells. This, in turn, induces a different type of DNA damage, cell cycle arrest, and apoptosis (Finkel and Holbroork, 2000).

This study confirms the ameliorative activity of ginger against the toxic developmental effects of labetalol, represented by the increase in maternal weight and fetal weight and length. This agrees with Abd-Allah and Sharaf El-Din (2013), who reported that ginger at a dose of 200 mg/kg had an ameliorative role against a methotrexate-induced reduction in body weight. Similarly, El-Aziz *et al.* (2018) concluded that injection of *Z. officinale* (250 mg/kg) before cadmium chloride resulted in a noticeable improvement in the body weight and gravid uterine weight of pregnant rats. Moreover, Faried *et al.* (2013) indicated the effectiveness of ginger extraction in regaining body weight loss in diabetic rats.

Injection of ginger tea during the organogenesis of rats resulted in no maternal toxicity or morphologic malformations (Wilkinson, 2000). Additionally, Weidner and Sigwart (2000) investigated the effect of *Z. officinale* in different doses (100, 333, and 1000 mg/kg/day) on organogenesis in rats, and no developmental or maternal toxicity was observed. Moreover, Yon *et al.* (2012) reported that [6]-gingerol has a protective effect against ethanol-induced teratogenicity during mouse embryogenesis *in vitro*. Furthermore, Badawy *et al.* (2019b) demonstrated that the administration of ginger from the  $6^{th}$  to  $15^{th}$  day of gestation in rats reduced the incidence of malformation and growth retardation induced by gabapentin. Also, it increased the mother's body weight and uterine weight.

This study revealed that ginger significantly ameliorated the histological and ultrastructural effects induced by labetalol in fetal renal tissue. Many recent studies confirmed the therapeutic effect of ginger against nephrotoxicity (Dawood et al., 2022; El-Bahr et al., 2022). Ali et al. (2020) reported that the ethanolic extract of Z. officinale (200 mg orally for 8 weeks) had a protective effect on the renal damage induced by mercuric chloride in rats. Moreover, Badawy et al. (2019a) reported that ginger extract at a dose of 200 mg/kg had a protective effect against gabapentin-induced nephrotoxicity in rat fetuses. Similarly, a study by Mohammad et al. (2013) indicated that ginger extract at a dose of 2 g/kg/day daily in drinking water for 40 d acted against cadmium bromide-induced nephrotoxicity in adult female albino rats. Abdulhameed et al. (2017) found that the ginger plant protects against CCL4-induced kidney damage in mice. Sheriff et al. (2018) reported that ginger extract at a dose of 400 mg/kg protects against contrast media-induced nephrotoxicity in rats. Additionally, ginger extract ameliorates renal damage in high-fat diet-induced obesity in rats (Bin-Meferij et al., 2019). Moreover, Ali et al. (2015) reported that injection of ginger at a dose of 120 mg/kg every other day for 4 weeks in rats resulted in clear improvements in the acute renal damage induced by cisplatin.

Ginger extract can also prevent DNA damage in rats (Makpo *et al.*, 2020) and genotoxicity induced by toxicants (Lee *et al.*, 2011; Yang *et al.*, 2011). Moreover, Hosseinzadeh *et al.* (2017) revealed that ginger extract reduces oxidative stress and mitochondrial apoptosis induced by IL-1 $\beta$  chondrocytes. Recently, ginger extract decreased DNA damage, chromosomal abnormalities, and micronucleus formation in both bone marrow cells and sperm caused by radiation in rats (Abd El-Monem and Elwakeel, 2020). The rhizome of *Z. officinale* have high flavonoid level and a high antioxidant activity (Ghasemzadeh *et al.*, 2010). This study revealed that ginger extract ameliorated labetalol-induced genotoxicity. This effect may be mediated either by its direct free radical scavenging activity or the antioxidant defense system.

# 5. Conclusion

Taken together, maternal administration of labetalol during  $6^{th}$  to  $15^{th}$  of gestation induced embryotoxicity and nephrotoxicity in rat fetuses as indicated by increases in embryo resorption, fetal growth retardation, renal tissue degeneration, G0/G1 phase cell cycle arrest, and a significant increase in both apoptosis and necrosis rates. However, treatment with ginger extract reduced these toxicities. Ginger can be used during pregnancy as a therapeutic agent to alleviate the nephrotoxicity and embryotoxicity induced by labetalol.

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# Anti-nephrolithiatic Evaluation of Partitioned Ethanol Extract of *Calotropis procera* Leaf in Wistar Rats

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# Abstract

Nephrolithiasis is a common urinary disease that elicits excruciating pains and could be managed through alternative therapy. This investigation was planned to evaluate the action of partitioned fractions of ethanol Calotropis procera leaf extract in nephrolithiasis treatment. In vitro anti-nephrolithiatic and antioxidant tests were assayed using varying concentrations of the ethanol extract and its n-hexane and ethyl acetate fractions to determine which had the optimum activity. Thirty albino rats (101 ± 3 g) were randomly divided among six groups. (n=5). Group A (control) received drinking water and pellets daily. Groups B-F were made lithiatic by daily administration of 2% Ammonium Chloride and 0.75% ethylene glycol in drinking water and fed pellets. Groups C, D, E and F received 750 mg/kg body weight (b.w.) Cystone (reference drug), 25, 50 and 100 mg/kg b.w. nhexane fraction of Calotropis procera ethanol leaf extract (HFCPL) respectively for 10 days. Urine samples were pooled on day eleven for electrolytes and uric acid estimation. Serum and tissue homogenates were assayed for antioxidant, liver and kidney function assessments. Organ tissues from the liver and kidney were fixed for histological analysis. The extract indicated saponin, flavonoid, alkaloid, tannins, terpenoids and phenolics as secondary metabolites. The HFCPL gave the highest 2,2-diphenyl-1picrylhydrazyl (DPPH) scavenging activity, a higher nucleation and aggregation activity and reduced uroliths in vitro better than the ethanol extract and the ethyl acetate fraction. HFCPL treated animals displayed decreased stone formation in the urine, a significantly (p < 0.05) increased urinary calcium and elevated magnesium levels. Significant decrease was recorded in lipid peroxidation activity with corresponding increase (p < 0.05) in superoxide dismutase, catalase and reduced glutathione levels. These findings indicated an effectiveness of HFCPL in the management of nephrolithiasis, and hence it may be a good alternative treatment regimen.

Keywords: Nephrolithiasis, Calotropis procera, calcium oxalate, nucleation, aggregation

#### 1. Introduction

Nephrolithiasis is the third most common urinary disorder (Divakar et al., 2010) and a complex process that occurs because of an imbalance between promoters and inhibitors in the kidneys (Jehti et al., 1983). It is a succession of several physicochemical events including supersaturation, nucleation, growth, aggregation and retention within the kidneys (Yadav and Jain, 2011) occurring in both men and women but with higher risk in men (Selvem, 2002). The prevalence of nephrolithiasis has been increasing over time. In a survey demonstrated in adults aged 20-74 years, the outcome reflected 3.2% in 1976-1980 to 5.2% in 1988-1994 while overall prevalence increased to 8.8% by 2007-2010. A study estimates the prevalence of renal stones in the US as 7.1% in women and 10.6% in men (Ziemba and Matlaga, 2017). According to estimates, the patient's chance of developing secondary stones again is between 10 and 23 percent per year, 50 percent after five to ten years, and 75 percent within twenty years (Moe, 2006). One in eleven Americans in the country experience kidney stones, and it is

believed that 600,000 Americans experience KSD each year (Scales et al., 2012). Urinary stones are predicted to affect about 13% of Indians, and half of them could eventually lose their ability to function (Joseph et al., 2005). Calcium stones comprise about 80% of all urinary calculi (Coe and Worchester, 2005) and the principal component of calcium stone is brushite or hydroxyapatite. Factors that contribute to stone formation include hypercystinuria, calcium hyperoxaluria, hyperuricoxuria, hypomagnesuria and urinary pH of 5.0 - 6.5. Struvite or magnesium phosphate stones occur in around 10-15% of cases of chronic urinary tract infections (El Zoghby et al., 2012). These infections cause the production of urease (Barbasa et al., 2002), which is required to break down urea into ammonia and CO<sub>2</sub> and raise the pH of the urine. A high-purine diet, particularly one heavy in animal protein like meat and fish, can result in hyperuricosuria, reduced urine volume, and low pH (Kumar et al., 2012).

Similar to other disorders, the treatment of renal calculi has steadily advanced clinically, with techniques including classic open surgery, percutaneous nephrolithotomy, retrograde intra-renal surgery, and extracorporeal shockwave

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lithotripsy (Chung *et al.*, 2019). Nephrolithotomy is performed if large stones (up to 8 mm in width) lodge inside or adjacent to the kidneys using a telescopic tool (Aggarwal *et al.*, 2017). A sufficient amount of fluid intake lowers urine saturation and dilutes calcium oxalate crystallization promoters (Xu et al., 2013). A high sodium diet raises the risk of forming stones by lowering the reabsorption of calcium by the renal tubules and increasing the calcium excreted in the urine (Park and Pearle, 2007).

Current medical management methods have been shown to possess disadvantages that include being highly expensive and with side effects such as hemorrhage, hypertension, tubular necrosis, subsequent fibrosis of the kidney and an increase in stone reoccurrence. Standard pharmaceuticals used to treat and prevent urolithiasis are not always effective and have a number of negative side effects (Sathyaa *et al.*, 2011). Phytotherapy, however, is currently the subject of scientific research because it has been shown to be essential for preventing the recurrence of stones (Gilhorta and Christina, 2011) and act by either allowing spontaneous passage of small calculi in urine or by producing antioxidant, anti-microbial, analgesic and anti-inflammatory activities (Soundarajan *et al.*, 2006).

Calotropis procera is an anthelmintic, abortifacient, and whooping cough, colic, headaches, lice removal, diarrhea, painful gums, toothaches, sterility, swellings, and ulcers are among the conditions for which it is used in folk medicine. Its stem is used in treating skin diseases, intestinal worms and leprosy (Esmail and Al Snafi, 2015). The plant is known for its antifungal (Lahsini et al., 1997) and analgesic activity (Hassan et al. 2006). Its latex is scientifically reported for the management of diabetes (Mohsin et al., 1989), anti-diarrheal activity (Kumar et al., 2005), while the antioxidant and polyphenolic contents were shown to be effective in cancer studies (Kumar et al., 2001; Prabha and Vasntha, 2011). The milky sap (latex) is well known for its traditional medical uses (Iqbal et al., 2005), as food, especially in West Africa as a coagulation ingredient for cheese manufacturing (O'Connor 1993) and a source of energy (Parsons and Cuthbertson, 2001). Given its antioxidant property and secondary metabolites-enriched nature, this investigation was intended to determine the anti-nephrolithiatic activity of Calotropis procera ethanol leaf extract and to investigate its toxicity on the kidney, liver and serum indices in Wistar rats.

# 2. Materials and Methods

#### 2.1. Experimental Animals

Thirty Wistar rats (*Rattus novergicus*) were obtained from the Faculty of Veterinary Medicine, University of Ilorin, Ilorin, Nigeria. The animals were housed in standard cages and acclimatized for twelve days at the Department of Biochemistry, University of Ilorin, Ilorin, Nigeria. They were maintained under standard conditions and fed rat pellets (Grand Cereals, A subsidiary of UAC, Nigeria) and water *ad libitum*.

#### 2.2. Drugs and Chemicals

Alkaline phosphatase, aspartate transaminase, alanine transaminase, creatinine, urea, uric acid and bilirubin reagent kits were products of Randox Laboratory Ltd., U.K. Cystone (Himalaya Drug Company, India), ammonium chloride (Loba Chemie Pvt. Ltd.) and ethylene glycol (CDH Ltd, New Delhi) were also procured. Other reagents used were of analytical grade prepared using distilled water.

# 2.3. Plant Material

*Calotropis procera* leaves were obtained at Gaa Akanbi, Ilorin, Kwara State, Nigeria in November 2017. It was identified at the Department of Plant Biology, University of Ilorin, Ilorin, Nigeria, where a voucher specimen was deposited and a number (UILH/001/2019/1001) was assigned. The leaves were oven-dried at 50 °C for four days.

# 2.3.1. Preparation of Extract

The oven-dried leaves were pulverized using an electric blender. Exactly 200 g of the pulverized sample was infused in 800 ml ethanol for 24 hours in a conical flask. This was thereafter filtered with Whatman filter paper and the filtrate collected into a clean beaker. The process was repeated using ethanol for two more days to exhaustively obtain the extract. The total filtrate obtained was evaporated at 55 °C using a water bath (HH 420KW-1000DB) to obtain the crude extract. The crude ethanol extract was reconstituted in 50 ml distilled water, loaded in a separating funnel where 100 ml n-hexane was added. The n-hexane fraction was collected into a clean beaker and the solvent was allowed to evaporate. Ethyl acetate fraction was also collected after addition of 100 ml ethyl acetate to. The n-hexane and ethyl acetate fractions were stored in plain bottles and refrigerated. The percentage yield was then calculated. Secondary metabolites screening of the ethanol extract was carried out using standard procedures.

# 2.4. In vitro Anti-nephrolithiatic Activity

Nucleation and aggregation assays were performed according to the method described by Hess *et al.* (2000). A freshly prepared solution of 10 mM calcium chloride dihydrate and 1.0 mM sodium oxalate, containing 200 mM NaCl and 10 mM sodium acetate trihydrate was adjusted to pH 5.7. All experiments were performed at 37 °C using a water bath (HH-420 KW-1000DB).

25 ml of sodium oxalate solution was transferred into beakers placed in the water bath and stirred continuously using a stirrer. 1 ml of distilled water, cystone and varying concentrations (62.5, 125, 250, 500 and 1000  $\mu$ g/ml) of the ethanol extract and its fractions respectively were added and finally, 25 ml calcium chloride was added. The optical density was measured using spectrophotometer (UV 721, Axiom Medicals U.K.) at 620 nm at every 15 sec over 5 min and then every 1 min over 10 min. The percentage inhibition was calculated as:

# (1- (Tsi/Tsc)) ×100

# Where Tsc is the optical density of control and Tsi, the optical density in presence of inhibitor.

The final solutions were viewed under a light microscope (B-Bran Olympus) to analyze the density of formed crystals in the solution.

#### 2.5. DPPH Scavenging Activity

This assay was carried out using the method described by Molyneux (2004). Briefly, 1 ml varying concentrations (2, 4, 6, 8 and 10 mg/ml in methanol) of the extract and its nhexane and ethyl acetate fractions and ascorbic acid were added to 4 ml 0.1 mM methanol solution of DPPH. A blank probe was obtained by mixing 4 ml 0.1 mM methanol DPPH solution and 200  $\mu$ l of distilled water. After 30 min of incubation in the dark, the absorbance of samples and blank were taken at 517 nm (Visible Spectrophotometer 721, Axiom Medical, U. K.). Inhibition of free radicals by DPPH in percentage was calculated using the expression:

# % Inhibitioin = $100 - ((ABS_{sample} - ABS_{blank})/ABS_{control}) \times 100$

# 2.6. Acute Toxicity Testing (LD<sub>50</sub>)

The OECD (2002) guidelines for the Testing of Chemicals No. 420 were followed for conducting an acute toxicity investigation. After three rats were made to fast for 24 hours, the HFCPL was administered to them in steps at fixed doses of 5, 50, 300, and 2000 mg/kg body weight respectively, via gavage with a cannula in a single dosage. The rats were observed for physical changes on their bodies, hair loss, ease of movement, breathing pattern, etc.

## 2.7. In vivo Studies

Thirty Wistar rats were randomly divided into six groups (A-F) of five and subjected to different treatments. Animals in groups B-F were administered 2.0% ammonium chloride and 0.75% ethylene glycol in drinking water *ad libitum* for 10 days. Animals in group A (control) took only drinking water, those in group B were untreated (negative control group). Group C was administered 750 mg/kg cystone (reference drug), while groups D-F were administered 25, 50 and 100 mg/kg body weight HFCPL respectively. 0.5 ml of 750 mg/kg cystone and HFCPL doses and were administered to the respective groups using a cannula for 10 days.

Diethyl ether was used to anesthetize the rats, blood was collected using jugular puncture into plain bottles and centrifuged to get the serum. Serum was drawn out with a Pasteur pipette, which was then placed in plain sample vials and refrigerated. Portions of the kidney and liver were homogenized in 0.25M sucrose solution and refrigerated, while the rest were preserved in 10% formalin for histological studies.

#### 2.7.1. Urinary Microscopy

As a way to validate the onset and resolution of kidney stone disorder following the injection of ethylene glycol, ammonium chloride, and the HFCPL treatment, respectively, urinary microscopy was performed. Animal urine was collected on slides and analyzed under a microscope (B-Bran Olympus) at a magnification of 400.

#### 2.7.2. Biochemical Indices

The serum was used to estimate the ALP, AST and ALT activities. The concentrations of the serum functional parameters (albumin, creatinine, urea, bilirubin and globulin) were then determined using methods described in the respective kits. Histological studies (H & E staining's) were done on the liver, kidney and pancreas of the rats and viewed under the light microscope.

# 2.8. Gas Chromatography-Mass Spectrometer (GC-MS) Analysis

GC-MS (model QP2010SE, Shimadzu, Japan) was employed to conduct a GC-MS analysis of the HFCPL in accordance with the instructions from the manufacturer. For the analysis, 10 ml of n-hexane were used to dissolve 1g of the extract. The injector and column oven temperatures were set at 60 °C and 250 °C, respectively. The split ratio was set at 10:1 and the pressure was kept at 144.4 kPa while the flow control mode was maintained at a linear velocity of 46.3 cm/sec. The interface temperature was 250 °C, while 230 °C was the temperature of the ion source. The mass to charge ratio (m/z) was 35 at the beginning and 700 at the conclusion (35-700 m/z). The retention time, % height and peaks were obtained and integrated after the analysis.

# 2.9. Statistical Analysis

The information was presented as the mean of five determinations  $\pm$  SEM. One-way ANOVA and the Tukey Post-Hoc Test were used in the statistical study. At p < 0.05, all data were taken as statistically significant.

#### 3. Results

#### 3.1. Percentage yield

A yield of 8.42 g of ethanol extract was obtained from the leaf. On partitioning, the n-hexane fraction gave a percentage yield of 1.025 % while the ethyl acetate fraction yielded 0.885 %.

# 3.2. 3.2 Quantitative Secondary Metabolite Constituents

The analysis of secondary metabolite constituents of the ethanol extract of *C. procera* leaf revealed the presence of saponins, flavonoids, alkaloids, tannins, glycoside and phenolics (Table 1).

 Table 1: Concentration of detected Secondary Metabolite

 Constituents of ethanol extract C. procera leaf

Secondary Metabolite	Concentration (mg/100 g)
Saponins	$38.62\pm0.02$
Flavonoids	$361.07 \pm 17.69$
Alkaloids	$48.24\pm0.11$
Tannins	$19.75\pm0.37$
Terpenoids	$57.26\pm0.09$
Phenolics	$34.46\pm0.86$

#### 3.3. DPPH Scavenging Activity

There was a progressive increase as concentrations increase in the inhibition of DPPH with the highest values recorded in the n-hexane fraction (IC<sub>50</sub> 10.2  $\pm$  0.8) which compared favorably with the ascorbic acid standard (IC<sub>50</sub> 8.01  $\pm$  0.2) (Table 2).

Concentration (mg/ml)	% Inhibition Ethanol	% Inhibition Ethyl acetate	% Inhibition n-Hexane	% Inhibition Ascorbic acid
2	$47.47\pm0.07$	$65.88 \pm 0.22$	$66.88\pm0.28$	$66.91 \pm 0.02$
4	$62.92\pm0.26$	$72.50\pm0.13$	$79.25\pm0.20$	$79.39\pm0.00$
6	$69.81\pm0.19$	$76.37\pm0.09$	$80.54\pm0.25$	$80.42\pm0.05$
8	$76.46\pm0.11$	$80.36\pm0.18$	$84.19\pm0.17$	$85.12\pm0.00$
10	$82.68\pm0.10$	$82.00\pm0.30$	$86.18\pm0.32$	$91.75\pm0.01$

Table2: In vitro DPPH Scavenging Activity of C. procera leaf ethanol extract and its n-hexane and ethyl acetate fractions.

# 3.4. In vitro Anti-nephrolithiatic Activity

The results of nucleation (Fig. 1) gave an indication that the n-hexane fraction displayed better inhibitory potentials compared to the ethanol extract of *C. procera* and the ethyl acetate fraction at varying concentrations. Upon aggregation (Fig. 2), the findings demonstrated that, at various doses, the n-hexane fraction of the *C. procera* extract had the strongest inhibitory potentials in comparison to the ethanol and ethyl acetate extracts. The microscopy of the solution gave a progressive decrease in the number of stones *in vitro* (Fig. 3).

With results from the *in vitro* studies, the n-hexane fraction had the best activity across the parameters investigated and hence; it was further used to carry out *in vivo* studies.



Figure 1: *In vitro* nucleation assay of *C. procera* leaf ethanol extract and its n-hexane and ethyl acetate partitioned fractions.



Figure 2: In vitro aggregation assay of C. procera leaf ethanol extract and its n-hexane and ethyl acetate partitioned fractions.



Control

62.5 µg/ml



250 ug/ml

500 µg/ml

1000 ug/ml

Figure 3: Photomicrograph of inhibition of urolith by various doses of HFCPL ethanol extract in vitro.

#### 3.5. Electrolyte Parameters

The magnesium level was higher in the urine samples in comparison to the serum (Table 3). However, at a dose of 25 mg/kg there was a substantial drop (p < 0.05) in the blood magnesium level compared to the control, as well as a

significant lower level (p < 0.05) in the urine magnesium. At doses of 25 and 50 mg/kg, there was a notable decline (p <0.05) in urinary calcium levels compared to the control; however, there was no discernible alteration in serum calcium levels.

Table 3: Effect of hexane fraction of Calotropis procera leaf (HFCPL) ethanol extract on some electrolyte parameters of nephrolithiatic rats.

Group	Serum Calcium (mmol/l)	Serum Magnesium (mg/dl)	Urine Calcium (mmol/l)	Urine Magnesium (mg/dl)
Control + dw	$2.03\pm0.10^{a}$	$1.79\pm0.29^{a}$	$2.26\pm0.25^{\ a}$	$2.70\pm0.04^{\rm \ a}$
Lithiatic + dw	$2.50\pm0.48~^a$	$1.26\pm0.01^{\ b}$	$1.01\pm0.13^{\text{ b}}$	$2.52\pm0.02^{b}$
Lithiatic + 750 mg/kg bw cystone	$1.80\pm0.09^{b}$	$1.51\pm0.24^{a}$	$2.65\pm0.30^{a}$	$2.83\pm0.01^{c}$
Lithiatic + 25 mg/kg bw HFCPL	$2.24\pm0.34^{a}$	$1.32\pm0.20^{\text{b}}$	$1.69\pm0.17^{c}$	$2.58\pm0.07^{b}$
Lithiatic + 50 mg/kg bw HFCPL	$1.97\pm0.06^{\rm a}$	$1.61\pm0.18^{a}$	$1.81\pm0.08$ $^{c}$	$2.60\pm0.11~^{a}$
Lithiatic + 100 mg/kg bw HFCPL	$2.59 \pm 0.59^{a}$	$1.65\pm0.16^{a}$	$2.41\pm0.15^{\ a}$	$2.71\pm0.15^{a}$

Values are expressed as Means  $\pm$  SEM of 5 replicates. Values with superscripts different from the control are significantly different (p < 0.05).

# 3.6. Kidney FunctionTests

All HFCPL-treated groups experienced a substantial rise in creatinine concentration (p <0.05) in contrast to the control (Table 4). Among all treated and untreated groups, there was no discernible difference in the amounts of either urea or uric acid (p <0.05). The globulin concentration was discovered to be considerably less (p< 0.05) in the cystone

and 25 mg/kg body weight groups compared to the control. At 25 mg/kg, the bilirubin level significantly increased (p <0.05) compared to the control, whereas at 50 mg/kg, it significantly reduced (p<0.05). Only at a dose of 25 mg/kg compared to the other groups did albumin level show a significant value (p < 0.05).

Table 4: Effect of hexane fraction of Calotropis procera leaf (HFCPL) ethanol extract on kidney function parameters of nephrolithiatic rats

Group	Albumin (g/dl)	Globulin (mg/dl)	Bilirubin(mg/dl)	Creatinine(mg/dl)	Urea (mg/dl)	Uric Acid(mg/dl)
Control + dw	$4.47\pm0.90^{a}$	$10.41 \pm 1.69^{a}$	$1.08\pm0.00^a$	$0.71\pm0.00^{a}$	$16.42\pm0.28^{\text{ a}}$	$5.07\pm0.46^{a}$
Lithiatic + dw	$3.99\pm0.18^{\text{b}}$	$10.34 \pm 1.66^{\text{b}}$	$0.75\pm0.05^{\text{b}}$	$0.77\pm0.01^{\text{ b}}$	$14.51\pm0.85^{\text{ b}}$	$5.14\pm0.66^{a}$
Lithiatic + 750 mg/kg bw cystone	$4.04\pm0.53^a$	$8.37\pm1.02^{c}$	$0.93\pm0.16^{\rm a}$	$0.73\pm0.06^{ab}$	$16.54\pm0.31^{a}$	$5.13\pm0.39^{a}$
Lithiatic + 25 mg/kg bw HFCPL	$2.21\pm1.46^{c}$	$8.13\pm1.88^{c}$	$1.93 \pm 0.39^{c}$	$0.95\pm0.01^{c}$	$17.58\pm1.84^{\text{ a}}$	$5.99 \pm 0.79^{a}$
Lithiatic + 50 mg/kg bw HFCPL	$3.44\pm0.91^{\ ab}$	$10.12\pm1.12^{ab}$	$0.56\pm0.11^{\text{d}}$	$0.74\pm0.01^{\text{d}}$	$16.23\pm1.38^{a}$	$6.23\pm0.83^{a}$
Lithiatic + 100 mg/kg bw HFCPL	$4.24\pm0.58^{\rm a}$	$9.52\pm1.03^{\ ab}$	$1.20\pm0.25^a$	$0.85\pm0.04^{\text{e}}$	$16.20\pm1.43^{a}$	$5.79\pm0.85^{\ a}$
Values and annual a Manuel C	EM of 5 replicates	Volues with sures			anificantly diffe	$m_{1} = (m_{1} + 0.05)$

Values are expressed as Means  $\pm$  SEM of 5 replicates. Values with superscripts different from the control are significantly different (p < 0.05).



**Figure 4:** Photomicrograph of urine samples of lithiatic rat treated with HFCPL ethanol extract showing kidney stones (arrows)

#### 3.7. Enzyme Activity

All doses and the cystone group saw a substantial rise (p< 0.05) in the actions of the enzymes alanine transaminase and

aspartate transaminase; however, only the 25 and 100 mg/kg body weight groups saw a similar increase (p < 0.05) in alkaline phosphatase activity (Table 5).

Table 5: Serum enzyme activity in nephrolithiatic rats treated with hexane fraction of Calotropis procera leaf (HFCPL) ethanol extract

Group	ALT (U/I)	AST (U/I)	ALP (U/I)
Control + dw	$6.49\pm0.18^{\rm a}$	$24.31\pm0.26^a$	$0.62\pm0.41^a$
Lithiatic + dw	$7.34\pm0.21^{\text{ b}}$	$26.59\pm0.24^{b}$	$2.09\pm0.29^{b}$
Lithiatic + 750 mg/kg bw cystone	$9.12\pm0.47^{\rm c}$	$35.72\pm0.44^c$	$0.32\pm0.16^{a}$
Lithiatic + 25 mg/kg bwHFCPL	$11.12\pm0.46^{\rm d}$	$41.05 \pm 3.22^{d}$	$1.69 \pm 0.35^{\ b}$
Lithiatic + 50 mg/kg bw HFCPL	$8.32 \pm 0.37^{c}$	$26.51\pm0.32^{b}$	$0.37\pm0.11~^a$
Lithiatic + 100 mg/kg bw HFCPL	$10.80\pm0.11^{\text{d}}$	$36.06\pm1.61^{\text{d}}$	$1.52 \pm 0.18^{b}$

Values are expressed as Means  $\pm$  SEM of 5 replicates. Values with superscripts different from the control are significantly different (p < 0.05).

#### 3.8. Antioxidant Enzyme Activity

All of the dosages significantly differed from the control in terms of liver glutathione activity (p < 0.05). But both the cystone and 100 mg/kg groups showed a statistically significant increase (p < 0.05) in the kidney glutathione activity (Table 6). When compared to the control and standard medication, kidney MDA activity was significantly lower in all doses of HFCPL (p < 0.05). The only group in which the liver's MDA activity rose considerably (p < 0.05) in comparison to the control was the one that received cystone at a dose of 25 mg/kg. When equated to the control group, the kidney's catalase activity considerably decreased (p < 0.05) in both groups. In contrast to the untreated groups, SOD activity considerably increased (p < 0.05), reaching its peak at a dose of 50 mg/kg.

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Group	Liver GSH	Kidney GSH	Liver MDA	Kidney MDA	Kidney SOD	Kidney Catalase
Control + dw	$0.89\pm0.01^{a}$	$0.86\pm0.02^{\rm a}$	$1.63\pm0.15^{a}$	$2.22\pm0.15^{a}$	$3.50\pm0.81~^a$	$0.74\pm0.25$ $^a$
Lithiatic + dw	$0.80\pm0.02^{\text{b}}$	$0.87\pm0.01~^a$	$2.41\pm0.13^{b}$	$2.53\pm0.06^{\text{b}}$	$0.81\pm0.33^{b}$	$0.15\pm0.02^{\text{ b}}$
Lithiatic + cystone	$0.90\pm0.05^{a}$	$0.91 \pm 0.01^{\ b}$	$2.03\pm0.19^{\mathrm{c}}$	$2.25\pm0.10^{a}$	$1.87\pm0.42^{\mathrm{c}}$	$0.49\pm0.03^{\rm c}$
Lithiatic + 25 mg/kg bwHFCPL	$1.03\pm0.07^{c}$	$0.88\pm0.00^{a}$	$2.17\pm0.14^{b}$	$1.53\pm0.15^{c}$	$1.76\pm0.21^{\text{ c}}$	$0.64\pm0.09^{\:a}$
Lithiatic + 50 mg/kg bwHFCPL	$1.00\pm0.03^{c}$	$0.88\pm0.01~^{a}$	$1.43\pm0.08^{a}$	$1.87\pm0.18^{\mathrm{c}}$	$4.46\pm0.88^{a}$	$0.23\pm0.17^{\rm c}$
Lithiatic + 100 mg/kg bw HFCPL	$0.99 \pm 0.02^{c}$	$0.94\pm0.02^{b}$	$1.55\pm0.16^{\rm a}$	$0.96\pm0.01^{\rm ~d}$	$2.20\pm0.40^{a}$	$0.32\pm0.13^{\rm c}$

**Table 6**: Effect of hexane fraction of *Calotropis procera* leaf(HFCPL) ethanol extract on antioxidant parameters in nephrolithiatic rats ( $\times 10^{4}$  mg/protein).

Values are expressed as Means  $\pm$  SEM of 5 replicates. Values with superscripts different from the control are significantly different (p < 0.05)

#### 3.9. GCMS Analysis

The GCMS analysis revealed the presence of five active principles in the n-hexane fraction of ethanol extract of *C. procera* leaf. The compound 9, 12, 15-Octadecatrien-1-ol (61.22 %) had the highest percentage abundance while 5-Methyldocosane (2.81 %) had the lowest (Table 7).

 Table 7: Bioactive constituents of hexane fraction of Calotropis

 procera Leaf(HFCPL) ethanol extract

S/N	Name of Compounds	Retention	Peak area
		Time	%
1.	n-Hexadecanoic acid	37.758	27.21
2.	Phytol	38.584	5.83
3.	9,12,15-Octadecatrien-1-ol	38.859	61.22
4.	Tetrabenzo[e,i,o,s][1,4,7,11,14,18] dithiatetraazacycloeicosine	39.410	2.94
5.	5-Methyldocosane	40.504	2.81

#### 4. Discussion

Results from the analysis of the secondary metabolites revealed that ethanol extract of C. procera leaf contains saponins, alkaloids, flavonoids, tannins and terpenoids (Table 1). It has been reported that saponins (Touhami et al., 2007; Rad et al., 2011), flavonoids and alkaloids (Rad et al., 2011) possess anti-nephrolithiatic activity, the concentrations of which might have contributed significantly to the clearance of uroliths in the lithiatic rats. Saponins (Kenner and Requena, 1996) boosts the immune system, tannins (El Marie and Jonah, 2001); alkaloids and flavonoids (Aliero et al., 2008) possess antibacterial property and are phytotherapeutic agents capable of clearing uroliths. From the study, both the ethanol extract and its partitioned fractions could prevent the calculi formation at all the nucleation and aggregation stages of stone formation. As the concentration increases, the inhibitory effect also increased. The number of stones in the solution microscopy reduced progressively with the dose, confirming the antinephrolithiatic effect of C. procera leaf. Nafiu et al. (2008) similarly asserted that saponin extract of Dianthus bausticus exhibited the highest nucleation inhibitory activity based on its antioxidant-rich potentials. The antioxidant test demonstrated the plant's capacity to function as a free radical scavenger. Calotropis procera leaf extract and its fractions can prevent the development of stones because DPPH has a

proton free radical and pairs with it in the presence of a free radical scavenger (Chang *et al.*, 2002).

Divakar *et al.* (2010) reported that experimental mice given ethylene glycol and ammonium chloride experienced elevated renal oxalate retention and excretion as well as the development of calculi primarily made of calcium oxalate. Urinal microscopy validates the potency of the n-hexane fraction at reducing the sizes and quantity of calculi in the urine. The stones clearance at 25 mg/kg body weight with a further increase at higher dose concentrations confirms the anti-nephrolithiatic activity of HFCPL. Previous studies indicate that plants lower kidney stone formation by causing a reduction in stone number and size (Rushton and Spector, 1982). Kidney stones block the urinary tract, and hence the obstruction in the passage of urine.

The production of stones in the urine is frequently accompanied by a low amount of magnesium. By joining with calcium oxalate to produce a soluble complex and lowering the concentration at which calcium oxalate can form, magnesium has been found to effectively inhibit the crystallization of calcium oxalate in vitro (Lemann *et al.*, 1991). The increase in urinary magnesium concentration in all doses indicates the efficacy of C. *procera* in the management of kidney stones by clearing the oxalate concentration available for stone formation. This supports studies that magnesium levels recover to normal following medication therapy, with decreases in CaOx growth and nucleation rate in nephrolithiasis-affected rats (Grases *et al.*, 1989; Patel *et al.*, 2016).

The danger of precipitation, stone formation, and stone growth are reduced because calcium and uric acid are eliminated through the urine. Hypercalciuria at all the doses validates the potency of *C. procera* in the inhibition and regulation of stone development characteristic of nephrolithiasis. This is further substantiated by the urinary microscopy (Fig. 5) result, which showed the quantity and sizes of stones across all groups. In this study, uric acid level showed no significant difference, contrary to reports by Patel *et al.* (2016) that uric acid level in lithiatic rats decrease after drug treatment. This suggests that kidney stone disease poses no threat to the production and elimination of uric acid.

According to Wright and Plummer (1974), alkaline phosphatase is a plasma membrane marker enzyme that is necessary for healthy organ function in particular levels and is essential to evaluating the integrity of the plasma membrane. The metabolism of xenobiotics and the preservation of biological homeostasis in an organism both depend heavily on the liver. Due to these important roles, liver enzymes are used as makers in the assessment of drugs (Satyapal *et al.*, 2008). The reported increase in AST and ALT activity across all dose groups indicate impairment of the liver function (Huang *et al.*, 2002). At 50 mg/kg body weight dose, the observation of no significant difference in the serum suggests absence of hepatic injury which could cause elevation of ALP levels in the blood.

It was reported that antioxidant enzyme activity decreases in kidney stone patients, hence an increase in lipid peroxidation. An increase in MDA characterizes calculi formation with a progressive reduction in levels of SOD, catalase and GSH in CaOx induced rats. The observed decrease in MDA (Huang *et al.*, 2002) and the corresponding increase in antioxidant enzymes level (Patel *et al.*, 2016) are in line with previous nephrolithiatic studies that used phytotherapy as a drug alternative in the disease management. The HFCPL potency is also supported by its DPPH scavenging potentials, hence protecting against oxidative stress.



Lithiatic + 25 mg/kg HFCPL

Lithiatic + 50 mg/kg HFCPL

Lithiatic + 100 mg/kg HFCPL Lithiatic + Cystone

**Figure 5:**Photomicrographs of the kidney of experimental rats showing the renal cortex and distribution of renal corpuscles (black arrow) and possible corpuscular degeneration (red arrow). Figures A, C and D represent normal renal cortical morphology and histo-architecture with intact renal corpuscles and staining characteristics. B, E and F present corpuscular degeneration suggesting pathological alteration. H and E stain (Mg x 400).





Figure 6: Photomicrograph of the liver of lithiatic rat treated with HFCPL ethanol extract showing the central veins (black circles) and densely packed hepatocytes (black arrow). The photomicrographs appear characteristically normal with no pathological alteration though with sings of cellular delineation, fatty liver and cholestasis.



Retention time

Figure 7: Gas Chromatography-Mass Spectrophotometry (GC-MS) chromatogram of hexane fraction of *Calotropis procera* leaf (HFCPL) ethanol extract.

Normal urea concentration at all dose groups in relation to the control could be indicative of adequate kidney functioning in excretory, regulatory and endocrine processes (Judykay, 2007). According to Adebayo *et al.* (2003), elevated urea levels predict a decline in the nephrons' capacity to filter waste, leading to waste accumulation, whereas a drop could be ascribed to insufficient protein catabolism that results in lesser urea production. Whelton *et al.* (2002) stated that an increase in creatinine concentration suggests impaired renal function. The increase observed in creatinine level is, therefore, suggestive of a distorted function of the kidney in the HFCPL treated rats.

To assess an animal's proper liver function, a combination of molecules called albumin, total bilirubin, and globulin can be employed (Rasekh *et al.*, 2008). With both biological and diagnostic relevance, bilirubin is a significant byproduct of blood catabolism. The increase in bilirubin generation at 25 mg/kg dose may be due to oxidative stress, hepatic injury, or impaired liver function (Moudgil *et al.*, 1989). The main serum protein components are albumin and globulin, and their concentrations can provide some insight into the health of the immune system and diet. Low albumin to globulin ratios can indicate either excessive globulin production, as in autoimmune illnesses, or albumin deficiency, as in cirrhosis, or even selective albumin removal from circulation. The lack of any discernible variation in serum albumin and globulin indicates adequate protein synthesis.

The kidney corpuscular degeneration at higher doses after the histological examination is suggestive of pathological alteration. The aggregation of stones in the kidney of the rats after induction causes severe damages in the kidney pending treatment because of impaired urine excretion. However, the normal liver morphology without pathological alteration shows that interactions between promoters and inhibitors had no effect on the tissue; hence, HFCPL displayed a good hepatoprotective agent.

The analysis of the active ingredients in the n-hexane fraction indicated the presence of chemical compounds that might enhance the plant's therapeutic qualities. Phytol acts as an antioxidant by the removal of hydroxyl radicals which may be capable of inhibiting cell damage caused by this radical (Camila *et al.*, 2013). The presence of this compound corroborates the antioxidant potential of the plant which was able to lower lipid peroxidation *in vivo*. It occurs naturally in green tea and is used in the synthesis of vitamins E and K. Hexadecanoic acid is a surfactant used in soap making, which might account for the high foaming capacity of the plant. Vasudevan *et al.* (2012) reported that hexadecanoic acid possesses anti-inflammatory property upon its kinetic assessment.

# 5. Conclusion

From this study, *Calotropis procera* leaf may be used in the management of kidney stone disease, a novel outcome in the phytotherapy potentials of the plant. This is probable because of its antioxidant, nucleation and aggregation properties that promote inhibition of stone formation. The nhexane fraction is effective in stone clearance with no alteration in biochemical indices. When administered at higher dose concentrations, the fraction poses a threat to tissue morphology; hence, pathological alterations occur.

# **Conflicting Interests**

The authors certify that they have no business or personal connections that would have improperly impacted their authorship of this work.

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# The Antioxidative, Anticancer and Hepatoprotective of Quercetin Nanoparticles *In-vitro* and *In-vivo*

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# Abstract

Quercetin is a flavonoid found in many plants, such as berries and green tea. It has several therapeutic properties, including anticancer, antioxidative, and hepatoprotective. Although quercetin has numerous health benefits and is a valuable phytomedicine, it does have some drawbacks, including low solubility and bioavailability. In the present study, to overcome these drawbacks, quercetin nanoparticles were synthesized and studied for *in-vitro* and *in-vivo* antioxidative, anticancer, and hepatoprotective properties. The results indicated that the quercetin nanoparticles show significantly improved activity in both *in-vitro* and *in-vivo* studies.

Keywords: Quercetin; quercetin nanoparticles; antioxidant activity; cytotoxic activity

# 1. Introduction

In addition to providing us with food, the plants have several ethnomedicinal benefits without causing harm. When it comes to nutrition and disease prevention, these fruits and vegetables are indispensable (Manna K et al., 2016, Prakash D et al., 2012). These polyphenols, flavonoids, and isoflavonoids present in fruits, seeds, herbs, and vegetables also contain phytochemicals that have a variety of health benefits at different stages of their life cycles (Shikov AN et al., 2017). One of the phytomolecules, quercetin, a flavonoid found in fruits and vegetables, has antioxidative, anticancer, antiinflammatory, and other therapeutic properties (Figure 1). Quercetin's hepatoprotective and anti-inflammatory properties have been extensively studied (Inal ME & Kahraman A, 2000)(Kanadaswami C et al., 2005). Although, quercetin contains a variety of health benefits, it still has disadvantages over other flavonoids as it has very low water solubility and it is a very easily degradable molecule, which limits its uses as a drug (Frenzel M & Steffen-Heins A. 2015). One of the solutions to the solubility and bioavailability problems of quercetin could be nanoparticles. Less than 1000 nm in size, nanoparticles can enhance the bioavailability and absorption of medicines (Dai J et al., 2004) (Salatin S et al., 2017) (Hsu CH et al., 2003). In the current study, the antioxidative, anticancer, and hepatoprotective properties of QNPs in

contrast to pure quercetin were examined after the QNPs were produced and *in-vitro* and *in-vivo* testing were conducted.



Figure1. Chemical structure of Quercetin

#### 2. Materials and Methods

## 2.1. Chemicals

The chemicals utilized in this study were purchased from the American company Sigma Aldrich Chemical Co.

#### 2.2. Synthesis and Characterization of QNPs:

QNPs were synthesized by an improved anti-solvation precipitation method by Bilati *et al.*, (Bilati U *et al.*, 2005) Briefly, PVA solution was infused with 300 mg of quercetin that was dissolved in 200 ml of ethyl alcohol. The solution was homogenized for 1 hour at 20,000 rpm, and the sample was then freeze dried after removal to

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remove ethyl alcohol. Furthermore, the lyophilized powder was stored in a moisture-proof container until needed. The morphology of quercetin nanoparticles was observed using a 20 kV SEM (Quanta 3D FEG/FEI).

The QNPs were initially analyzed by using FTIR to identify functional groups, phytochemical constituents, and other factors involved in the reduction and stabilization of the synthesized nanoparticles by using the Jasco FTIR 4100's attenuated total reflectance mode (Japan). The data was recorded between 4000 cm<sup>1</sup> and 400 cm<sup>1</sup>. CuK1-X Ray diffractometer radiation (= 1.5406 A°) was used to confirm the presence of ZnO in the powdered sample as well as to analyze the crystallite structure and size. An NMR spectrometer (BRUKER) was used to measure the 1H NMR 400MHz spectra using DMSO.

# 2.3. Cell Lines:

The cell lines used in the study were obtained from the ATCC, U.S.A. and maintained in cell culture as per ATCC guidelines. For long-term usage, the cell lines were cryopreserved in liquid N2 containers. A total of two cell lines, Human Tumor Cell Line (Huh-7) (ATCC, U.S.A.) and Human Epithelial Amnion Cell Line (WISH) were used in the present study.

# 2.4. Antioxidant activity

The method outlined by Braca *et al.* was used to measure the free radical scavenging activity of quercetin and quercetin nanoparticles based on the scavenging activity of the stable 1,1-diphenyl-2-picrylhydrazyl (DPPH) free radical (Braca A *et al.*, 2002). Three milliliters of a 0.004% methanol solution of DPPH were mixed with various amounts of quercetin and QNPs. After 30 minutes, the absorbance at 517 nm was measured. To determine the percentage inhibitory activity, the formula [(A0-A1)/A0] x 100 was used, where A0 represents the absorbance of the control and A1 represents the absorbance of the quercetin/QSRs or ascorbic acid (Standard). Inhibition curves were created in order to get IC50 values.

#### 2.5. Sub culturing of cell lines:

The monolayer culture was transferred to a second vessel by diluting the cells with trypsin. After the cell reached 80% confluency, the culture flask was removed from the CO2 incubator. The old medium was completely removed from the flask and washed with 3ml of 1X PBS. Trypsin (3 ml, 0.25%) was added to that flask for 60 seconds and removed, leaving a few drops in the flask. The culture flasks were kept at  $37^{0}$  C for 20 minutes until all the cells were released from the surface in the residual trypsin solution. Furthermore, the required amount of growth medium (10 ml) was added to suspend the cells with the help of a Pasteur pipette, and the cells were distributed equally in two flasks. Finally, the culture flasks were incubated at  $37^{0}$ C with 5% CO2.

# 2.6. In-vitro cytotoxic activity using human cancer and normal cell lines using SRB assay:

SRB assays were used to test the in-vitro cytotoxic activity of quercetin and QNPs (Houghton PJ *et al.*, 1994]. In order for the 3x103 cells per well to adhere to the 96-well microtiter plates, 150 l of fresh media were incubated with the cells for 24 hours. After 24 hours, quercetin and quercetin nanoparticles were added in triplicate along with

0, 12, 5, 25, 50, and 100 g/ml. The plates were subsequently incubated once more for 24 hours with quercetin and quercetin nanoparticles present. Cell growth was examined in the last stage (Vichai V & Kirtikara K. 2006). Immediately after the operation, the cells were fixed by adding 50 l of cool, 40% (w/v) trichloroacetic acid, and they were once more kept at 4 °C for an hour. The plates with wells were thoroughly cleaned with distilled water after the supernatant was removed from them. Following the procedure, the cells were fixed by adding 50 l of cool, 40% (w/v) trichloroacetic acid, and they were once more maintained for an hour at 4 °C. After removing the supernatant from the plates containing wells, they were thoroughly cleaned with distilled water. 50 l of SRB solution was added to each well after the plate had dried, and it was left to incubate for 30 min at room temperature. The unconnected SRB was eliminated by repeatedly washing the plate in 1% acetic acid. To solubilize the dye, 100 l of 10 mM Tris base was then added to each well. The plates were placed on a plate and gently shaken for 20 minutes. After that, the absorbance (OD) was measured at 570 nm using an ELISA reader. The formula below was used to compute the cell's survival percentage.

Percentage cell survival = O.D. (treated cells)/ O.D. (control cells).

# 2.7. In-vivo toxicity assay:

Thirty adult male albino rats were used in this study. The animals were divided into four groups: the control group (A), animals treated with diethylnitrosamine and acrylamide to induce oxidative stress and hepatocarcinoma (B, C, and D), and animals not treated. The group B mice were considered as positive controls. The rest of the C and D group mice were treated with the different concentrations of quercetin and QNPs via intraperitoneal injection, after the four weeks of diethylnitrosamine and acrylamide administration. The liver function test was performed after the treatment of quercetin and QNR.

Decapitation was used to sacrifice animals by cervical dislocation, and the cardiac puncture technique was used to collect five milliliters of blood in gel tubes. The blood samples were then centrifuged for 15 minutes at 3000rpm. Human Germany provided standard reagents for the Humalyser 3000, a semi-automatic chemistry analyzer. Before and after the experiment, blood (5 mL) was taken from the vein. Lver function tests were carried out by analysing the AST, ALP, and ALP enzymes (Exarchou V *et al.*, 2002).

# 2.8. Histopathological examination:

The liver tissues of the respective animals were fixed using 10% formaldehyde for 24 hours and embedded into paraffin after 16 h. Five to five m thick sections of each sample were obtained from the paraffin blocks. Each sample was stained using hematoxylin and eosin. The results were analyzed using a light microscope (Babu BH *et al.*, 2002).

# 2.9. Statistical analysis

SPSS v16 was used to analyze the results of our study, with all values expressed as a mean standard error of mean. For ANOVA, P values of 0.05 and 0.01 were measured as statistically significant.

# 3. Results and Discussion

# 3.1. Characterization of synthesized QNPs:

# 3.1.1. FTIR spectroscopic analysis:

Figure 2 displays the quercetin IR spectrum. The bands of absorption at 3325 cm 1 correspond to the hydroxyl

group. The C-H bond peak can be found at 3060 cm 1. Stretching of the aromatic C=C bond at 1604 cm-1, where hydroxyl group intensity reduced following QNPS synthesis, indicates the presence of an aromatic nucleus. The findings made it abundantly evident that intermolecular hydrogen bonding took place in the QNPs. (Figure 3).



Figure3. IR spectrum of Quercetin nanoparticles

# 3.1.2. <sup>1</sup> H NMR spectrum of Quercetin and Quercetin nanoparticles:

The following values are displayed in the 1H-NMR spectra of quercetin in DMSO-d6 at 400 MHz: 11.24 (1H, s, C7-OH), 11.56 (1H, s, C4' -OH); 7.92 (1H, d, H-6), 7.94 (1H, d, H-8), 7.98 (1H, d, H-5'), 9.57 (1H, d, H-6'), and 9.87 (1H, s, H-2'). Furthermore, the aromatic proton had

peaks in the 1H-NMR spectrum at C-6, C-8, C-5, C-6, and C-2, respectively (Figure 4). The spectra showed protons on aromatic groups ranging from 6 to 8 ppm, strong intramolecular hydrogen bonding at 12.62 ppm, a characteristic shift for intramolecular six-membered ring hydrogen bonding of the C5-OH and C4 O moiety. The QNP's results showed that the H6 and H8 aromatic protons had shifted upfield (Figure 5).



Figure 5. 1 H NMR spectrum of Quercetin nanoparticles

# 3.1.3. XRD analysis:

By using X-ray diffraction, the dried crystalline quercetin nanoparticles were evaluated. The Quercetin nanocrystals' X-ray diffraction patterns are displayed in Figure 6. Numerous distinct peaks of  $12.61^{\circ}$ ,  $14.5168^{\circ}$ ,  $16.09^{\circ}$ ,  $17.1714^{\circ}$ ,  $18.62^{\circ}$ ,  $20.19^{\circ}$ ,  $21.17^{\circ}$ ,  $23.78^{\circ}$ ,  $26.10^{\circ}$ ,  $27.91^{\circ}$ , and  $29.1808^{\circ}$  were visible in the X-ray patterns of the QNPs (Figure 6).



Figure6. X-ray diffraction of Quercetin nanoparticl

# 3.1.4. SEM analysis:

The size and crystalline properties of the produced NPs were studied by SEM examination. The QNPs' particle diameter was 17.25 nm at a flow rate of 8 ml/min. (Figure7).



Figure7. SEM photographs of Quercetin nanoparticles at flow rate (8ml/min)

# 3.2. Biological activities:

#### 3.2.1. Antioxidant activity:

A Quantitative analysis of quercetin and quercetin nanoparticles using the DPPH radical-scavenging method was then performed. Antioxidant activity using DPPH increased in a focus-dependent manner. In comparison to Ascorbic acid, Quercetin nanoparticles had a lower IC50 value of 19.21 micrograms/ml (Table 1).

 Table 1. Antioxidant activity of Quercetin and Quercetin nanoparticles, using DPPH free radical scavenging method

Conc.(µg/ml)	Quercetin	Quercetin nanoparticles	Ascorbic Acid
20	25.3 ±0.23	$45.12 \pm 1.21$	$42.12\pm0.22$
40	$27.11 \pm 1.12$	$56.11 \pm 0.21$	$47.13 \pm 1.10$
60	$33.54\pm2.12$	$62.3\pm0.13$	$50.4 \pm 1.11$
80	$35.7 \pm 1.11$	$64.1 \pm 1.53$	$55.1\pm0.03$
100	$40.31\pm0.19$	$69.32 \pm 2.44$	$56.312\pm0.11$
IC 50	55.05	19.21	31.16

3.3. Cytotoxic activity:

3.3.1. In-Vitro cytotoxicity assay:

Human hepatocellular liver carcinoma (Huh-7) and a normal liver cell (WISH) were used to test the cytotoxicity of quercetin and quercetin nanoparticles. For each cell line, IC50 value was used to determine the toxicity. On the whole, quercetin nanoparticles were cytotoxic to human hepatocellular liver carcinoma (Huh-7, IC50 8.35 g/mL) but showed significantly less toxicity towards normal cells (Table 2).

Table 2. Percentage cell survival of Quercetin and Quercetin	i
nanoparticles different cell lines	

Conc.(µg/ml)	Quercetin	Quercetin nanoparticles	WISH
	Huh-7		
0.0	2.000	1.068	1.160
12.5	1.868	0.600	1.891
25	1.659	0.415	1.531
50	1.222	0.325	1.375
100	1.232	0.222	1.291
IC 50	29.23	8.35	37.52

# 3.4. In-Vivo assay:

#### 3.4.1. Biochemical analysis:

Earlier studies found that quercetin nanoparticles were highly effective against a liver cancer cell line (Huh-7). It was, therefore, decided to test the same cell line with quercetin nanoparticles *in-vivo*. The levels of AST (87.00 percent at 200 mg/kg.b.wt), ALT (50.00 percent at 200 mg/kg.b.wt), and albumin (317.11 at 200 mg/kg.b.wt) all showed dose-dependent anticancer activity (Table 3). The anticancer activity of quercetin nanoparticles (Figure 8) was confirmed by histopathology.

Table 3. In-vivo result of Quercetin and Quercetin nanoparticles

Parameter	AST	ALT	ALP
Groups			
Control group (A)	83.50±1.500	50.67±0.27	312.23±1.182
Untreated group (B)	$302.50 \pm 0.51$	88.51±1.59	424.01±1.11
Treated group (C) Quercetin	$91.00 \pm 1.01$	67.01±1.01	397.21±1.02
Treated group (D) with Quercetin nanoparticles	87.00±1.21	50.00±1.05	317.11±1.11

(Mean±SD)



Figure 8: effect of Quercetin and Quercetin nanoparticles on livers section of different groups: A) of control rats revealed normal histological; B) induced control group (acrylamide) untreated with new compound; C); Experimental group treated with Quercetin and D); Experimental group treated with Quercetin nanoparticles.

#### 3.5. Histopathological examination:

The hepatic lobules in the livers of rats in group 1 were found to be normal histologically (Figure 8A). Hepatocyte necrosis and fibroplasia were found in the portal triad in rats from group 2 (Figure 8B). Features include anaplastic carcinoma cells that are characterized by large hyperchromatic nuclei (Figure 8B). The quercetin treatment caused the acrylamide-induced rats to exhibit some nuclear pyknosis, granular and vacuolar degeneration, necrosis, and activation of Kupffer cells in the liver (Figure 8C).

Quercetin nanoparticle treated mice showed good restoration of the hepatic parenchymal cells with mild hepatocellular vacuolar degeneration and a few scattered necrotic cells (Figure 8D).

#### 4. Conclusions

Quercetin is considered as one of the best naturally occurring flavonoids, consisting of several therapeutic properties, including anti-oxidative and anticancer (Butler MS. 2004) (Cho EJ et al., 2003). Despite having several therapeutic properties, quercetin shows low bioavailability. According to Ren K et al., (2017) ONPs displayed significant anticancer activity against, Hep3B, HCCLM3 and Bel7402MHCC97H (Ren K et al., 2017). To overcome the problem of bioavailability and solubility, we also synthesized QNPs and then compared the *in-vitro* and *in-vivo* anticancer and antioxidative properties of quercetin and its nanoparticle QNP. The results indicated that the QNPs have an advantage over quercetin molecules as the QNPs showed better antioxidative and anticancer activity in *in-vitro* and *in-vivo* studies in comparison with quercetin molecules.

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# Cultivation of Edible Ectomycorrhizal Mushrooms (*Phelobopus portentosus*) Associated with *Sesbania javanica* Miq

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# Abstract

*Phlebopus portentosus* is a popular wild edible mushroom that is found growing on both forest trees and fruit trees. Because it can grow either with various trees or without a host tree, it is reported that it is not a mycorrhizal fungus and may not be an obligate ectomycorrhizae. Furthermore, it was recognized that *P. portentosus* can be found in association with leguminous plants, such as *Sesbania javanica* Miq. The aims of this study were to cultivate *P. portentosus* with bioinoculants in solid and liquid forms and track the ectomycorrhizal association with *Sesbania javanica* Miq. A pure mycelium culture of this fungus was isolated, and the growth parameters, temperature, medium pH, and types of medium were examined. The mycelium grew very well on synthetic media such as MPDA and YM. The optimum pH and temperature were pH 4 and 30°C, respectively. Bioinoculants of *P. portentosus* were inoculated on seedbeds of *Sesbania javanica* Miq. After inoculation, ectomycorrhizal-like roots were found at 6 months, and sporocarps were found at 8.5 months. To prove this, the ITS rDNA sequences were aligned, and the results revealed that all sequences of the ectomycorrhizal-like root tips and sporocarps that developed on seedbeds of *S. javanica* Miq. and black bolete used as a bioinoculant were 100% identical to those of *P. portentosus* MN962534 (GenBank database). This finding indicates that *P. portentosus* can form ectomycorrhizae with *S. javanica* Miq.

Keywords: ectomycorrhiza, edible mushroom, symbiosis, cultivation, ITS region, Phelebopus portentosus, Sesbania javannica Miq.

# 1. Introduction

Worldwide, approximately 2.2-3.8 million species are included in the fungus kingdom (Howksworth and Lücking, 2017), while only 35,000 species produce macroscopic fruiting bodies (He et al., 2019). Mycelium fungi that can form fruiting bodies are called "mushrooms." Mushrooms consist of various types with different shapes, colors, and sizes. In addition to their varied morphology, mushrooms also have various habitats: belowground, aboveground, and saprophytes. Most belowground and above-ground mushrooms are ectomycorrhiza (ECM). Ectomycorrhizal mushrooms are mostly symbiotic with woody plants. In temperate regions, ECM are associated with many species including birch, spruce, beech, willow, pine, fir, poplar, and oak. The Dipterocarpaceae species in Southeast Asia are mostly mutualistic with ECM. Both the plant and fungus benefit from each other. In addition to protecting against plant root diseases and providing drought resistance, fungi help plants to absorb more nutrients and water. In turn, the plants provide carbohydrates and growth factors to the fungi (Smith and Read, 2008).

Edible mushrooms have been used as food for a long time because they are rich in protein, vitamins, and minerals. Essential amino acids that humans cannot synthesize are found in mushrooms such as *Pleurotus*  *ostreatus* (Chirinang and Intarapichet, 2009), *Agaricus bisporus, Boletus edulis* (Jaworska and Bernaś, 2013), and in wild edible mushrooms (Yuwa-amornpitak et al., 2020). Aside from their nutritional value, mushrooms are a source of bioactive compounds with antimicrobial (Titilawo et al., 2022; Muhsin et al., 2011) and anticancer (Oyetayo et al., 2013) properties.

P. portentosus is placed in the Boletinellaceae family and is distributed in tropical regions in Asia (Watling R.,2001). It is found in the central, northern, and northeastern parts of Thailand and is also found in China (Zhang et al., 2017), Laos (Mortimer et al., 2012), Myanmar (Zhang et al., 2015), and Sri Lanka (Berkeley and Broome, 1873). The fruiting bodies of P. portentosus mostly form on the ground under trees during the rainy season. The fungus can form associations with various tree species, such as Mangifera indica, Dimocarpus longan, Elaeocarpus hygrophilus, Syzygium cumini, Quercus spp., and Minosa pigra. With a good texture and rich nutritional value (Zhang, 2010), P. portentosus is a tasty wild edible mushroom that appeals to local people and mushroom hunters. Furthermore, P. portentosus contains high concentrations of bioactive compounds, such as phenolic compounds (Kaewnarin et al., 2016); pyrrole alkaloids (phlebopines); 2-[2-forml-5-(methoxy methyl)-1H-pyrrole-1-yl] propanoate; inotopyrrole B; and 1-isopentyl-2formyl-5-hydroy-methylpyrrole (Hou et al., 2014). Sun et al. (2018) also found that inotopyrrole B exhibits

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neuroprotective effects against hydrogen peroxide-induced neuronal-cell damage in human neuroblastoma SH-Sy5Y cells.

In contrast to other wild edible ectomycorrhizal mushrooms, P. portentosus is associated with various tree species, not only with forest trees but also with fruit trees. Kumla et al. (2016) also reported that P. portentosus has the ability to form ECM-like structures in Pinus kesiya after 1 year of inoculation with fungal mycelium. Furthermore, it can be produced as basidiomes without a host plant, and this method shows an ability to produce basidiomes 2 years after original isolation from tissues (Kumla et al., 2012). Zhang et al. (2017) reported that P. portentosus creates a tripartite association with mealy bugs and roots. The fungus has a symbiotic association with soil mealy bugs and creates special fungus-insect galls. The galls grow on plant roots with parasitic habits. Fang et al. (2020) reported that mealy bugs excreted honeydew inside or outside the galls. Honeydew is rich in amino acids and sugars that promote mycelial growth. An annual plant such as Sesbania javannica Miq., is also found on the seedbedalong with P. portentosus. However, there are no reports on the association between them.

The objectives of this study were to cultivate and examine the association of black bolete with *Sesbania javannica* Miq. by using two types of bioinoculants (solid and liquid bioinoculants). The mycelium growth parameters were studied and used as growth conditions to propagate solid bioinoculants in sorghum grains. To prove the association of the fungus with the root tree, the sequences of the ITS region from black bolete, ECM from *Sesbania javannica* Miq. root, and sporocarps on the seedbed were aligned.

# 2. Materials and methods

# 2.1. Pure mycelium isolation

Basidiocarps of *P. portentosus*(black bolete) were purchased from a local market in Maha Sarakham Province in the rainy season (May-October 2019-2020). A pure mycelium culture was isolated from fresh sporocarps using aseptic techniques by cutting a small piece and transferring it onto a modified potato dextrose agar plate, MPDA (potato juice extract from 200 g boiling in water 1 liter for 30 min, glucose 20 gl<sup>-1</sup>, yeast extract 2 gl<sup>-1</sup>, peptone 2 gl<sup>-1</sup>, malt extract 3 gl<sup>-1</sup>, agar 20 gl<sup>-1</sup>). The plates were incubated at 30°C until the mycelia growth fully covered the plate. The pure culture was transferred onto a new medium plate after 3-4 weeks of age for further study.

# 2.2. Growth study

The temperature, initial pH of the medium, and types of media were the important parameters for fungal growth. In this study, temperatures ranging from  $25^{\circ}$ C to  $35^{\circ}$ C, pH levels ranging from 4 to 9, and three medium types were investigated: MPDA, YM (yeast malt agar; glucose 10 gl<sup>-1</sup>, yeast extract 3 gl<sup>-1</sup>, peptone 5 gl<sup>-1</sup>, malt extract 3 gl<sup>-1</sup>, and agar 20 gl<sup>-1</sup>), and starch medium (starch 10 gl<sup>-1</sup>and agar 20 gl<sup>-1</sup>). One piece of fungus mycelium (diameter 0.5 cm) was transferred from the inoculum plate (mycelium on YM agar plate) to the center of a new plate and incubated at  $30^{\circ}$ C. Each studied parameter had three replicates. The growth diameters of the fungi were measured and analyzed.

#### 2.3. Solid bioinoculant preparation

A solid bioinoculant was prepared from the mycelium growth on sorghum grains. Sterilized sorghum grains were prepared by soaking in water for 3-5 h or overnight, decanting and cleaning. Sorghum grains were boiled until the grains were softened, and the water was decanted. The grains were used to fill containers (plastic bags or bottles) after they had cooled down. After that, they were sterilized at 121°C for 15 min in an autoclave sterilizer. Then, 1-2 pieces of fungus mycelium were aseptically transferred (Figure 1-A) to a container with sorghum grains and incubated at 30°C until the mycelium covered the sorghum grains (3-4 weeks, Figure 1-B).



Figure 1. Bioinoculant of *P. portentosus* (black bolete) on sorghum grains:1-A shows mycelium growth on an agar plate with and without activated charcoal;1-B shows mycelium growth on sorghum grains used as a bioinoculant.S in Fig. 1-A represents sclerotia

# 2.4. Liquid bioinoculant preparation

Fresh and mature *Phebopus portentosus* sporocarps were used as spore suspension inocula (liquid bioinoculants). The sporocarps were pulverized with clean water. Mushroom debris was separated by sieving through a strainer for a long period. The spore suspension was placed in a bottle and kept at room temperature. If the liquid bioinoculant was immediately used, there was no need to remove the debris. The sporocarps could be separated by pulverizing only the tubes (spores are present inside these parts) to provide a high spore density.

#### 2.5. Plantation and inoculation with bioinoculants

The planting area was prepared by tilling, removing weeds, constructing three seedbeds (1 m x 6 m), and adding organic matter such as compost. S. javannica Miq. seeds were immersed in water overnight, decanted and cleaned before sowing. The seeds were sown on the seedbeds, covered with rice stalks and watered twice a day. When the plants were at least 50 cm tall, the soil around the roots was carefully removed (plant roots were not disturbed) to reveal the plant roots, and P. portentosus bioinoculants on sorghum grains and liquid bioinoculants were inoculated on the roots of S. javannica Mig., then covered with soil, compost, rice stalks, and watered. For the spores to germinate and mycelia to grow, the seedbeds were not watered after 2 or 4 days of inoculation. The seedbeds were still watered twice each day, and compost and rice stalks were regularly added.

#### 2.6. ECM on plant roots and fruiting bodies on seedbeds

Plant roots of *S. javannica* Miq. were sampled from the seedbeds by using four randomly selected samples per seedbed after inoculation for 4, 5 and 6 months. Samples were kept in plastic bags and stored in a refrigerator until examination under a stereomicroscope (Olympus SZ61, Japan). Soil and debris were removed from the samples by cleaning with water. The ECM-root-like structures at the fine root tips were kept in absolute ethanol and stored in a refrigerator.

Fruiting bodies on the seedbeds, including plant roots near or connecting to the fruiting bodies, were collected. Small pieces of mushroom were cut and kept in absolute ethanol and stored in a refrigerator. Plant roots were kept in plastic bags and stored in a refrigerator. Plant root tips were examined for ectomycorrhizal-root-like interactions under a stereomicroscope. The ECM-root-like morphological descriptions followed Agerer (1987-1998).

# 2.7. DNA extraction and sequencing

DNA was extracted from mushroom (P. portentosus) samples obtained from the local market, fruiting bodies from seedbeds, and ECM-root-like structures that were kept in absolute ethanol. Ethanol was removed from the samples until they dried. DNA was ground with a micropestle and extracted with a DNA preparation kit (Vivantis, GF1). The internal transcribed spacer of the nuclear ribosomal repeats (ITS1 and ITS2) was amplified from the genomic DNA using the forward primer, ITS5 ('5-GGAAGTAAAAGTCGTAACAAGG-3') and reverse primer ITS4 ('5'-TCCTCCGCTTATTGATATGC-3') (White et al., 1990). The PCR mixture contained 1 µl of DNA template, 1 µl of each primer at a concentration of 20 pmol/µl, 12 µl of master mix with Taq DNA polymerase (Vivantis), and deionized water in a total volume of 25 µl. The PCR conditions were performed by using a DNA (FINEMOULD PRECISION thermal cvcler IND.CO, South Korea) as follows: initial denaturation at 94°C for 3 min, followed by 30 cycles of denaturation at 94°C for 40 s, annealing at 55°C for 40 s, and extension at 72°C for 1 min. A final extension to complete the unfinished strands was performed at 72°C for 7 min. The PCR products of the ITS region were sequenced by the Sanger Coulson's method (Sanger et al., 1980) using an ABI BigDye Terminator v3.1 Cycle Sequencing Kit (Applied Biosystems).

#### 2.8. Molecular identification

To identify the ECM-root-like structures (at the fine root tips of *S. javannica* Miq.) that developed from the *P. portentosus* bioinoculant, the sequences of the ECM-rootlike, mushrooms collected from seedbeds and sporocarps of *P. portentosus* samples obtained from the local market were searched for the most similar sequences by using the BLASTn tool in the GenBank database (https://blast.ncbi.nlm.nih.gov/Blast). After that, their sequences were aligned again with the most similar sequences retrieved from the GenBank database by Clustal Omega (https://www.ebi.ac.uk/Tools/msa/clustalo/).

# 2.9. Statistical analysis

One-way analysis of variance (ANOVA) in SPSS version 23 for Windows (SPSS Inc., Chicago, Illinois) was used to analyze the data. Duncan's multiple range test was used to determine the significant differences ( $p \le 0.05$ ) between treatments from three replicates of each experiment.

# 3. Results

# 3.1. Effects of medium type, temperature, and initial pH on mycelial growth

The growth of pure mushroom mycelia on three media types (MPDA, YM, and starch medium) at 30°C was studied. It was found that mycelia could use these media well. However, MPDA and YM produced the best growth, and the mycelia grew throughout the plate at 20 days (Figure 2-A). Furthermore, P. portentosus can be grown on starch medium at approximately 60% of the growth on MPDA and YM. The results indicate that all three media can be used as C-sources. However, MPDA and YM contained glucose that the fungus could use directly. Because fungi in the starch medium must synthesize and secrete enzymes to hydrolyze starch into glucose, the growth in the starch medium was slower than that in MPDA and YM. Furthermore, the growth of the fungus in MPDA and YM was nearly the same. Then, YM was chosen for pH and temperature growth studies because it is a synthetic culture medium that is commonly used for microbial growth and is easy to prepare.

Temperatures of 25, 30, and 35°C were used for the mycelium growth study. Growth was assessed as the mycelium diameter on YM agar plates (Figure 2-B). The results indicated that the highest growth occurred at 30°C with a nearly full plate at Day 20. The fungal growth amounts at temperatures of 25°C and 35°C were lower than that at 30°C. Furthermore, mycelial growth at 25°C was faster than that at 35°C. At Day 20, the mycelial growth amounts at 25°C, and 35°C were approximately 48% and 24% of the growth at 30°C, respectively. These results indicated that 30°C was the optimum temperature for mycelium growth of *P. portentosus*.

The effects of the initial medium pH on black bolete growth were examined on YM medium at 30°C. The mycelium growth diameters at various pH values are shown in Figure 2-C. The results indicated that the mycelia of the fungus grew well for a wide range of pH values from 4-9. However, mycelia slowly grew at pH 5-9, and growth clearly declined at pH 9. This study concluded that a medium at pH 4 was optimum for mycelium growth of the fungus.



Figure 2. Effects of P. portentosus growth on various media (2-

A), various temperatures (2-B), and various pH values (2-C). Data are presented as the means, and the error bar for each graph indicates the  $\pm$  standard deviation based on three replicates. Each graph's different letters for the same factors indicate significant differences (p  $\leq$  0.05).

# 3.2 Plant-fungus colonization

Root tips of S. javannica Miq. were examined for structures ectomycorrhizal root-like under а stereomicroscope by obtaining samples from the seedbeds after inoculation for 4, 5, and 6 months. It was discovered that at month 6, the morphologies of some plant root tips developed ectomycorrhizal root-like structures. After that, the plant's root system was not disturbed when adding compost and covering it with rice stalks. The soil should contain some moisture all day and should not be flooded for a long period. Finally, sporocarps appeared at month 8.5 (Figure 3-B). In the morphology study, the sporocarps were bolete, and the caps and stalks were colored plain brown. Tube trama (hymenophoral) or pores where spores were produced were present under the caps. The

mushroom color may be dark brown depending on the color of the soil and the environmental stage of the mushrooms (the mature stage has a darker-brown color than the early stage). Clamp connections were commonly found in basidiomycetes and were also found in this mushroom mycelium. Its spores were oval in shape. The caps of the sporocarps were soft. Ectomycorrhizae that colonized at the root tips and were connected to the mushrooms are shown in Figure 3-A. The ECM root tips were not branched, and their surface aggregates formed loose mycelial strands, as shown in Figures 3-C and 3-D. The root tip colors of the ECM were brown and dark brown.



**Figure 3.** Ectomycorrhizae colonization associated with the plant root tips of *S. javannica* Miq. (3-A), fruiting bodies on the seedbed (3-B) at 8.5 months after inoculation, and ectomycorrhizal-like root tips (3-C and 3-D) from plant roots

# 3.2 Molecular Identification

To confirm that the ectomycorrhizal root tips and sporocarps on the seedbeds of S. javannica Miq. were the same species as the fruiting bodies of P. portentosus (black bolete), their ITS rDNA sequences were aligned together with sequences retrieved from GenBank using Clustal Omega. The results showed that the ITS sequences of the fruiting bodies that developed on the seedbeds and the ectomycorrhizal root tips connected to the sporocarps were 100% identical to those of P. portentosus MN962534 (GenBank database), as shown in Figure 4, including the black bolete sequence with 730 base pairs that was used as a bioinoculant. This finding indicated that the P. portentosus bioinoculant can associate with the root tips of S. javannica Miq. and develop sporocarps. Even though this method takes a long time (8.5 months) for fruiting body development, it is the most sustainable method to cultivate edible ectomycorrhizal mushrooms. This technique can be used in mass production and can control mushroom production by adding biofertilizer and watering.

Pportentosus(MN962534)	cattatcgaaagcacaaagtccgaagggggaaaaaaaagagggtgatgctaggtgggac	60
Fruiting_body	cattategaaagcacaaagteegaagggggaaaaaaaaagagggtgatgetaggtgggga	60
ECMlike	cattatcgaaagcacaaagtccgaaggggggaaaaaaaagggggggg	60
Black_bolete	cattatcgAaagcacaaagtccgaaggggggaaaaaaaagagggtgatgctaggtgggga	60
	*****	
Pportentosus(MN962534)	gactgtcgctggcatatatcgtatgcatgtgcacgtcgaaaccttggctcgcccctcttc	120
Fruiting_body	gactgtcgctggcatatatcgtatgcatgtgcacgtcgaaaccttggctcgcccctcttc	120
ECMlike	gactgtcgctggcatatatcgtatgcatgtgcacgtcgaaaccttggctcgcccctettc	120
Black_bolete	gactgtcgctggcatatatcgtatgcatgtgcacgtcgaaaccttggctcgcccctcttc	120
	******	
Pportentosus(MN962534)	ctttcggcgtaatgcttaatacacctgtgaacctgttgtaggttgttcccctacgagcag	180
Fruiting_body	ctttcggcgtaatgcttaatacacctgtgaacctgttgtaggttgttcccctacgagcag	180
ECMlike	ctttcggcgtaatgcttaatacacctgtgaacctgttgtaggttgttcccctacgagcag	180
Black_bolete	ctttcggcgtaatgcttaatacacctgtgaacctgttgtaggttgttcccctacgagcag	180
	*****	
Pportentosus(MN962534)	taggaggacgatctatgtctttccatcacactacatgtatgt	240
Fruiting_body	taggaggacgatctatgtctttccatcacactacatgtatgt	240
ECMlike	taggaggacgatctatgtctttccatcacactacatgtatgt	240
Black_bolete	taggaggacgatctatgtctttccatcacactacatgtatgt	240
	*****	
Pportentosus(MN962534)	cgtctcgacccttgacggggttggacgcaaaactataatacaactttcagcaacggatct	300
Fruiting_body	cgtctcgacccttgacggggttggacgcaaaactataatacaactttcagcaacggatct	300
ECMlike	cgtctcgacccttgacggggttggacgcaaaactataatacaactttcagcaacggatct	300
Black bolete	cgtctcgacccttgacggggttggacgcaaaactataatacaactttcagcaacggatct	300
-	•••••••••••••••••••••••••••••••••••••••	
P. portentosus(MN962534)	cttggctctcgcatcgatgaagaacgcagcgaattgcgataagtaatgtgaattgcagat	360
Fruiting body	cttggctctcgcatcgatgaagaacgcagcgaattgcgataagtaatgtgaattgcgat	360
ECMlike	cttrerctctcrcratcratraagaacacrcaactraattrecrataagtaatotraattrecagat	360
Black bolete	cttggctctcgcatcgatgaagaacgcagcgaattgcgataagtaatgtgaattgcggat	360
	******	
P. portentosus(MN962534)	tttcagtgaatcatcgaatctttgaacgcaccttgcgctccttggtattccgaggagcat	420
Fruiting body	tttcagtgaatcatcgaatctttgaacgcaccttgcgctccttggattccgaggagcat	420
ECMlike	titcagtgaatcatcgaatcittgaacgcacctigcgctcctiggtattccgaggagcat	420
Black bolete	tttcagtgaatcatcgaatctttgaacgcaccttgcgctccttggtattccgaggagcat	420
	***************************************	
P. portentosus(MN962534)	ecctettteaetetcatceaattctcaaccatetctteatettaactttceaeecateec	480
Fruiting body	ecctettteaetetcatceaattctcaaceatetctteatettaacttceaegecatege	480
ECMlike	eccentrate and the second s	480
Black bolete	ecctettteaetetcatceaattctcaaccatetctteatettaactttceaegecategc	480
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P. portentosus(MN962534)	tragactraggagettractagtraggececectetettegaggaggagatgteagetet	54(
Fruiting body	ttegactteggagetttectegttggacccccctctcttcgaggggggaatgtcagctct	540
ECMlike	treactiverage citre creative account of the second s	54(
Black bolete	tteractivergarctitecteriteracccccctcttcraerereraatetcarctet	540
P. portentosus(MN962534)	cctcaaaaecattaecaaaaegeacetettcetcecateaacteacegeccttceacetea	600
Fruiting body	cctcaaaagcattagcaaaagggacgtgttcgtcgcatgaacggccttcgacgg	600
ECMlike	cctcaaaagcattagcaaaagggacgtgttcgtcgcatgaacggccttgacggccttga	600
Black bolete	cctcaaaagcattagcaaaagggacgtgttcgtcgcatgaactgacggccttcgacgtga	600
P. portentosus(MN962534)	faatoatcotcotooctooaoooaaaaototoatoocoaaootctotcctaocttctaat	660
Fruiting body	taataatcatcataactaaaaaaaatataataacaaaaattatcatc	660
FCMlike	taataatcatcatcataactaaaaaaaatataataacaaaaatctatcctaacttctaat	660
Black bolete	taatoatcotcotooctooagogaaaaotototatoocgaaogtctotcctaacttctaat	660
	***************************************	
P portentosus(MN962534)	caaaggegaggettgtgtcacgcatgccctctgtcttatcgaaccttgacctcaaate	720
Fruiting body	caaaggcgaggggttgtgtcacgcatgccctctgtcttatcgaacctgacctcaaatc	720
ECMlike	caaaggcgagggggttgtgtgcgcgcatgccctctgtcttatcgacctgacctgact	720
Black bolete	caaaggrgaggggttgtgtcacgcatgrcctctatettategaacettgacetedale	720
ouere	······oo-o-bobbowbobowbeeverergieringeareergaeereadate	, 20
P. portentosus (MN962534)	apatapaact	730
Fruiting body		730
ECMlike	apetapeact	730
Black bolete	aortageact	730
		. 50

Figure 4. Sequence alignments of ITS rDNA of ectomycorrhizallike root tips, sporocarps from the seedbeds of *S. javannica* Miq. (fruiting body), and black bolete (bioinoculum) with 100% identity to *P. portentosus* (MN962534), as determined by Clustal Omega

#### 4. Discussion

Kumla et al. (2011) reported that P. portentosus mycelia were able to grow between pH 3-9 and grew well at pH 4. The optimal temperature was 30°C, and there was no growth at 40°C. They also found that modified Murashige & Skoog (MMS) and fungal host media were best for mycelium growth. Sanmee et al. (2010) found that P. portentosus mycelia grew well on modified Gamborg, modified Melin Norkans and MS media at 30°C and pH 4. Kumla et al. (2020) found that P. spongiosus mycelia grew well on L-modified Melin-Norkans and Murashige and Skoog agar at 30°C at an initial pH of 5. Siri-in et al. (2014) studied the growth conditions of pure Scleroderma sinnamariense mycelia. The optimal temperature and pH were 30°C and 5, respectively. The results of our study on temperature and pH agree with the studies of Kumla et al. (2011) and Sanmee et al. (2010). According to Kumla et al. (2011), Sanmee et al. (2010) and this study, P. portentosus could grow well in various types of media. However, this study found that P. portentosus mycelia could grow well on common media, such as MPDA and YM. Thus, YM was chosen for the growth study and used as the inoculum media to prepare bioinoculants from sorghum grains. This was done because this approach is not complicated, and the medium is easy to prepare.

The features of the ECM root tips examined in this study are similar to those of the ECM root tips of P. portentosus on Pinus kesiya (Sanmee, et al., 2010). Further study by the same group reported by Kumla et al. (2016) confirmed the mutualistic relationship between P. portentosus and Pinus trees by using carbon (<sup>13</sup>C/<sup>12</sup>C) and nitrogen (15N/14N) isotopes measurements. Based on carbon assimilation studies, ECM fungi derive simple sugars from plant photosynthesis, while saprotrophic fungi obtain sugars from wood decay. Nitrogen from soil was absorbed by ECM fungi and transferred to their host plants. In contrast, saprotrophic fungi obtained nitrogen from decaying substrates (Hobbie et al., 1999). Although the lifestyle of P. portentosus is also found in saprophytic habits, it was proven that it was cultivated without a host plant (Sanmee et al. 2010, Ji et al. 2011). However, the ITS region of the rDNA sequence is a popular tool used for fungal identification. In this study, sporocarps that developed in seedbeds were of the same species as both the bioinoculants and ECM-root-like structures. These results confirmed that P. portentosus can be associated with an annual plant and that it is an ectomycorrhizal fungus. Because it can develop ECM-root structures, P. portentosus is a special species because it can adapt its lifestyle to be a saprophyte or ectomycorrhiza depending on the environment.

# 5. Conclusions

Attempts to overcome the difficulties associated with ECM mushroom cultivation are very challenging endeavors. This study demonstrated that bioinoculants of sorghum (pure mycelia) and liquid-formed (spores from mature sporocarps) have the potential to colonize the fine root tips of *S. javannica* Miq. Furthermore, the findings also prove that *P. portentosus* is an ectomycorrhizal mushroom and has a symbiotic association with the fine roots of *S. javannica* Miq. *P. portentosus* is a boletus

mushroom that may not be an obligate ECM. The host plants of the fungus are not only woody plants and fruit trees but also annual plants such as *S. javannica* Miq. However, to succeed in cultivating ectomycorrhizal mushrooms, biofertilizer and watering are needed.

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# Detection and Epidemiological Features of Human Coronaviruses in Patients with Acute Gastroenteritis in Northern Jordan

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#### Abstract

Fecal specimens collected from patients with acute gastroenteritis among the Northern Jordan population were screened for human coronaviruses-229E, human coronaviruses-NL63, human coronaviruses-HKU1, and human coronaviruses-OC43 by Reverse Transcriptase- Polymerase Chain Reaction (RT-PCR) and PCR. Out of the 401 analyzed specimens, 42(10.5%) specimens were found positive for at least one human coronavirus. Of the 42 specimens, 57.1% were positive for human coronaviruses-OC43 virus was not detected in the tested specimens. None of the fecal specimens collected from healthy individuals were found positive for human coronavirus strains. No significant association was found between human coronavirus infection and gender (P > 0.05). Most infected cases were in the age group >60 years old (23.8%), followed by the age group 0–1-year-old (19.0%). Most cases of human coronaviruses were detected in the winter season (42.9%) with a significant association recorded with human coronaviruses-NL63 (P = 0.006), and the lowest in the spring season (4.8%).The relationship between the human coronavirus-229E and fever (P = 0.04) and between human coronavirus-248 for association (P > 0.05) between respiratory disease and positive human coronaviruses fecal specimens. The average symptom duration was 2-3 days. Among the viral-positive specimens, 38.1% were under antibiotic treatment. The provided data will help in patient care control of viral acute gastroenteritis.

Keywords:Gastroenteritis; Human Coronaviruses; RT-PCR; PCR; Jordan

### 1. Introduction

Acute gastroenteritis (AGE) is a diarrheal illness that could be associated with many clinical manifestations and death worldwide and the most AGEcases in developing countries were in young children (Kotloff, 2017, Bányai et al., 2018, Lo Vecchio, 2020). Viruses are the leading cause of acute gastroenteritis globally, accounting for the majority of diagnosed acute episodes of community-acquired diarrhea. About 50-70% of AGE was caused by viral infections(Luoet al., 2019). Today, it is regarded as a major cause of mortality and morbidity in children under 5 years old (De Francescoet al., 2021, Thwinyet al., 2022). Moreover, in 2013 diarrheal disease accounted for 9% of total deaths around the world (Liuet al., 2015). The World Health Organization defines diarrhea as three or more occurrences of loose or watery stools per day, for three days or more, and less than 14 days (WHO 2005).Enteric viruses causing AGE varied from watery diarrheal along with symptoms such asanorexia, vomiting, nausea, fever, or malaise, to severe dehydration that needs hospitalization or leads to death

(Leeet al., 2020, Xiong et al., 2020). Enteric viruses causing gastroenteritis were rotaviruses (Guptaet al., 2019, Omatola and Olaniranet al., 2022), enteric adenoviruses (Biscaro et al., 2018), astroviruses (Vuet al., 2017), caliciviruses (norovirus and sapovirus)(Desselberger et al., 2017). New viruses, human bocavirus (Guido et al., 2016), human coronavirus (Owusuet al., 2021), aichivirus (Chuchaona et al., 2017), klassevirus (Peiet al., 2016), salivirus (Lasure et al., 2016) were increasingly detected in AGE cases from different countries. As most enteric viruses cannot be grown in cell culture, electron microscopy is considered the mainstay of diagnosis(Zhaoet al., 2019, Donelli et al., 2021). Applying more accurate methods for viral antigen detection in fecal specimens using immunoassay and molecular biology techniques has enhanced the diagnosis of new viruses causing gastroenteritis(Malik et al., 2019).

Human coronaviruses (HCoVs) have been identified in the late 1960s, involvingHCoV-229E, HCoV-HKU1, HCoV-NL63, and HCoV-OC43, severe acute respiratory syndrome coronavirus, Middle East respiratory syndrome coronavirus, and SARS-CoV-2 (the newly detected HCoV in late 2019) (Owusuet al., 2021).Coronavirus (CoV) is a member of the

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*Coronaviridae* family including alpha ( $\alpha$ ), beta ( $\beta$ ), gamma ( $\gamma$ ), and delta ( $\delta$ ), with a single-stranded positive-sense RNA genome, and infects humans and a diversity of animals. HCoVs are widely known as respiratory pathogens. In 1975, some researchers noticed coronavirus-like particles (CVLPs) in fecal specimens collected from patients with diarrhea (Payne *et al* 1986). Following that, human coronavirus was detected in children with AGE and in necrotizing enterocolitis in babies (Osborne *et al.*, 2015, Xiong*et al.*, 2020).

The current study aimed to explore the presence of HCoVsin patients with AGE and to examine the relationship between AGE and the clinical data obtained from all age groups of patients.

#### 2. Materials and Methods

#### 2.1. Patients and Specimens

The Institutional Review Board Committee of Jordan University of Science and Technology, Jordan approved this study (No. 13/124/2019). The fecal specimens were collected from four hospitals in Northern Jordan; King Abdullah University Hospital, Prince Rashid Ben Al-Hasan Military Hospital, Princess Basma Teaching Hospital, and Princess Rahma Teaching Hospital in Northern Jordan. A convenience sampling technique was used to collect 401fecal specimens, during the period from Sep. 2019 until Aug. 2020. This study includes patients with AGE symptoms. Clinical profiles containing significant information such as gender, age, stool properties, symptoms, etc., were gathered in a particular form. The fecal suspension was prepared for each sample by adding 0.5 ml of stool to 450 µl of phosphate

Table 1. Oligonucleotide primers used for detection of HCoVs.

buffer saline in a 1.5 ml sterile Eppendorf tube. After that, the suspension was vortexed and centrifuged for 5 min at 3000 rpm for clarification purposes. The supernatant for each sample was transferred to a new sterile Eppendorf tube and preserved at  $-20^{\circ}$ C until processed.

# 2.2. RNA Extraction

The human coronavirus RNAwas extracted from the stool supernatant through the DNA/RNA Path Miniprep (Zymo USA), as instructed by the manufacturer. All extraction steps were carried out at room temperature (unless specified) and centrifuged for 30 seconds at 10,000 rpm.

## 2.3. Reverse Transcription (RT)

To synthesize the cDNA, the protocol described by Kang *et al.* (2009) was used to reverse transcribe the extracted RNA using randomprimers by Maxime RT PreMix-iNtRON kit (South Korea).Using the Thermal Cycler TC9610-230 (Mayfield Avenue Edison, USA), the cDNA synthesis reaction was performed as follows:  $45^{\circ}$ C for 60 min, followed by inactivating the RTase at  $95^{\circ}$ C for 5 min. As an internal expression control, the  $\beta$ -actin gene was used.

# 2.4. Polymerase Chain Reaction (PCR)

The primers used to detect the presence of specific genes in HCoVs were in Table 1. As described by Gouvea *et al.* (1990), the PCR reaction was carried out using the PCR master mix solution of i-TaqTM -iNtRON kit (South Korea).GeneBank<sup>®</sup> tool was used to design the positive control and generated by GeneScript<sup>®</sup> biotech company (New Jersey, USA).Positive control and a mixture without a cDNA template as negative control were added in each run.

Virus	Primer	Target region	Sequence (5' to 3')	Size	Ref.
HCoV- OC43 N gene	N cono	O1 Forward	CCCAAGCAAACTGCTACCTCTCAG	309bp	(Vabrat at al. 2005)
	iv gene	O3 Reverse	GTAGACTCCGTCAATATCGGTGCC		(Vablet <i>et ut.</i> , 2005)
HCoV- 229E N	N gono	E1 Forward	AGGCGCAAGAATTCAGAACCAGAG	309bp	(Vabret et al., 2001)
	N gene	E3 Reverse	AGCAGGACTCTGATTACGAGAAAG		
HCoV- KU1	1B gene	Forward	GGTTGGGATTATCCTAAATGTGA	440bp	(Esperat al 2006)
	TD gene	Reverse	CCATCATCACTCAAAAATCATCATA	ччоор	(Esperer <i>u</i> <sup>1</sup> ., 2000)
HCoV-NL63	S gene	Forward	ACCGCTGTTAATGAGTCTAGATATG	523bp	(Vabret et al., 2005)

2.5. Gel Electrophoresis

Gel electrophoresis was performed as described by Gouvea et al. (1990). A volume of 5 µl of the amplified PCR products for each specimenwas analyzed using a1.5 % agarose in 100 ml of 1 х tris-borate ethylenediaminetetraacetic acid buffer and stained with 0.5 µg/ml ethidium bromide (Fisher Scientific, UK) to visualize the amplified product. The electrophoresis was performed at 120 volts at room temperature for 1 hour and the bands were imaged under a Gel Documentation System.

## 2.6. Statistical Analysis

The package of Social Science (SPSS) software (IBM, USA) version 1.0.0.1447 and Chi-square ( $X^2$ ) test was used for statistical analysis and comparison of groups.

# 3. Results

The fecal specimens were analyzed by PCR to detect 4 different strains of HCoVs(HCoV 229E, HCoV HKU1, HCoV NL63, and HCoV OC43). Out of the 401 fecal

while 1.0% of cases were detected with HCoV HKU1 and HCoV. OC43 was not detected in any sample (Table 2). Figures 1-3, represent gel electrophoresis for PCR amplified products of the HCoVs detected strains. HCoVs detection among gender was demonstrated in Table 3, without significant associations (P> 0.05)between males and females.

Table 2: HCoVsdistribution in 401 fecal specimens

Pathogen	No. (%)
HCoV 229E	24 (6.0%)
HCoV NL63	14 (3.5%)
HCoV HKU1	4 (1.0%)
Total	42 (10.5%)

Fig. 4 demonstrated the number of positive results of 3 different viruses (HCoV 229E, HCoV NL63, and HCoV

HKU1) stratified by age. Of the 401 fecal specimens, 104 (26.0%) and 60 (15.0%) specimens were obtained from children younger than 1 and equal to or more than 60 years of age, respectively. The positive rate of HCoV 229E was distributed among the age groups, 16.7 % for those aged 0-4 age group, and 14.3% for those aged 60 years or older, whereas the rate of HCoV NL63 was 14.3 % for those 0-4 age groups. The HCoV HKU1 was found only in two age groups with a percentage of 4.8% in each of the 10-19 age group and for those aged 60 years or older.



Figure 1: Distribution of human coronaviruses by patients age groups.

Table 3: The association between human coronaviruses infections and gender

Gender	HCoV 229E +ve No. (%)	HCoV 229E –ve No. (%)	HCoV HKU1 +ve No. (%)	HCoV HKU1 –ve No. (%)	HCoV NL63 +ve No. (%)	HCoV NL63 –ve No. (%)
Male	15(62.5)	211(56.1)	2 (50.0)	224 (56.6)	9 (64.3)	218 (56.3)
Female	9 (37.5)	165 (43.9)	2(50.0)	172 (43.4)	5 (35.7)	169 (43.7)
Total	24 (6)	376 (94)	4(1)	396(99)	14(3.5)	387(96.5)
P value	0.54		0.79		0.55	

The HCoVs detection varied in the four seasons (Table 4). The HCoV 229E was detected in the four seasons; winter (37.5%), autumn (29.2%, summer (25.0%), and spring were detected in two patients (8.3%), without significant

associations between the HCoV 229E and seasons (P = 0.51). A significant association was seen between the seasons and HCoV NL63 (P = 0.006), without any detection in spring.

Table 4: The association between human coronaviruses infection and seasons.

Season	HCoV 229E +ve	HCoV 229E-ve	HCoV HKU1 +ve	HCoV HKU1 -ve	HCoV NL63 +ve	HCoV NL63 -ve
(Months)	No. (%)	No. (%)	No. (%)	No. (%)	No. (%)	No. (%)
Autumn	7(16.7)	119(31.6)	2 (4.7)	125 (31.6)	3 (7.2)	124 (32.0)
Winter	9(21.5)	92(24.5)	0 (0.0)	101 (25.5)	9 (21.5) #	92(23.8)
Spring	2(4.7)	35(9.3)	0 (0.0)	37(9.3)	0 (0.0)	37(9.2)
Summer	6(14.3)	130(34.6)	2 (4.7)	133(33.6)	2 (4.7)	134(34.6)
P value	0.51		0.55		0.006#	

# Statistically significant at *P* =0.006

The HCoVs strains detection relationship with respiratory tract disease was demonstrated in Table 5. The HCoV 229E was detected in 2 patients with respiratory tract infection (8.3%), without significant associations (P=0.92).

 
 Table 5. The association between human coronaviruses infection and respiratory tract disease.

Respiratory	HCoV 229E	HCoV HKU1	HCoV NL63
tract disease	No. (%)	No. (%)	No. (%)
Yes	2(8.3)	0(0.0)	0(0.0)
No	22(52.3)	4(9.6)	14(33.4)
Total No. (%)	24(57.0)	4(9.6)	14(33.4)
P value	0.92	0.72	0.27

Table 6 demonstrated the HCoVs relationship with clinical observations. A significant association was seen between the presence of HCoV 229E and fever (P = 0.04) and between HCoV HKU1 and weakness (P = 0.04). The range of symptoms duration was 2-3 days. In relation to the antibiotics treatment, 38.1% (16 out of 42) of infected patients were under antibiotics treatment.

Thirty fecal specimens were collected as control from healthy individuals to detect the presence of HCoV 229E, HCoV HKU1, HCoV NL63, and HCoV OC43 strains). Results showed that the HCoVs strains were not detected in healthy individuals.

Table 6: The association between HCoVsinfection and clinical observation
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	HCoV	HCoV		HCoV	HCoV		HCoV	HCoV	
Observation	229E+ve	229E -ve	P value	HKU1 +ve	HKU1 -ve	P value	NL63 +ve	NL63-ve	Pvalue
	No. (%)	No. (%)		No. (%)	No. (%)		No. (%)	No. (%)	
Clinical diagnosis									
Dehydration	0(0.0)	6(1.6)	0.52	0(0.0)	6(1.5)	0.90	0(0.0)	6(1.5)	0.62
No dehydration	24(100)	371(98.4)	0.55	4(0.0)	390(98.5)	0.80	14(100)	381(98.5)	0.05
Weakness	13(54.1)	167(44.3)	0.25	4(100)	177(44.5)	0.04#	8(57.1)	173(44.7)	0.25
No weakness	11(45.9)	210(55.7)	0.33	0(0.0)	220(55.5)	0.04	6(42.9)	214(55.3)	0.55
Vomiting	3(12.5)	85(22.5)	0.24	0(0.0)	88(22.1)	0.29	2(14.2)	86(22.2)	0.49
No vomiting	21(87.5)	292(77.5)	0.24	4(100)	308(77.9)	0.28	12(85.8)	301(77.8)	0.48
Fever	2(8.3)	102(27)	o o /#	0(0.0)	105(26.4)		5(35.7)	99(17.4)	
No fever	22(91.7)	274(73)	0.04#	4(100)	292(73.6)	0.23	9(64.3)	288(82.6)	0.39
Abdominal pain	7(29.1)	135(35.8)		0(0.0)	143(36)		2(14.2)	140(37)	
No Abdominal Pain	17(70.9)	242(64.2)	0.50 4(100)	4(100)	254(64)	0.13	12(85.8)	247(63)	0.09
Duration of Symp	toms (days)								
1	5(20.8)	124(33)		3(75)	126(31.7)		6(42.8)	124(95.4)	
2-3	17(70.9)	204(54)	0.28	1(25)	220(55.8)	0.17	7(50)	214(96.8)	0.64
> 4	2(8.3)	48(13)		0(0)	50(12.5)		1(7.2)	49(98.0)	
Antibiotics received	ed								
Yes	7(29.1)	142(37.6)	0.20	1(25)	148(37.2)	0.61	8(57)	141(37.2)	0.11
No	17(70.8)	234(62.3)	0.39	3(75)	248(62.8)	0.01	6(43)	246(62.8)	0.11

# Significant associations were seen between the presence of HCoV 229E and fever (P = 0.04) and between HCoV HKU1 and weakness (P = 0.04)

#### 4. Discussion

Acute gastroenteritis was the main cause of mortality in children globally in the last two decades, with 10% of deaths in hospitalized children (Wang *et al.*, 2016). In 2015, more than 1.3 million deaths due to diarrhea illness were reported including 146000 deaths among children <5 years old (Wang *et al.*, 2016). The literature review showed that this study was the first study in Jordan that detected and associated HCoVs strains with AGE among all age groups of patients.

In this study, we collected fecal specimens from AGE patients to screen for the presence of HCoV 229E, HCoV HKU1, HCoV NL63, and HCoV OC43 strain as possible causes of AGE.

Human coronaviruses have already been considered pathogens infecting the respiratory tract since their discovery. However, the detection of HCoVs strains in fecal

specimens was recorded in the United Kingdom since 1975 using electron microscope. After that, it had been detected in fecal specimens of AGE in many studies but with a very low prevalence rate. In a Slovenia study (Jevsnik et al., 2016), HCoV particles were detected in 8.7% of the tested specimens. Another study in Saudi Arabia (Kheyami et al., 2010) showed a 6% prevalence of HCoVs in AGE patients. A Finnish study (Risku et al., 2010) using molecular methods showed a 2.5% prevalence rate of HCoVs and distributed as follows: 45.5% HCoV-OC43, 27.3% HCoV-HKU1 and 18.2% HCoV-NL63. In the current study, HCoVs were detected in 10.5% of the tested specimens, and distributed as follows: 57.2 % HCoV-229E, 33.3 % HCoV-NL63, and 9.5 % HCoV-HKU1. A study from Ghana (Owusu et al., 2021) also reported that HCoV-229E was the most isolated strain. The HCoV-OC43 virus was not detected in the tested specimens. However, the detection rate of each strain of HCoVs varied in different regions, and the detection of the predominant types of HCoVs associated with AGE is still unclear and needs further study.

The age distribution of HCoVs strains in this study was mostly in patients in the age groups below 5 years and equal to or above 60 years old, 31 % and 23.8%, respectively. Our results were in part similar to the result in a previous study that showed that the range of children age infected with HCoVs was 42 months (Kheyami *et al.*, 2010). Another study showed that the age group affected was children less than 2 years old (Risku *et al.*, 2010). No significant association was found between HCoVs infection and gender (P>0.05). The percentage of males to females was similar to previous studies (Risku *et al.*, 2010).

Most cases of HCoVs were detected in the winter season (42.9%), with a significant association recorded with HCoV NL63 (P = 0.006) and the lowest in the spring season (4.8%). Compared with a previous study, Risku *et al.* (2010) recorded the highest percentage of cases in both winter and spring each with 45.4%, which may be attributed to the low sample collection due to COVID-19pandemic. Paloniemi *et al.* (2015) detected HCoVs in both fecal specimens and nasopharyngeal swaps with a higher viral load in patients associated with AGE compared with respiratory tract disease, which may indicate that the source of the virus in fecal specimens is the respiratory tract.

In this study, the detected HCoVs appeared as the significant pathogens and were supported by the fact that thirty specimens collected from healthy individuals were found negative for HCoVs.

Due to a lack of published data concerning the clinical observations of AGE patients infected with HCoVs to compare with, in this study we presented the clinical observations from patients as follows: all the detected cases have a weakness; HCoV HKU1 recorded 100% weakness with significant association (P = 0.04). HCoV NL63 and HCoV 229E recorded similar percentages, 57.1%, and 54.1%, respectively. Vomiting was shown at 14.2% and 12.2% in HCoV NL63 and HCoV 339E, respectively. Also, fever recorded a significant association with HCoV 229E (P = 0.04) which represented 8.3% and HCoV NL63 recorded a higher rate in 53.7% of patients with fever. A significant association between fever and infection with human coronaviruses was also reported by Wen et al., 2022. The percentages of patients suffering from abdominal pain were 29.1% and 14.2% of the HCoV 339E and HCoV NL63. There were no positive cases recorded for HCoVs infection with dehydration. The duration of symptoms varies between the HCoVs strains and patients with AGE; HCoV 229E and HCoV NL63 recorded the highest percentages of duration as 2-3 days with 70% and 50%, respectively, while HCoV HKU1 recorded 75% for the one-day duration. Patients infected with HCoVs and under antibiotic treatment were 38.1%, which indicates the need for a laboratory test to diagnose viral AGE and minimize the use of unnecessary antibiotics.

## 5. Conclusions

The study provided data about the epidemiological features of human coronaviruses in patients with acute gastroenteritis with the association between AGE and the clinical data collected from patients in Northern Jordan. The provided data has demonstrated a 10.5% of specimens were found positive for at least one HCoVs with the highest percentage for HCoV-229E (57.1%) in Northern Jordan. Most infected cases (23.8%) were in the age group >60 years old. Among the positive specimens, 38.1% were under antibiotic treatment, necessitating viral diagnoses setting to enhance patient safety by reducing antibiotic overuse.

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# Assessment on Drought Stress Resistance, Salinity Endurance, and Indole Acetic Acid Production Potential of Dryland-Isolated Bacteria

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## Abstract

Dryland lacks water, unfulfilled nutrient supply, lack of abiotic and biotic elements, and very little rainfall. The area of dry land in Indonesia reaches  $144.47 \times 10^6$  ha. About  $99.65 \times 10^6$  ha are dry land with potential for agriculture, and around  $44.82 \times 10^6$  ha are dry land with no possibility for agriculture. Many arid lands in Indonesia cause significant problems, especially in the agricultural sector. The problem often occurs due to the constraints of plant growth factors, including planting media, water, light, wind, and nutrients. This study aims to determine the resistance of bacteria to drought stress and high salinity as well as their ability to produce IAA (Indole Acetic Acid). The method used is *in vitro*. The results obtained are various kinds of bacteria, the nature of bacteria in binding gram, and osmoprotectant bacteria. Bacteria tested from the dry land of annual plants could effectively survive on PEG 6000 media NaCl even at high concentrations. The best bacteria that can survive in the saline conditions were B7, B8, B9, B10, while the potential as plant growth hormone bacteria are B6, B7, B8, B9, B10.

Keywords: Arid land, Biofertilizer, Dry land microbe, Environmentally friendly, Improve soil quality, *In vitro* screening, Plant growth promoting rhizobacteria, Soil biological fertility

# 1. Introduction

Indonesia has a vast dry land potential reaching  $148 \times$  $10^{6}$  ha. The dry land area with potential for agriculture is estimated at  $76.22 \times 10^6$  ha (52 %), and most are in the lowlands (70.71  $\times$  10<sup>6</sup> or 93 %) (Budiono, *et al.* 2019). There are several obstacles faced in developing dryland agriculture (Adinurani et al., 2018; Bafdal et al., 2015; Noerwijati, et al. 2021). Water availability is highly dependent on rainfall. In general, dry land in Indonesia is degraded due to erosion. Land degradation causes dryland organic matter to be at the lowest level (Goenadi et al., 2021; Prasetyo et al, 2022a; Purbajanti et al., 2016; Vincevica-Gaile et al., 2021). Rhizosphere bacteria are soil microorganisms that can establish a relationship with the physical and chemical conditions of the soil (Ekawati, 2019; Sukmawati et al. 2021; Xiong et al. 2021). These bacteria help plants absorb nutrients and minerals and increase tolerance to environmental stresses (Ahmed and El-Sayed, 2021; Dimkpa et al., 2009; Ekawati, 2019; Muhammad et al. 2021). Bacteria in the root rhizosphere are bacteria cultured in soil with  $10^{-6}$  to  $10^{-9}$  cm<sup>-3</sup> living cells (Hafeburg and Kothe, 2007).

However, further research on dryland bacteria is needed to determine how bacteria survive and adapt to dry land. Under drought conditions, the development of microbial communities will be hampered. Thus, the application of plant growth-promoting microorganisms has been suggested (Anjori *et al.*, 2021; Marulanda *et al.*, 2006). In addition, the ability of certain bacteria to reduce the detrimental effects of stress on plants has been previously reported (Kasim *et al.*, 2013; Marulanda *et al.*, 2009). Therefore, it is necessary to apply inoculation technology to strengthen the potential of microbes in dry land to improve the soil's physical, chemical, and biological conditions (Barea *et al.*, 2002; Ekawati, 2019; Goenadi *et al.*, 2021; Prasetyo *et al.*, 2022b; Sukmawati *et al.*, 2021).

The role of bacteria in growth, nutrition, and drought tolerance under nutrient-limited conditions is based on various physiological and cellular mechanisms (Medina and Azcon, 2012; Shinwari *et al.*, 2019). In this case, microorganisms can also reduce water stress by reducing cellular oxidative damage produced by plants under drought conditions (Ahmed and El-Sayed, 2021; Potters *et al.*, 2010). Inocula formation in dry soil activates antioxidant metabolic pathways (Benabdellah *et al.*, 2011; Nguyen *et al.*, 2020). The dry environment determines the ability of microorganisms to reproduce in that habitat. Remarkable similarities exist between plants and bacteria in their cellular responses to osmotic pressure (Csonka, 1989; Purbajanti *et al.*, 2019).

This study reports information about the relevance of cellular metabolic processes carried out on the production of microbes that survive unfavorable conditions (stress and salinity) and the potential of Indole Acetic Acid (IAA) in growing media added with PEG (Polyethylene Glycol) to create osmotic pressure (Gordon and Paleg, 1957; Purbajanti et al, 2019). Bacterial IAA production will be used as a plant repair effect and under stress conditions to protect cells against the adverse effects of ROS and stabilize proteins. This compound increases resistance against water shortages, which is considered a good stress indicator. In addition, previous studies reported the mechanisms generally involved in plant growth-promoting bacteria, such as the production of phytohormones, especially IAA, which plays the most crucial role in promoting plant growth (Adinurani et al. 2021; Glick, 1995). Thus, it was selected as a representative index of bacterial efficiency. Plants and microorganisms that live in dry soil adapt to stressful conditions.

The microorganisms are applied to form vegetation cover to restore soil conditions (Tanveer *et al.*, 2022). However, adaptable/tolerant bacteria can increase plant growth and nutrition in drought conditions. Besides, physiological mechanisms can increase plant resistance to water stress. Increasing cellular osmolyte accumulation can increase drought tolerance by reducing stomatal conductance and evapotranspiration (Bates *et al.* 1973; Purbajanti *et al.*, 2019).

Microbe-producing Plant Growth Promoting Rhizobacteria (PGPR) use different mechanisms to promote plant growth (Ekawati, 2019; Goenadi *et al.* 2021; Parmar and Dadarwal, 2003). Tryptophan – gained from root exudates or decaying cells – replaces IAA microbial biosynthesis in the soil. Endophytic and rhizosphere bacteria have other properties that allow them to reach and establish themselves more effectively in plants' rhizosphere and inner tissues (Martinez-Viveros *et al*, 2010). Therefore, *in vitro* screening of rhizosphere and endophytic bacteria for IAA potency can provide a reliable basis for selecting effective PGPR bacteria.

Based on this research method, the tested bacteria will be selected to stimulate plant growth. After choosing the best isolate, the ultimate goal is to introduce the isolate as a biological fertilizer (Ekawati 2019; Li *et al.*, 2008, Muhammad *et al.* 2021). In addition, several studies have reported that the endophytic microbial community originating from the soil and rhizosphere were the best isolates promoted as biofertilizer or biodegradation (Afzal *et al.* 2017; Elvira-Rescuenco and van Vuurde, 2000; Hallman *et al.*, 1997; Sturz *et al.*, 2000).

# 2. Materials and Methods

## 2.1. Soil sampling

Soil samples were taken from the annual plant rhizosphere of Shrub (Chamaedaphane Moench), rhizosphere of Sonokeling/Java palisander (Dalbergia latifolia Roxb.), and rhizosphere of Coconut (Cocos nucifera L.) located in the dryland forest area of of South Malang, East Java, Indonesia *i.e.* Pagak districts (112°29'66" to 112°33'12" BT and 8°11'46" to 8°18'27" districts (8°18'59.14"S LS) and Bantur to 112°34'40.91"E). The average annual rainfall (2013 to 2021) in Pagak is 152 mm, while in Bantur, it is 194 mm. According to Oldeman's criteria, climate types are categorized as D and C (Harahap et al., 2021). The

average annual rainfall in South Malang is slightly lower than in North Malang (climatological station at Abdulrahman Saleh Airport), which is 225 mm (climate type C). The soil samples (Pagak — mediteran type and Bantur — alfisol type) were collected from the surface 10 cm below the surface and processed immediately.

#### 2.2. Preparation of bacterial isolation media

Alternative growth media was needed for growing microorganisms containing nutrient-rich carbohydrates and proteins (Medina and Azcon, 2012). The media used was PDA (Potato Dextrose Agar - Merck P2182). Isolation of antagonistic bacteria was according to the method used by Chilcott and Wigley (1993) and Limbu et al. (2020). The 1 g of the soil sample was suspended in 9 mL sterile distilled water and shaken vigorously for 2 min. Then, the liquid was serially diluted in sterile distilled water, and a 0.1 mL sample of the dilutions  $10^{-4}$  to  $10^{-7}$  was added to 20 mL of melted 92008 Tryptone Bile Agar (TBA - Merck 92008). After solidification of the medium, the plates were incubated at 30 °C for 24 h to 48 h. Finally, the bacterial colonies that showed antagonism to the adjacent colonies were picked up and subcultured to make pure cultures, as explained by Dubey and Maheshwari (2002) and Kumar et al. (2021).

The dilution method was used to isolate bacteria. This isolation was carried out by taking 10 L of homogenized soil samples with different dilution levels. The samples were then flattened before poured into the Petri dish using a multilevel triangle. Finally, planting was made in three cups per dilution to maximize bacterial growth *in vitro*.

# 2.3. Purification

Purification was carried out by taking a suspension of bacteria that had grown to the same size and volume and streaking them on new solid PDA media. Purification was carried out by taking a growing bacterial suspension of the same size and volume. After streaking on new solid PDA media then storing in a sterile room for 3 d to 4 d, the suspension was ready for further testing.

# 2.4. Gram stain and bacterial morphological identification

To observe microscopic characterization, gram staining method was used (Thairu *et al.* 2014). It was carried out to determine bacteria morphology and their gram properties. It used four different colors: crystal violet, Lugol's iodine, 96 % ethanol, and safranin. Bacteria with gram-positive properties will be blue-purple, while bacteria with gramnegative properties will be red or pink.

# 2.5. PEG 6000 — drought test

Drought stress test using PEG 6000 + NB media (PEG —Merck, Sigma-Aldrich) (Nutrient Both — Chemical Athaya/Tokopedia), according to Susilowati *et al.* (2018). The concentrations tested in the drought stress test were different: 1 %, 2 %, 3 %, 4 %, 5 %, 6 %, 7 %, 8 %, 9 %, and 10 %. An amount of 10 mL of the media was put into the test tube according to the concentration, and two inoculating loops of bacteria inoculums were added. This drought stress test was carried out to determine the ability of bacteria to survive in drought conditions with relatively little water conditions and organic matter that did not match the needs of bacteria and very minimal nutrients. Observations were made by reading the Optical Density

(OD) value on a spectrophotometer (Genesys 10 S UV, USA) and recording each phase of bacterial growth marked on the number of OD values produced. Observation variables start from the lag phase, the exponential/logarithmic phase, the stationary phase, and the death phase (Myers *et al.*, 2013).

# 2.6. NaCl salinity test

The salinity test was carried out to produce bacteria that can survive in osmotic stress or low water content due to the salt's high nature. The salinity test used NaCl + NB media (NaCl — Merck, CAS Number:7647-14-5) (Nutrient Both — Chemical Athaya/Toko Pedia) with 4 %, 5 %, 6 %, 7 %, 8 %, 9 %, 10 %. Observation variables include the value of OD (Optical Density), with an observation time interval of 3 h for 24 h (Khanghahi *et al.*, 2021). The OD value obtained will be recorded and presented on a bacterial growth. Suppose the resulting OD value increases at certain time intervals for 24 h. In that case, the bacteria can survive unfavorable conditions and if the suspension becomes cloudy, the bacteria can adapt to the media.

#### 2.7. IAA potency test

Testing the potency of IAA using NB + L-Tryptophan media (Merck, CAS Number: 73-22-3) and added with Salkowski reagent (CV Nitra Kimia/Tokopedia) as a precursor to induce IAA in bacterial samples, according to Gang *et al.* 2019. Take the media at the concentration of 10 mL, add two inoculating loops of bacteria inoculum, homogenize it, and add 2 mL to 3 mL of Salkowski's reagent. Observations were conducted qualitatively after being in OD for 24 h with a 24 h observation interval. The bacteria can produce IAA hormone if the sample is pink after adding Salkowski's reagent.

## 2.8. Data analysis technique

Data analysis is based on in vitro experiments with qualitative descriptive study. The data obtained will be analyzed by bacterial growth during the initial 0 h observation and the final 24 h. The data will be presented by displaying macroscopic and microscopic observations of the morphology of bacterial growth, the shape of the bacteria, and the nature of the bacteria in binding color (Adinurani, 2022).

# 3. Results and Discussion

# 3.1. Purification, bacterial morphology, and bacterial physiology test

The results of bacterial purification that were successfully isolated and purified with different dilution levels from the Rhizosphere of Shrub, Rhizosphere of Sonokeling/*Java palisander*, and Rhizosphere of Coconut were shown in Table 1.

 Table 1. Bacterial Isolation Results Based on The Bacterial

 Purification Index

No.	Inoculum sources	inoculums	Inoculum code
1.	Shrubs Location 1	B1 (five colonies)	B1
2.	Shrubs Location 2	B2 (three colonies)	B2
3.	Shrubs Location 3	B3 (two colonies)	B3
4.	Coconut Location 1	B1 (four colonies)	B4
5.	Coconut Location 2	B2 (three colonies)	B5
6.	Coconut Location 3	B3 (three colonies)	B6
7.	Coconut Location 4	B1 (two colonies)	B7
8.	Sonokeling Location 1	B2 (four colonies)	B8
9.	Sonokeling Location 2	B3 (two colonies)	B9
10.	Sonokeling Location 3	B4 (four colonies)	B10

**Table 1** shows the purification results of bacteria from different plants with different dilution levels. In regards of the isolated sample selected based on the isolate purification index above, 32 inoculants were produced but only ten colonies were the difference. The morphological characteristics of bacterial colonies were presented in Table 2 to Table 4.

**Table 2**. Morphological characteristics of bacterial colonies of shrubs rhizosphere

Observation	Bacteria 1	Bacteria 2	Bacteria 3
Form	Circular	Circular	Circular
Elevation	Raised	Raised	Raised
Surface	Shiny	Shiny	Shiny
Edge	Entire	Entire	Entire
Color	Milky white	Milky white	Milky white
Cell shape	Coccus	Basil	Basil
Gram stain	Positive(+)	Negative (-)	Negative (-)

 Table 3. Morphological characteristics of bacterial colonies of coconut rhizosphere

Observation	Bacteria 1	Bacteria 2	Bacteria 3	Bacteria 4
Form	Circular	Circular	Circular	Circular
Elevation	Raised	Raised	Raised	Raised
Surface	Shiny	Shiny	Shiny	Shiny
Edge	Undulate	Undulate	Undulate	Undulate
Color	Milky white	Milky white	Milky white	Milky white
Cell shape	Coccus	Basil	Coccus	Coccus
Gram stain	Positive (+)	Positive (+)	Positive (-)	Positive (+)

 
 Table 4. Morphological characteristics of sonokeling rhizosphere
 bacterial colonies of

Observation	Bacteria 1	Bacteria 2	Bacteria 3
Form	Irregular	Irregular	Irregular
Elevation	Raised	Raised	Raised
Surface	shiny	Shiny	shiny
Edge	Undulate	Undulate	Undulate
Color	Milky white	Milky white	Milky white
Cell shape	Basilus	Basilus	Coccus
Gram stain	Positive (+)	Positive (+)	Positive (+)

Growth of 10 bacteria on media treated with NaCl to test whether the ten bacteria could grow in unfavorable environmental conditions, especially salinity. At 8 % salinity, all bacteria except bacteria B1, B2, and B3 showed an increasing trend, but at 9 % NaCl, only bacteria B7, B8, B9, and B10 still showed a growing trend, while other bacteria experienced a decrease in growth. At 10 % NaCl, only B7 and B10 bacteria survived (Figure 1). Salinity is a severe environmental problem. Salinity causes osmotic stress around the roots and causes a decrease in plant growth and productivity in dry areas that rely on irrigation or in dry areas without irrigation (Cicek and Cakirlar, 2002). Stress caused by salinity will affect plant metabolism. Excess salt in the soil solution can affect plant growth either through osmotic inhibition of water uptake by roots or the effect of specific ions. Salinity will increase

uptake, which in turn causes a decrease in Ca2<sup>+</sup> and Na K uptake (Kusmiyati et al., 2009; Yildirim et al., 2006). Bacterial B7 and B10 are able to survive at high concentrations of NaCl, and the two bacteria may be halotolerant bacteria. This type of bacteria can grow in the presence of high NaCl concentrations (Tortura, et al., 1998), such as on the surface of the skin, which often has a high NaCl concentration (10 % NaCl) (Tsai et al., 2016). This may suggest that the two bacteria may exhibit a better ability to overcome the osmotic shock due to NaCl. The ability of bacteria to survive in high salinity stress is due to the ability of bacteria to accumulate dissolved organic matter in their cytoplasm. The goal is to prevent the loss of fluid from inside the cell as a result of the high osmotic pressure outside the cell due to the increased concentration of dissolved organic matter NaCl. Halophilic bacteria can produce hydraulic enzymes, one of which is a protease. It can catalyze protein hydrolysis reactions into oligopeptides and their amino acids. That these microorganisms can thrive in hypersaline environments has been correlated with the high content of acidic amino acids in their proteins, which increases the negative surface potential of proteins, since these microorganisms were effectively used.

Bacteria able to survive at high salinity can be used further. It uses in the process of agronomic tests in terms of improving saline soil aggregates.





Figure 1. Bacterial growth at different NaCl concentrations. B0, B1, B2, B3, B4, B5, B6, B7, B8, B9, B10 were the types of bacteria tested. N0: NaCl 0 %; N4: NaCl 4 %; N5: NaCl 5 %; N6: NaCl 6 %; N7: NaCl 7 %; N8: NaCl 8 %; N9: NaCl 9 %; N10: NaCl 10 %

Growth of ten bacteria on media treated with PEG to test whether the ten bacteria could grow in unfavorable environmental conditions, especially water stress. All bacteria were able to grow on PEG-treated media from a concentration of 1 % to a concentration of 10 %, although there is a group of bacteria that consistently shows an upward growth trend and is always on top. These bacteria are B5, B6, B7, B8, B9, B10 (Figure 2). Drought or dry land is a major environmental problem that is currently emerging. This is the most formidable challenge for most countries in the world, apart from pest and disease attacks, because it causes a decrease in plant growth and yield. Therefore, it is necessary to find ways to increase plant growth and production under drought stress conditions. Microorganisms have the opportunity to overcome this. Arzanesh et al. (2011) analyzed the presence of siderophores and their relationship to drought resistance of the bacteria, and found that strains producing higher levels of siderophores were associated with excellent host plant resistance to drought. Siderophores are used by bacteria as one of the most important microbial survival strategies because they form complexes with Fe and increase its

solubility and uptake under conditions of insufficient availability of iron (Rajkumar *et al.*, 2017). Under stress conditions, *Pseudom*onas sp., produces higher Exopolysaccharides (EPS) levels than conditions without stress. EPS formation in bacteria occurs as a reaction to stress (Ali *et al.*, 2014). The ability to produce EPS by bacterial cells is used as a criterion for drought tolerance in bacteria (Sandhya *et al.*, 2009).

Incorporation of microorganisms as active and vital components in agricultural systems is imperial to stimulate drought tolerance. Cohen et al., 2015 and Kang et al., 2014, stated that among microorganisms, bacteria might promote plant growth by producing essential phytohormones and mineral solubilization. In addition, the bacteria may enhance the antagonistic effect against the pathogen. In plants grown under extreme stress conditions, inoculation of Plant Growth Promoting Bacteria (PGPB) improved stress tolerance, at least partly, by increasing root length and allowing better access to water (Enebe and Babalola, 2018).





**Figure 2**. Bacteria growth trend on media with PEG in different concentrations. Name of bacteria: B0, B1, B2, B3, B4, B5, B6, B7, B8, B9, B10. P0: PEG 0 %; P1: PEG 1 %; P2: PEG 2 %; P3: PEG 3 %; P4: PEG 4 %; P5: PEG 5 %; P6: PEG 6 %; P7: PEG 7 %; P8: PEG 8%; P9: PEG 9 %; P10: PEG 10 %.

Ten isolates were identified as IAA-producing strains because the OD of all bacteria at 1 h to 24 h of observation showed an upward trend from (20 to 100) tryptophan concentrations. The top five bacteria are B6, B7, B8, B9, and B10. The five bacteria had the highest OD among the ten bacteria tested (Figure 3). IAA production by bacteria can vary between different species and strains besides being influenced by culture conditions, growth stages and substrate availability (Mohite, 2013). The technique for detecting IAA using the Van Urk Salkowski reagent is an essential option for qualitative and semi-qualitative determinations that guarantee the presence of hormones in bacterial culture supernatants or liquid formulations of biological inoculants. The amount of IAA produced by bacteria was within the detection limit of the Salkowski reagent (Ehmann, 1997). The results showed that the higher is the tryptophan concentration, the higher is the OD value. Indole production increased with increasing tryptophan concentration in testing Fluorescent Pseudomonas isolates for their ability to produce indole acetic acid in pure culture without the presence of and Ltryptophan (Karnwal, 2009).



**Figure 3**. Bacteria growth trend on media with Tryptophan in different concentrations. Name of bacteria: B0, B1, B2, B3, B4, B5, B6, B7, B8, B9, B10. T0: Tryptophan 0 %; T20: Tryptophan 20 %; T40: Tryptophan 40 %; T60: Tryptophan 60 %; T80: Tryptophan 80 %; T100: Tryptophan 100 %

This study needs to relate soil organic matter content for future research directions to validate the conclusions. PGPR requires standard soil organic content to be efficient and effective (Adinurani *et al.*, 2021; Ekawati, 2019; Goenadi *et al.* 2021; Medina and Azcón, 2012; Muhammad *et al.* 2021). It takes real action and collaboration between various stakeholders so that all organic waste —agricultural waste, livestock waste, kitchen waste, leftover food, and human excrement from pit latrines and septic tanks (Abdullah *et al.* 2020; Anukam and Nyamukamba, 2022; Manzoor *et al.*, 2020; Prasetyo *et al.*, 2022a and b, Setyobudi *et al.* 2021, Somorin, 2020; Susanto *et al.*, 2020) can return to agricultural lands to maintain and increase soil fertility.

## 4. Conclusions

Based on the research on the ability to test drought stress, salinity, and potential IAA (Indole Acetic Acid) on bacterial isolates of dry land annual crops, the results are as follows:

(i) Various types of bacteria are produced from the purification process; the success of this bacterial

suspension is produced from the annual Sonokeeling, Shrubs, and Coconut plants.

- (ii) Bacteria tested for drought and salinity can survive in unfavorable conditions. The high absorbance value at each observation time interval proves that the bacteria can live and have the potential to be used as biological fertilizers or PGPR.
- (iii) The best bacteria that can survive in the saline conditions were B7, B8, B9, B10, while the potential as plant growth hormone bacteria are B6, B7, B8, B9, B10.

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# Epstein-Barr Virus Genotypes and Phylogeny among Cancer Patients in Sana'a City, Yemen

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## Abstract

Epstein-Barr virus (EBV) is a ubiquitous virus that infects more than 90% of the world's population. It is a tumorigenic herpes virus that causes infectious mononucleosis (IM) and has been linked to the development of several malignant tumours such as Burkitt's lymphoma, Hodgkin's lymphoma, Nasopharyngeal carcinoma and Gastric carcinoma. This study was designed to detect EBV DNA and to identify the EBV genotypes and phylogeny among cancer patients' group (Case) and healthy individuals' group (Control) in Sana'a city, Yemen. One hundred subjects were enrolled in the study. Fifty individuals were clinically diagnosed to have cancer. The remaining 50 individuals were healthy controls. Serum IgM antibody against EBV viral capsid antigen (VCA) were tested by an enzyme-linked immunosorbent assay (ELISA).EBV-DNA detection was done using conventional polymerase chain reaction while genotyping and sub-genotyping were performed by Nested polymerase chain reaction of EBNA-2 gene and LMP-1 gene, respectively. Results showed that the prevalence rate of EBV-VCA IgM antibodies among cancer patients was 12% while in healthy individuals was 8%. EBV-DNA positivity were 66.7% (4/6) and 50% (2/4) for cancer patients (cases) and controls, respectively. Also, all EBV-DNA positive cases in both cancer patients and controls were genotype 1 and sub-genotype Med- with a rate of 100% both of them. The results presented genotypes and sub-genotype of EBV circulating in Sana'a city. It is worth mentioning that genotype 1 and Med- strain was first time recorded in Yemen. This study concluded that genotype 1 and Med- strain being predominant in Sana'a city, Yemen. Clinical significance of these finding have not been investigated and shall be evaluated in future studies.

Keywords: anti-EBV-VCA IgM antibodies, Cancer, DNA, Epstein-Barr Virus, PCR, Sana'a city, Yemeni.

### 1. Introduction

Cancer is a major public health problem in developing countries and worldwide with increasing frequency, especial with increased modernization and predisposition to a large number of carcinogenic agents (Alwan, 1997; AL-Nabhi et al., 2017), whereas cancer is a major public health problem in Yemen. AL-Nabhi et al. (2017) mentioned that cancer registry in Yemen is still a big challenge in absence of national cancer surveillance. In 2021, the World Health Organization (WHO) suggested that out of Yemen's population (33,28 million inhabitants), approximately 35,000 Yemeni people currently have cancer, and more than 11,000 are newly diagnosed with the disease every year (O'Neill, 2021; WHO, 2021). The link between virus and cancer was one of the pivotal discoveries in cancer research. Therefore, it is generally agreed that viruses are involved in 10-20-% of all cancers (zur Hausen, 2001; Parkin, 2006).

The Epstein-Barr virus (EBV) is a ubiquitous oncogenic virus (Ayee *et al.*, 2020) initially discovered by electron microscopy within a cultured African Burkitt's

lymphoma (BL) cell line in 1964 (Epstein et al., 1964). EBV, a double-stranded, 170-kbp DNA virus packaged within an icosahedral capsid surrounded by an envelope, belongs to the subfamily gammaherpesvirinae in Herpesviridae family (Peh et al., 2003; Habibian at al., 2018). The viral genome exists in linear form in mature virions and in circular episomal form in latently infected cells, and encodes for more than 85 genes. This virus is ubiquitous, and infects more than 90% of the human population worldwide with a life-long, asymptomatic, latent infection (Peh et al., 2003). EBV is the causative agent of infectious mononucleosis, playing a significant role as a cofactor in the process of tumorigenesis and has consistently been associated with a variety of malignant tumours, including endemic Burkitt's lymphoma, nasopharyngeal carcinoma, certain B and T-cell lymphomas, Hodgkin's disease, gastric carcinomas, and other lymphoproliferative diseases (Mendes et al., 2008). Primary EBV infection usually occurs subclinically during childhood, and thereafter the virus establishes a latent infection of B lymphocytes that persists for life (Habibian at al., 2018). In latency, only small subset of viral genes is expressed, which include the six EBV nuclear proteins:

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EBNA-1, EBNA-2, EBNA-3A, EBNA-3B, EBNA-3C, EBNA-LP, and three latent membrane proteins: LMP-1, LMP-2A, LMP-2B (Santpere *et al.*, 2014).

There are two different EBV genotypes: Type 1 and Type 2, also known as Type A and B, distinguished by

the differences in the EBNA-2 gene, where the rest of the EBV genes differ only by less than 5% in their sequence. The divergence in EBNA-2 reveals only 54% of homology between the two types, facilitating the distinction between each EBV type (Smatti et al., 2018). Two genotypes of the virus, namely genotypes 1 and 2, exist and exhibit variation in geographical distribution. Although EBV genotype 1 is globally distributed, it is predominantly found in American, Chinese, European and South-East Asian (SEA) populations, whereas genotype 2 is predominantly found in Africa (Ayee et al., 2020). The two genotypes also vary in biological properties; EBV genotype 1 is more efficient in immortalizing B cells while genotype 2 has a higher lytic ability (Walling et al., 2003; Saechan et al., 2006). EBV genotypes 1 and 2 can further be subdivided into different virus strains based on the genetic diversity of LMP-1gene, which shows greater degree of polymorphism than most EBV genes (Walling et al., 2003). LMP-1 is a 356-amino acid protein, which consists of a short cytoplasmic N-terminus, six membrane spanning domains, and a long cytoplasmic C- terminal domain (Li and Chang, 2003). LMP1 plays an important role in signal transduction and cell survival (Bouvard et al., 2009). Variants in LMP-1 were classified into 7 main groups: B95-8, Alaskan, China 1, China 2, Mediterranean (Med+) and (Med-), and North Carolina (NC) (Bouvard et al., 2009; Tzellosand Farrell, 2012; Yakovleva et al., 2015). However, new strains were subsequently reported from different origins, including two new strains from Thailand, Southeastern Asia 1 (SEA1), and Southeastern Asia 2 (SEA2), which have unique amino acid substitutions (Saechan et al., 2006; Saechan et al., 2010). Multiple EBV variants could be detected within one individual, which could affect disease induction and prognosis (Walling et al., 2003). For example, a variant LMP-1 gene with 30 bp deletion gene was detected in virus isolated from NPC tumor and was associated with a higher transforming activity compared to the typical prototype LMP-1 (B95-8) (Hu et al., 1991; Blake et al., 2001).

Attempts to develop preventative vaccines against EBV have been largely unsuccessful. Thus, it increases the risk of EBV spread. The vast majority of published studies on EBV prevalence are focused on serological analysis rather than viremia detection (Adjei, et al., 2008; Van-Lant and Knipe, 2009; Suntornlohanakul, et al., 2015; de Paor et al., 2016; Cohen, 2018). Clearly, detection of circulating EBV DNA is a better indication of infection status, which can contribute to improving the level of medical care prevention measures (Kondo, et al., 2004; Lin, et al., 2004). Previous studies from Middle Eastern countries such as Kuwait (Makar et al., 2003), Saudi Arabia (Al-Diab et al., 2003), Jordan (Vasef et al., 2004), the UAE (Al-Salam et al., 2008), Egypt (Audouin et al., 2010), and Syria (Al Moustafa et al., 2016) have investigated EBV and its association with certain diseases such as Hodgkin's lymphoma (ranging from 28% to 87%), but not among other cancer patients and healthy individuals. To the best of our knowledge, no studies have been conducted in

Sana'a, Yemen or Middle East countries concerning EBV detection and genotypes and sub-genotypes identification in neither cancer patients or healthy individuals except in Qatar, where the circulating genotypes and sub-genotypes of EBV in healthy blood donors were determined by Smatti et al. (2017). Nasher (2012) determined the prevalence of the high-risk HPV type 16 and 18 and EVB in some Yemeni patients with oral squamous cell carcinoma. From this point, this study aimed at detection of EBV using ELISA and PCR method and identifying the EBV genotypes and sub-genotypes (strains) circulating within various study groups in Sana'a city, Yemen. Furthermore, this information will enable the health officials in Sana'a city to consider the development of new policies that aim at reducing the burden of communicable diseases related to malignancies.

#### 2. Materials and Methods

#### 2.1. Study Population and Design

This study is a case-control study. Sample size was calculated by Epi info version 7 (CDC, Atlanta, USA). A total number of 100 participants were included in this study. The study was conducted in two groups. The first group consisted of 50 cancer patients, who were clinically diagnosed with Burkitt's lymphoma, Hodgkin's lymphoma, Nasopharyngeal and Gastric carcinoma by a physician and also considered clinically suspected cases of EBV infection, in addition to those who attended the national oncology center in Sana'a city. The second group consisted of 50 healthy individuals from the general population who were considered controls.

# 2.2. Sample Collection

Venous blood (5 mL) was collected from each participate using venous puncture techniques and divided into equal shares. One part (2.5 ml of blood specimen) was added into vacutainer serum tube free from anticoagulant agent and left to dot at room temperature, then the blood was centrifuged for five minutes at 3000 rpm. The gel in the tubes formed a physical barrier between the serum and the red blood cells during centrifugation. Then each serum sample was separated into Eppendorf tube, until performing serological assay. The other 2.5 ml of the blood specimen was added into an EDTA tube and stored as a whole blood sample until performing molecular assay. The sera and whole blood samples were stored at -20°C until performing tests.

#### 2.3. Serological Assay

Sera from all specimens were analyzed for Epstein-Barr virus IgM viral capsid antigen (VCA) using opened system (manual) Enzyme-Linked Immunoassay (ELISA) diagnostic kits provided by (DIA. PRO, Italy). Quality control was performed according to manufactured instructions (DIA. Pro, Italy). According to the information included in the kits insert, the immunoassay used has sensitivity >98% and specificity >98%.

# 2.4. Molecular assay

Molecular identification of Epstein-Barr virus was performed using Conventional Polymerase Chain Reaction (PCR). The EBV IgM positive specimens were used in the molecular assay.

#### 2.4.1. DNA Extraction

Total viral DNA were extracted from 200  $\mu$ l of human whole blood specimens using the *AccuPrep*<sup>®</sup> Genomic DNA Extraction kit (K-3032) (Bioneer, Inc., Korea) according to the manufacturer's instruction. Extracted DNA samples were then stored at -20 °C for further testing.

#### 2.4.2. Primers used in molecular assay

Specific genomic sequences (primers) synthesized by Bioneer, Inc., Korea were used in this investigation to detect EBV DNA, and to identify EBV genotypes and subgenotypes table (1).

**Table (1):** The sequences of EBV virus primers used for EBV detection, genotyping and sub-genotypes during this study (Smatti *et al.*, 2017).

Primer	Primer sequences	Amplicon
type		Size
E2p1	5'-AGGGATGCCTGGACACAAGA-3'	596pb
E2p2	5'-TGGTGCTGCTGGTGGTGGCAA T-3'	-
Ap1	5'- TCTTGATAGGGATCCGCTAGGATA-3'	497pb
Ap2	5'-ACCGTGGTTCTGGACTATCTGGATC-3'	-
Bp1	5'-CATGGTAGCCTTAGGACATA-3'	150pb
Bp2	5'-AGACTTAGTTGATGCCCTAG-3'	
A1	5'-AGTCATAGTAGCTTAGCTGAA-3'	602pb
A2	5'-CCATGGACAACGACACAGT -3'	
B1	5'-AGTCATAGTAGCTTAGCTGAA-3'	587pb
B2	5'- CAGTGATGAACACCACCACG-3'	-

# 2.4.3. EBV DNA detection by PCR

Detection of EBV DNA in all extracted samples were performed by a Conventional PCR using the AccuPower<sup>®</sup>HotStart PCR PreMix kit (K-5050) (Bioneer, Inc., Korea), and primers (E2p1 and E2p2) that have previously been reported (Table, 1). PCR amplification was preformed according to the manufacturer's instructions (Bioneer, Inc., Korea). Briefly, primer solutions were thawed, and genomic DNA was prepared. 4 µl(5Mm/µl) of diluted primers mix was distributed into the each AccuPower®HotStart PCR PreMix tube. 4 µl (100ng/µl) of genomic DNA was added to the individual PCR tube. Distilled water was added to AccuPower®HotStart PCR PreMix tube until the total volume of mixture became 20 µl. The lyophilized blue pellet was dissolved by vortexing and spin-down. The PCR tube was placed in TProfessional TRIO Thermocycler (Biometra Ltd, Germany) and the cycling program was started, whereas PCR reaction cycling conditions involved initial denaturation at 95°C for 5 min, followed by 35 cycles of amplification with denaturation at 95°C for 0.45 s, annealing at 56°C for 0.45 s, extension at 72°C for 0.45 s, and a find extension at 72°C for 5 min. A negative control (without genomic DNA) was used. Afterward, PCR amplified products were separated on 1.5% ethidium bromide-stained agarose gel and visualized using UV light trans illuminator, then a photo was taken by a Sony digital camera.

# 2.4.4. EBV genotyping by nested PCR of the EBNA-2 gene

Extracted samples that showed EBV DNA positive results were used in the genotypes assay. EBV genotyping was performed by nested PCR targeting the EBNA-2 gene as described by Smatti et al. (2017) and Ayee et al. (2020), using specific primers as previously reported (Table, 1), with slight modification to cycling conditions. The first round of the PCR was done by amplifying a common region of EBNA-2 using Apl and Ap2 as sense and antisense primers, respectively. Each PCR reaction mixture of 20 µl contains the following components with the final concentrations: AccuPower®HotStart PCR PreMix (produced by Bioneer, Inc. Korea), 2 µl (5Mm/µl) each of the forward and reverse primers, and 3 µl (100ng/µl ) of genomic DNA. The volume was made up with nuclease-free water. The cycling conditions for the PCR reaction were as follow: initial denaturation at 95°C for 5 min, followed by 35 cycles of amplification with denaturation at 95°C for 0.45 s, annealing at 56°C for 0.45 s, extension at 72°C for 0.45 s, and a find extension at 72°C for 5 min. A second round PCR (nested) was performed using 0.5 µl of the amplicons from the first round as template; all other reaction components were the same as the first-round reaction mixture, except for the primers. A forward primer (Bp1) and reverse primers (Bp2) were used for the second-round amplification. The reaction was carried out at initial denaturation at 95°C for 5 min, followed by 35 cycles of amplification with denaturation at 95°C for 0.45 s, annealing at 56°C for 0.45 s, extension at 72°C for 0.45 s, and a find extension at 72°C for 5 min. Afterward, PCR amplified products were separated on 1.5% ethidium bromide-stained agarose gel and visualized using UV light trans illuminator then a photo was taken by a Sony digital camera. In all experiments, a negative control (without genomic DNA) was used.

# 2.4.5. EBV sub-genotyping by sequencing of LMP-1 gene

EBV sub-genotyping was done using nested PCR targeting the LMP-1 gene as described by Smatti et al. (2017), using specific primers as previously reported (Table, 1), with slight modification to cycling conditions. The first round of the PCR was done by amplifying a common region of LMP-1 using Al and A2 as sense and antisense primers, respectively. Each PCR reaction mixture of 20 µl contains the following components with the final concentrations: AccuPower®HotStart PCR PreMix (produced by Bioneer, Inc. Korea), 2 µl (5Mm/µl) each of the forward and reverse primers, and 4 µl(100ng/ µl )of genomic DNA. The volume was made up with nuclease-free water. The cycling conditions for the PCR reaction were as follow: initial denaturation at 95°C for 5 min, followed by 35 cycles of amplification with denaturation at 95°C for 0.45 s, annealing at 56°C for 0.45 s, extension at 72°C for 0.45 s, and a find extension at 72°C for 5 min. A second round PCR (nested) was performed using 0.5 µl of the amplicons from the first round as template; all other reaction components were the same as the first-round reaction mixture, except for the primers. A forward primer (B1) and reverse primers (B2) were used for the second-round amplification. The reaction was carried out at initial denaturation at 95°C for 5 min, followed by 35 cycles of amplification with denaturation at 95°C for 0.45 s, annealing at 56°C for 0.45 s, extension at 72°C for 0.45 s, and a find extension at 72°C for 5 min. Afterward, PCR amplified products were separated on 1.5% ethidium bromide-stained agarose gel as previously described and visualized using UV light trans illuminator,

then a photo was taken by a Sony digital camera. In all experiments, a negative control (without genomic DNA) was used.

# 2.5. Phylogenetic analysis

LMP-1 PCR products (587 pb) were sent out to Germany at Eurofins Genomics for purification and determination of DNA sequence. Then, CLC Main Workbench 5 was used to run sequence alignments and construct the phylogenetic tree. For sequence homology comparison, sequences were compared to reference sequences representing the seven main EBV strains available in the GenBank database: B95.8 prototype strain (V01555), Med + with 30-bp deletion (AY337721), Med without 30-bp deletion (AY493810), China 1 (AY337723), ina 2 (AY337724), Alaskan (AY337725), and NC strain (AY337726). As described by Lorenzetti et al. (2012) and Smatti et al. (2017). The phylogenetic tree was generated using the neighbor joining method. Bootstrapping and reconstruction were carried out with 100 replicates to obtain the confidence level of the phylogenetic tree.

# 3. Results and Discussion

## 3.1. Seroprevalence of EBV among study groups

Epstein-Barr virus VCA-IgM antibody were detected by Enzyme Linked Immunosorbant Assay (ELISA) test in 6 (12%) of the 50 cancer cases and in 4(8%) of the 50 controls (Table 2). In contrast, Salehi *et al.* (2016) reported that only 12/673 (8%) of blood samples were anti-VCA IgM antibodies positive, while 35% of the case group and 6% of the control group were positive for this antibody. **Table (2):** Seroprevalence of EBV IgM antibody among cancer patients and control groups.

Groups	Seropositive of EBV IgM						
Groups	Number	%					
Cancerpatients (n=50)	6	12					
Control groups (n=50)	4	8					
Total	10	10					

3.2. Molecular detection of EBV among study groups

All seropositive specimens of acute EBV infection (EBV IgM antibody) (n=10) were screened for the present of EBV-DNA using Conventional Polymerase Chain Reaction (PCR) assay. The number of EBV-DNA positive were 66.7% (4/6) and 50% (2/4) for cancer patients (cases) and controls, respectively (Figure 1). In our study, not all samples classified serologically with reactive EBV infection (EBV-IgM positive) were positive by PCR. This could be explained by the cross-reaction with other antigenically related viruses, especially CMV (de Ory et al., 2011and Guerrero-Ramos et al., 2014). Also, serological testing only cannot confirm reactivation status or the exact reactivation time (Maurmann et al., 2003). Therefore, EBV-DNA detection and viral load quantification is used to assist in the diagnosis of EBV reactivation, although discrepancies can be found between PCR and serology.

In the same respect, many studies have detected EBV-DNA in different types of cancer and healthy individuals. In Brazil, 43% (13/30) of the patients with HL had EBV-DNA and 8% (1/13) of healthy individuals were positive for EBV-DNA (Musacchio et al., 2006). In India, EBV-DNA was detected in 49% (16/33) of HL patients and not in controls (Sinha et al., 2016).In Iran, 35/56 (62.5%) of GC patients and 3/56 (5.4%) of controls, were EBV-DNA positive (Amoueian et al., 2018). In Ghana, the number of EBV-DNA positives were 67% (37/55) and 92% (48/53) for NPC patients and controls, respectively (Ayee et al., 2020). The differences in results might be due to:1. the small sample size of the reactivation group (n=10); 2.EBV detection by PCR is highly affected by the specimen used (whole blood versus PBMC versus serum) and the variation in sample types must always be considered when comparing different studies (Smatti et al., 2017); 3. several factors including; the methodology employed (e.g. relative sensitivities and the specificity of the tests used), undefined socioeconomic conditions, the geographic distribution and the immunity disturbance occasionally seen in cancer patients (Musacchio et al., 2006; Nasher, 2012; Sinhal et al., 2016).



**Figure (1):** Electrophoretic pattern of EBV-DNA detection. Lane 1: molecular weight marker- 100 pb (Bioneer, Inc., Korea); lane 2: negative control (without genomic DNA); lane 3,4,5,6,7 and 8: positive samples

# 3.3. EBV Genotypes in cancer patients and controls of the study participants

All positive samples of EBV-DNA detected were tested for EBV genotyping using nested PCR targeting the EBNA2 gene showed 497pb and 150pb fragment which are characteristic of genotypes 1 and 2, respectively.

Results in figure (2), showed that the frequencies of EBV genotype 1 in blood samples of both cancer patients and controls were predominant 100%(6/6), while frequencies of EBV genotype 2 in blood samples were 0% (0/6) in both cancer patient and control samples, whereas genotype 1 is usually more prevalent in Europe, America, China, and South Asia (Hu et al., 1991; Tzellos and Farrell, 2012), compared to genotype 2 that is more prevalent in African and Papua New Guinean populations (Bouvard et al., 2009; Kwok et al., 2015). Our findings are in agreement with the results of two studies in Iran and Malaysia. Only genotype 1 was detected in Iranian HL and NHL samples (Habibian et al., 2018), and in Malaysian NPC, HL and BL patients (Peh et al., 2003). In contrast, other studies reported the prevalence of genotypes 1&2 in several countries. In India, the prevalence of type A, B and both A and B was reported to be 32(45.7%), 2(2.9%) and 1(1.4%), respectively (Janani et al., 2015). However, 37(72.5%) of type 1, 2(3.5%) of type 2 and 4% of both types were reported in Qatar (Smatti et al., 2017). In Brazil,54(71.1%) EBV1, 13(17.1%) EBV 2 and 9(11.8%) EBV 1&2 were reported by Monteiro et al.

(2020). In China, type 1was detected in 59 (72%) of leukemia cases and in 31 (88.6%) of myelodysplastic syndrome (MDS), while type 2 was detected in 7 (8.5%) of



leukemia and in 3 (8.6%) of MDS, and both types 1&2 were detected in 16 (19.5%) of leukemia and 1 (2.8%) of MDS (Wanga et al., 2021).

Figure (2): Electrophoretic pattern of EBV genotype 1. Lane 1: molecular weight marker- 100 pb; lane 2: negative control (without genomic DNA); lane 3,4,5,6,7 and 8: EBV positivity samples of genotypes 1.

## 3.4. EBV sub-genotypes in cancer patients and controls of the study participants

The 6 genotyped samples were further sub-genotyped by sequence analysis of the LMP1 gene C-terminus region. Nested PCR was used to amplify a 602pb and 587pb products as shown in figure (3&4). The second cycle of PCR products (587pb products) were sent out to Germany at Eurofins Genomics for purification and determination sequence DNA. After that, five DNA sequences were obtained from the sent samples, while the determination of the DNA sequence of one sample failed. Generated sequences were aligned in comparison to previously reported EBV strains (prototype B95-8(V01555), Med+(AY337721), Med-(AY493810), China 1(AY337723), China 2(AY337724), Alaskan(AY337725), NC (AY337726) using CLC Main Workbench 5. The phylogenetic tree was generated using the neighbor joining method.

Data in figure (5) showed that all EBV genotype positive cases in both cancer patients and controls were Med- (Mediterranean -) strain with a rate of 100%. In contrast to our results, there are several previous studies that have reported different strains of EBV in many countries including Hong Kong where LMP1-defined strains, China 1, China 2 and Mediterranean+ were reported to be the most common strains observed among infectious mononucleosis (IM) patients and asymptomatic individuals (AS) with primary EBV infection (Kwok et al., 2015). In Qatar, Smatti et al. (2017) revealed the presence of four variants among healthy blood donors including Mediterranean, B95.8, China 1 and North Carolina strains. In China, Wang et al. (2021) reported that four distinct sequence patterns were found in the specimens of patients with leukemia and myelodysplastic syndrome (MDS): B95-8, China 1, China 2, and Med (Mediterranean). These differences in results could be explained according to the suggestion of Gurtsevitch and Smirnova (2021) that direct sequencing of the C-terminal domain of LMP1(showing a high degree of heterogeneity compared to other EBV genes) in biological materials (blood, saliva, and tumor tissue) of cancer patients and healthy individuals from different geographic regions mismatched LMP1variants.

To the best of our knowledge, this study is the first which determined the genotype and the sub-genotype of EBV in Sana'a city, Yemen and provides a baseline information on the prevalence and co-infection patterns of the genotypes and sub-genotype among four types of cancer patients and healthy individuals in Sana'a city, Yemen and Middle East countries.



Figure (3): Electrophoretic pattern of EBV sub-genotype. Lane 1: molecular weight marker- 100 pb; lane 2: negative control (without genomic DNA); lane 3,4,5,6,7 and 8: EBV genotyped samples tested of sub-genotypes with amplicon of 602pb.

5 4

7

6



Figure (4): Electrophoretic pattern of EBV sub-genotype. Lane 1: molecular weight marker- 100 pb; lane 2: negative control (without genomic DNA); lane 3,4,5,6,7 and 8: EBV genotyped samples tested of sub-genotypes with amplicon of 587pb.



Figure (5): Phylogenetic tree of the C-terminus of LMP-1.

## 4. Conclusion

This is the first report for the predominance of EBV genotype 1, and sub-genotype Med- strain in the studied Yemeni population. Identification of the virulent EBV genotype 1 in Yemen indicates a possible risk factor in the development of cancer in Yemeni patients.

### 5. Ethical approval

Ethics statement of the study a known ledged distinctive consent forms the Committee of Biological Sciences Department, Faculty of Science, Sana'a University and from the National Oncology Center of sample collection in Sana'a city, Yemen.

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## **Conflict of interest**

The authors verify having no interest in competition and no conflict of interests.

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# Leaf Blade Growth and Development in Red, Pink, and Yellow Petiole Cultivars of the Swiss Chards Grown in Floating Culture System

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# Abstract

Adaptability of the Swiss chard to the tropical climate opens opportunity to grow this leafy vegetable all year around. Colorful petiole and leaf blade of this vegetable have attracted urban community to grow them. Objectives of this research were to study the morphological and growth characteristics of the Swiss chard, especially leaf growth rate, time to reach full size, and non-destructive measurement of the leaf area. The Swiss chards used were red, pink, and yellow petiole cultivars. The plants were cultivated using the floating culture system. Relative leaf elongation and widening rates were higher at early leaf development, i.e. soon after the leaf blade was unfolded. Then, the rates were gradually declined and completely halted at about 12 days. In this study, combination of the zero-intercept linear model and the length × width (LW) as predictor were accurate for estimation leaf area (LA) of the red (R<sup>2</sup>=0.981), pink (R<sup>2</sup>=0.975), and yellow (R<sup>2</sup>=0.980) petiole cultivars. The non-destructive measurement on selected morphological and/or weight traits should be useful for continuously monitoring of leaf growth, predicting yield at any time during plant growth, and determining the time to harvest.

Keywords: continuous monitoring, time to harvest, non-destructive measurement, yield prediction, zero-intercept regression.

## 1. Introduction

The western part of the Indonesian archipelago is classified as the tropical rain forest climate zone and characterized by high annual rainfall. Waterlogging occurs periodically in lowlands and coastal areas during rainy season. Floating culture is a reliable solution for avoiding the vegetables from submergence during the rainy season (Jaya et al., 2021; Siaga et al., 2018).

Swiss chard is a suitable leafy vegetable for cultivation during cool summer in the temperate climate zone, yet this vegetable can also tolerate the heat and humid tropical climate as long as water is available for maintaining soil moisture. Swiss chard is also grown well under full sunlight or partial shade. In the tropics, this vegetable is potentially grown all-year around. Leaf is obviously the most valuable organ in leafy vegetable.

Leaf has some specific roles, including capturing sunlight, absorbing  $CO_2$ , controlling inner temperature, and synthesizing carbohydrate. Leaf is also a good source of vitamins, minerals, fibers, pigments, antioxidants, and other beneficial substances for human health. Leaves also very significantly contribute to quality of environment. Furthermore, vibrant colors of leaf blade and petiole, such as in Swiss chard plant, also contribute to aesthetic value in urban living space.

Studies have focused on leaf from many different perspectives has aroused (Katifori, 2018; Smithers *et al.*, 2019). Vanhaeren *et al.* (2015) explained that leaf emerged as a group of cells at the shoot apical meristem, then developed into planar, a complicated organ through different interrelated cellular events. Leaf development is driven by cell proliferation and cell expansion. However, active suppression on leaf meristem decelerated and, at the end, halted leaf growth (Alvarez *et al.*, 2016)

Leaf shape and size were controlled by multiple genes. Modern technology helped to identify numerous genes that contributed to the final size of leaves and unraveled the complex cellular and molecular mechanisms that underlie leaf growth. Numerous genes have been identified to play significant role in inducing cell proliferation and/or size during leaf growth (Vercruysse *et al.*, 2020).

The problem in the cultivation of leafy vegetables, such as Swiss chard, is the difficulty in determining the right time for harvesting in order to get an optimal quantity of yield and excellent quality of vegetables, namely the leaves are not too old at time of harvest. It was hypothesized that the Swiss chard leaf enlargement follows the Sigmoid curve and takes less than 10 days to reach the optimal leaf size.

Objectives of this research were to compare amongst Swiss chard with different petiole colors on their leaf morphological and growth characteristics, including probable changes in two-directional leaf growth, patterns

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of midrib elongation and blade widening in growing leaf, number of days for individual leaf to reach its full size, development of accurate and reliable model for nondestructive leaf area estimation, and monitoring daily growth of Swiss chard leaves.

#### 2. Materials and Methods

The research was conducted during dry season (31° C and RH  $\pm$  51%) in tropical lowland climate at the Jakabaring Outdoor Research Facilities, Palembang, Indonesia. Planting material used was seeds of three Swiss chard cultivars with different petiole colors, i.e. red, pink, and yellow (Figure 1). The seeds were soaked in warm water (30°C) for 15 minutes for enhancing imbibition process, then sown in seedling trays filled soil-chicken manure mixture (2:1 v/v).

The seedlings with two true leaves were transplanted to plastic pot at 14 days after sowing (DAS). The size of pot was 27.5 cm in inner-upper diameter and 19.8 cm in innerlower diameter. The pots were loaded with the soil-manure mix similar to those used in nursery up to 20 cm in height. Prior to transplanting, the mix was pre-treated with biosterilant at dose of 2 gram/liter. Each pot was applied with 200 ml bio-sterilant solution. Nutrient was applied at nonflooded, well-aerated, upper layer of the substrate within each pot.

A floating cultivation system was conducted in an experimental pond. Three cultivation rafts were used. The raft dimensions were 2.0 m (length)  $\times$  1.0 m (width)  $\times$ 0.078 m (height); constructed using 66 units of 1500 mL polyethylene terephthalate (PET) bottles as the floater. The water-substrate interface (WSI) was adjusted to 1.5 cm depth. The WSI is the thin (1-2 cm) water-saturated layer at bottom part of growing substrate which maintaining physical connection between the substrate and water surface. The pots were placed on the raft. The raft was submerged in water such that 1-2 cm growing substrate within the pots were always in contact with water surface. Each pot has 4 holes at the bottom. Substrate moisture is relatively stable between 15% to 20% due to the upward movement of water through the bottom 4 holes of the pot driven by the capillarity force.

Split plot experimental design was used. Three cultivation systems were assigned as the main plot, i.e., floating bottom-wet, and conventional. On each raft in floating culture consisted of 3 cultivars of Swiss chards were assigned as the subplots, 3 replications in each cultivar, and 2 pots in each replication. In total, 18 pots were placed on each raft in floating culture system.

# 2.1. Data collection

Length of leaf midrib (L) and width of leaf blade (W) were daily measured for 16 consecutive days and used as predictors for non-destructive estimation of LA. Measurement begins when the leaves begin to be unfolded on each individual leaf.

Leaf blade discontinued to enlarge in less than 2 weeks. Multiplication of the L×W was used as a secondary predictor for the LA. Accuracy of the LA estimation model was based on value of the coefficient of determination  $(R^2)$ . The developed model was validated by comparing

estimated value of LA and direct measurement of LA using a digital image analysis software LIA32, designed by Kazukiyo Yamamoto, Nagoya University, Japan.



Figure 1. Swiss chard with red, pink, and yellow petioles

#### 2.2. Data analysis

Various types of regression models and predictors had been evaluated, and it was found that there were 3 most accurate models for leaf area estimation, namely: (a) the zero-intercept linear model uses the length  $\times$  width of the leaf blade as a predictor; (b) the power and (c) the polynomial models if the length or width of the leaf blade is used as a predictor (Lakitan *et al.*, 2017; 2018a; 2021). Therefore, in this study, the LA estimation was conducted using the zero-intercept linear, quadratic, and power regressions. The three regressions were intentionally chosen based on their performances in the previous studies.

Predictors used were L, W, and L×W. Curves of the relative leaf elongation, the relative leaf widening, and the leaf area enlargement were fitted using polynomial order-3 regression for mimicking the S-curve or reversed S-curve. Other secondary parameters were also calculated, including absolute leaf elongation rate (LER), absolute leaf widening rate (LWR), relative LER, relative LWR, length/width (L/W) ratio, average days to full leaf size (DFS), and non-destructive LA estimation. Calculations of the growth analysis formula were based on Hunt (2012).

# 3. Results

Leaf blade growth is three directional, i.e. length, width, and thickness; however, leaf thickened did not significantly contribute to light capturing activity, CO2 uptake, transpiration, and heat exchange. Thickness does not contribute significantly (<1%) to the total surface area of the leaves, except for succulent plants. The stomata are also never on the thickness edge of the leaf blade. Instead, the main leaf area is determined by length and width of the blade. Therefore, the two directional growth of midrib elongation and blade widening are the focus in this study (Figure 2). Midrib elongation rate of the yellow-petiole chard was significantly faster (7.69 mm/d) than those of red- and pink-petiole chard. The elongation rates of redand pink-petiole chard were slightly more than 6 mm/d. The blade widening rates in all three cultivars of Swiss chard were almost similar at around 3.3 mm/d. Higher daily midrib elongation rate in yellow-petiole chard morphologically shaped its leaf to a slimer leaf blade compared to the other two cultivars of Swiss chard.

Ratio of length/width reflects shape of the leaf. The higher ratio value indicates a slimer leaf shape. Most of leaves have ratio of length/width higher than 1. Leaf can change its shape through a gradual process. In Swiss chard leaf, the ratios were 3.26 (red), 3.27 (pink), and 3.66 (yellow) at the early half of their development, then decreased to 2.08, 2.07, and 2.53, respectively, at the rest half, until the leaves stopped expanding. The leaves transformed into a more rounded shape as they got older. Midrib elongation and blade widening rates of individual leaf in all three cultivars of Swiss chards were relatively consistent (Figure 3).

Days required to reach full size leaf were significantly different between Swiss chard plants with red and yellow petiole colors. The fastest relative elongation and widening rates occurred at the first day after the leaf blade unfolded (Table 1). This phenomenon was mainly associated with rapid cell multiplication in the young leaves. Midrib length on the first measurement was less than 5 mm.

Relative leaf elongation and widening rates were very high at early growth in all Swiss chard cultivars studied but then gradually declined and completely halted at age less than 2 weeks (Figure 4). Knowledge on when the leaf blade stops to expand is beneficial for vegetable farmers, since this knowledge can be used as base of decision on the best time to harvest the specified leaf. Furthermore, farmers can develop their schedule for leaf harvesting of Swiss chard plants if the frequency of new leaf developed has also been known.



**Figure 2.** The two-directional growth of the leaf and leaf/width ratio in red-, pink-, and yellow-petiole Swiss chards. Solid bar is for leaf length and striped bar is for leaf width. Means followed by different letter was significantly different at LSD<sub>0.05</sub>. The data in the table is used to show the magnitude of the difference.



Figure 3. Elongation of midrib (A, C, and E) and widening of blade (B, D, and F) of individual leaf in Swiss chard with red (A-B), pink (C-D) and yellow (E-F) petiole colors. Each line represents the monitored individual leaf.

	Growth characteristics	Petiole color									
	Slowin characteristics	Red	Pink	Yellow							
1.	Average number of days to reach full size leaf (d) $^{a}$	11.60 <u>+</u> 0.36 a	12.26 <u>+</u> 0.56 b	12.00 <u>+</u> 0.59 ab							
2.	Fastest relative elongation rate (mm/mm/d) b	2.697	2.358	2.045							
3.	Fastest relative widening rate (mm/mm/d) <sup>b</sup>	5.514	4.786	4.116							

Table 1. Some leaf growth characteristics in red-, pink-, and yellow-petiole Swiss chard

<sup>a</sup> Count was started at the first day since leaf blade unfolded. Means followed by different letter was significantly different at LSD<sub>0.05</sub>. <sup>b</sup> Predicted value using polynomial order-3 regression at the  $R^2 > 0.95$  for both elongation and widening.



Figure 4. Elongation rate of individual leaf (A, C, and E) and declining trend of relative elongation rate (B, D, and F) in Swiss chard plants with red (A-B), pink (C-D), and yellow (E-F) petioles.

Leaf elongation and widening were halted at the same day. The slopy declining patterns of the midrib elongation were relatively similar to that of leaf blade widening, although the values of the two rates were different (Figure 5).

Leaf elongation and widening were halted at the same day. The slopy declining patterns of the midrib elongation were relatively similar to that of leaf blade widening, although the values of the two rates were different (Figure 5).

Leaf area estimation model is a prerequisite before the leaf expansion rate (LER) can be calculated based on LA measured non-destructively on each individual leaf. Most of the cases for the regular non-compound leaf, LA can be accurately estimated ( $R^2 > 0.95$ ) using the zero intercept

linear regression and L×W as predictor. Results in Figure 6 confirmed that combination of the chosen regression model and the selected predictor were accurate in estimating LA of all three Swiss chard cultivars, i.e. red-, pink-, and yellow-petiole cultivars.

Flattened S-curve was barely recognized in LA increased during 16-day period starting after the young leaf was naturally unfolded (Figure 7). LA of the yellow-petiole cultivar was much higher than those of red- and pink-petiole cultivars. Average calculated-LA of red-, pink, and yellow-petiole cultivars were 825.16 cm<sup>2</sup>, 941.10 cm<sup>2</sup>, and 1252.65 cm<sup>2</sup>, respectively. Variability in leaf size increased as the plants grew older.



Figure 5. Widening rate of individual leaf (A, C, and E) and declining trend of relative widening rate (B, D, and F) in Swiss chard plants with red (A-B), pink (C-D), and yellow (E-F) petioles.



Figure 6. Leaf area estimation using  $L \times W$  as predictor and the zero-intercept linear regression as selected model for Swiss chards with red (A), pink (B) and yellow (C) petioles.



Figure 7. Leaf area of individual leaves (A, C, E) and average leaf area of Swiss chard (B, D, F) in red (A,B), pink (C, D) and yellow (E, F) petiole cultivars.

#### 4. Discussion

# 4.1. Number of days to reach the maximum leaf size

Most studies related to leaf enlargement do not consider that the process takes place in two directions, which is formed from a combination of increasing the length and width of the leaves. In this study, differences due to lengthening were distinguished by the influence due to widening, because the ratio of length/width indicated whether the shape of the leaves was static or changed during the leaf enlargement process.

Nelissen *et al.* (2016) reported that leaf growth was temporally regulated with cell division ceasing earlier at the tip and continuing longer at the base of the leaf which may altered leaf shape. Leaf growth was enhanced by self-regulating pathways but affected by increase in cell number and growing conditions. The final size of leaf was closely controlled by environmental and genetic factors (Gonzalez *et al.*, 2010). The growth rate started to decline soon after the division zone regressed. Despite leaf size differences at time of unfolding, the leaves of common bean (*Phaseolus vulgaris*) attained their full size in approximately 9 days (Lakitan *et al.*, 2018a).

# 4.2. Leaf growth rate

Leaf growth was fluctuated during each 24-hour period. Relative leaf expansion rate (RLER) at night was significantly higher than daytime RLER in bean (Lakitan *et al.*, 2018a) and tomatoes (Meihana *et al.*, 2017). Higher nocturnal RLER was associated with higher leaf water content due to lower transpiration rate. Daytime leaf elongation rate (LER) was mainly temperature-dependent. LER at night was influenced by temperature and relative humidity (Stuerz and Asch, 2021). Leaf widening revealed a strong sensitivity to plant intercepted light and weak reaction to evaporative demand. Leaf elongation was receptive to evaporative demand and was not affected by the intercepted light (Lacube *et al.*, 2017). Scoffoni *et al.* (2016) found the core for synchronization of hydraulic and photosynthetic physiology. Their reliance on climate confirms the function of water transport in photosynthetic activities.

Smithers *et al.* (2019) argued that plant growth was a highly mechanical process. Mathematics could provide an underlying framework for probing the fundamental unrevealed mechanisms and concluded that interdisciplinary collaborations were vital for tackling the challenge to understand plant growth mechanics. A similar argument was brought up by Katifori (2018) that the moves towards unraveling the problems of plant physiology and ecology could only be accomplished with collaboration amongst scientists in biology, computer science and physics.

Armon *et al.* (2021) identified that a growing leaf was a prototypical active solid and the cells were its active units. During the out-of-equilibrium process of cellular growth, the leaf increased its area, yet maintained a proper flat shape. Moreover, leaf growth strongly fluctuated in time and position. Growth isotropy did not homogenize in time. Mechanical analysis indicated that a leaf could stay flat

only if the fluctuations were regulated. How this was achieved in the lack of a central control was unknown.

# 4.3. Leaf shape

Leaf shape is only a common concern in plant species identification and generally leaf shape is assumed to be static, although in fact in some types of plants the shape of the leaves sometimes changes during the leaf development process. In this study, leaf shape in Swiss chard did not change during leaf development. In agronomic and physiological studies, leaf deformation rarely receives attention.

Li *et al.*, (2020) observed that there was significant spatial and temporal intraspecific variation in leaf size and shape in response to climate. Leaf size changing over time was positively correlated with precipitation, whereas leaf shape changes were mostly correlated with temperature. Quantitative models apprehending genetic diversity and environmental plasticity required understanding on the evolution and development of the leaf shape and its response to environmental stresses (Chitwood and Sinha, 2016).

Odiyi and Eniola (2015) reported that acid rain caused leaf morphological alterations, including leaf folding. Kumar and Pandey (2017) reminded that the high levels of UV-B radiation augmented total leaf abnormality. However, these changes might be temporal. Mahdid et al. (2021) described that leaf growth was recovered after termination of an abiotic stresses. The recovery rate was linked to the propensity of osmotic adjustment in the elongation zone. Furthermore, Qi *et al.* (2017) argued that mechanical heterogeneity of the cell wall within tissue might underlie organ shape asymmetry which might include alteration of the leaf shape.

## 4.4. Leaf area estimation

Estimation of LA is commonly done for further use in non-destructive continuous data collection for calculating absolute and relative leaf expansion rate respectively and other LA-based secondary morphological traits, including continuous measurement of specific leaf area, specific leaf weight. LA estimation was using length of midrib (L), width of leaf blade (W), or L×W as predictor. Several regression models had been evaluated for their accuracy in estimating LA. For single regular-shape leaf, such as Swiss chard leaf, the zero-intercept linear regression has been proven to be a very accurate LA estimator if L×W is used as predictor (Lakitan *et al.*, 2018b; Widuri *et al.*, 2017). Result of this study confirmed that combination of the zero-intercept linear regression and L×W as predictor was an accurate LA estimation.

# 5. Conclusion

Leaf is the most important organ in leafy vegetable, including Swiss chard; therefore, leaf growth rate and reliable information on how long it takes for a leaf to reach its full size are beneficial for farmers on deciding the best time to harvest the leaf. Monitoring leaf growth rate should be non-destructively done. Length of midrib, width of leaf blade, and combination of these two morphological traits (L×W) can be used as reliable predictors for continuous leaf area (LA) estimation. For single-blade and regularshape Swiss chard leaves, highly accurate estimation model was achieved by using the zero intercept linear regression and L×W as the predictor. The slopes were 0.695, 0.679, and 0.667 for red, pink, and yellow petiole cultivars, respectively. Based on the estimated LA, it was found that the yellow-petiole cultivar grew significantly faster than both red and pink cultivars. However, the leaves of all cultivars reached their full size at around 12 days after the tiny young leaf blade was unfolded. It is recommended that Swiss chard leaves should be regularly harvested within 12 days after the unfolded immature leaf blade has been recognizable, i.e. at midrib length of about 1 cm.

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# Effects of Dietary Lysine for River Catfish Juveniles on Protein Digestibility and Body Composition

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# Abstract

The success of intensive aquaculture for river catfish juveniles (*Pangasius hypophthalmus* Sauvage, 1878) is determined by the availability of good quality feed. Good quality feed does not only contain protein required by the fish; it should also contain essential amino acids to support fish growth. Lysine is a constraint of amino acids in the formulation diet due to the characteristics of the plant-based protein with lysine deficiency. This study was conducted to determine the dietary effects of lysine river catfish juveniles on protein digestibility, body composition, and relative growth rate. The river catfish juveniles used in the study had a mean weight of 8.21 g  $\pm$  0.32 g fish<sup>-1</sup>. The test feed contained 37.1 % and 19.76 MJ kg<sup>-1</sup>. Does of lysine in the feed were added in an increase of 0.25 g 100 g<sup>-1</sup> [1.48 g; 1.73 g; 1.98 g; 2.23 g; 2.48 g, and 2.67 g] 100 g<sup>-1</sup> dry diet]]. The results showed that a lysine-added diet with the dose of 2.23 % 100 g<sup>-1</sup> dry diet generated the highest values of protein digestibility (ADCp), relative growth rate (RGR), and fish body composition compared to other treatments. The optimal dose of lysine in feed for protein digestibility and relative growth rate of river catfish juveniles were 2.22 % to 2.24 % dry diet.

**Keywords:** Amino acid essential, Diet formulation, Fish growth, Fish nutrition, Intensive aquaculture, Lysine deficiency, *Pangasius hypophthalmus* (Sauvage, 1878), Quality of feed

#### 1. Introduction

The availability of high-quality feed determines the success of intensive aquaculture. The excellent quality of diet formulation of feed does not only contain protein required by the fish; it should also contain essential amino acids to support fish growth. Ten types of amino acids are necessary for the feed to help maximum growth (Setyobudi et al., 2021; Yun et al., 2016). Lysine is a constraint of amino acids in the diet formulation of feed due to the characteristics of the plant-based protein that has lysine deficiency (Xie et al., 2012a). Lysine is one of the amino acids the fish highly require since it is discovered in high concentration in the carcasses of most species (NRC, 2011). For example, adding 1.93 % lysine from the total feed could increase the growth of orangespotted rabbitfish (Siganus guttatus Bloch, 1787) (Nhu et al., 2022). Furuya et al. (2012) also indicated that adding 1 % lysine from the total feed can increase the efficiency of protein utilization by Nile tilapia (Oreochromis niloticus Linnaeus, 1758), which is followed by an increase in growth rate. Lysine is needed to support growth, normal physiological function, and protein synthesis. Lysine is a limiting amino acid for river catfish

(*Pangasius hypophthalmus* Sauvage, 1878) species, and if feed diets are formulated to meet the minimum lysine requirement, all other amino acids should be in excess (Yun *et al.*, 2016). Moreover, metionin lysine has a role in carnitine synthesis, transporting fat acid to create energy through oxidation reactions (Furuya *et al.* (2012).

Lysine deficiency in the fish causes slow growth, not maximal protein utilization, and losing appetite (Nguyen and Davis, 2016). Excess lysine in the feed also causes low growth (Yang et al., 2011). The lysine deficiency due to using a plant-based diet can be compensated for by adding lysine to the feed (NRC, 2011). The addition of lysine to the diet can increase fish weight (Khan and Abidi, 2011). The additional lysine in the diet also reduces lipid content in the fish (Nguyen and Davis, 2016), increases protein retention, and metabolizes mass growth (Cao et. al., 2012). Some studies have been done on the lysine needs of some fish species, such as Oncorhynchus mykiss Walbaum, 1792 (Yun et al., 2016), Heteropneustes fossilis Bloch, 1794 (Farhat and Khan, 2013), Ictalurus punctatus Rafinesque, 1818 and Oreochromis niloticus Linnaeus, 1758 (Nguyen and Davis, 2016), Oreochromis niloticus Linnaeus, 1758 (Ovie and Eze, 2013), Siganus guttatus Bloch, 1787 (Nhu et al., 2022), Trachinotus blochii Lacépède, 1801 (Ebeneezara et

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*al.*, 2019), *Pseudosciaena crocea* Richardson, 1846 (Xie *et al.*, 2012a).

The river catfish (P. hypophthalmus) is a freshwater cultivated fish that is highly preferred and consumed in Indonesia. The river catfish is one of the original fish in Indonesian waters that have been successfully domesticated, as superior fish and economical; besides, the cultivation development is quite prospective. Support for developing this fish is quite easy to access – farming areas, farmers, cultivation technology, even markets. For catfish cultivation, the environment needed is not complicated because catfish is included in the class of catfish that can survive in bad aquatic environments such as circumstances with lack of oxygen (Rachmawati and Prihantoro, 2019). The taste of river catfish meat is unique, tasty, and delicious. These characteristics make people like the Catfish (Rachmawati and Prihantoro, 2019). The need for protein for the growth of Catfish juveniles is 37.12 %, as reported by Jayant et al. (2018); however, there are still few studies on the optimal requirement of lysine for Catfish juveniles that justify studying the matter.

# 2. Materials and Methods

# 2.1. Research design and test fish

The study method used an experimental design conducted at the Laboratory of Freshwater Hatchery and Aquaculture of Muntilan Subdistrict, Magelang District, Central Java, Indonesia, from April to June 2021. Test fish was obtained from the laboratory. Adaptation for the fish on cultivation media and feed was made 1 wk before the study was conducted. Fish were acclimatized and reared in fiber containers with the dimension of  $1.50 \text{ m} \times 1 \text{ m} \times 1 \text{ m}$  filled with 600 L water. During adaptation, amino acid lysine-free feed was administered. One day prior to the experiment, the fish fasted to remove any metabolic waste. Test fish were healthy with homogenous size, normal organs, and pathogen-free (Rachmawati *et al.*, 2017).

The containers used in this study were 18 aquariums. The study consisted of six treatments, and each treatment was replicated three times. The fish (weight of 8.21 g  $\pm$  0.32 g fish<sup>-1</sup>) was reared in the aquariums with the dimension of 100 cm  $\times$  80 cm  $\times$  80 cm equipped 20 fish stocked recirculation systems each. The test diet was given at the fixed rate of 5 % of the mass weight three times a day (07.00, 12.00, and 17.00). The study was conducted for 49 d. Each fish was weighed on weekly basis to determine the growth.

### 2.2. Test feed

The lysine used was L-lysine HCI which is produced by PT. Cheiljedang, Indonesia. Test feed consisted of isonitrogenous feed (37.1 %) and isoenergetic feed (19.76 MJ kg<sup>-1</sup>) based on the study of Jayant *et al.* (2018). Doses of lysine in the feed were added an increase of 0.25 g 100 g<sup>-1</sup> (1.48 g; 1.73 g; 1.98 g; 2.23 g; 2.48 g and 2.67 g) 100 g<sup>-1</sup> dry diet, equals 3.99 %, 4.66 %, 5.34 %, 6.01 %, 6.68 % and 7.19 % protein diet. The ratio of casein-gelatin (4:1) accounted for the minimum of amino acids, and other maximum amino acids were maintained to increase the isonitrogenous diet as lysine increased but by sacrificing non-essential amino acid, glycine, to decrease. Crystalized lysine amino acid was weighed according to the treatments and stirred in hot water (Hotplate Stirrer Ika Hs-7, Germany) at 80 °C. In a separate container, the gelatine was diluted in the water and constantly stirred, then mixed with the amino acid solution (Xie *et al.*, 2012b). Diet ingredients were mixed with amino acid and gelatine solution and added with NaOH 6 N to reach pH 7.0 (Ebeneezar *et al.*, 2019). After the diet mixture had been ready, the mixture was formed as pellets with a size of 4.2 mm (MKS-PLT10, Indonesia) and dried in the oven (Memmert, UF30Plus Universal, Italy) at 70 °C for 3 h. After the pellets had been dried, the pellets were saved in cold storage (Gea AB2226R, China) at 4 °C until the pellets were ready to be used. Formulation of test feed used for determining the dietary lysine content of juvenile Catfish was shown in Table 1.

<b>Table 1.</b> Formulation of tests feed used for Cathsin juveni	Га	ſ	٢a	a	b	l	e	j	1.		Fo	or	m	ıu	ıl	at	i	or	1	of	Ē	te	st	s	fe	ec	1	use	ed	f	or	C	la	tf	is	h	įυ	ıv	er	nil	6	2		5
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Ingredients	Diets													
(g 100 g <sup>-1</sup> , dry diet)	<b>P</b> <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>	P <sub>6</sub>								
Casein <sup>a</sup>	20	20	20	20	20	20								
Gelatin <sup>b</sup>	5	5	5	5	5	5								
Amino acid mix <sup>c</sup>	29.95	29.70	29.45	29.20	28.95	28.70								
Dextrin	21.15	19.24	19.24	19.24	19.24	19.24								
Corn oil	4	4	4	4	4	4								
Cod liver oil	3	3	3	3	3	3								
Mineral mix <sup>d</sup>	3	3	3	3	3	3								
Vitamin mix <sup>e</sup>	4	4	4	4	4	4								
Carboxymethyl cellulose	10	10	10	10	10	10								
α- cellulose	0.06	0.06	0.06	0.06	0.06	0.06								
Lysine	1.25	1.5	1.75	2.0	2.25	2.5								
$Cr_2O_3$	0.5	0.5	0.5	0.5	0.5	0.5								
Total	100	100	100	100	100	100								
Analyzed lysine	1.48	1.73	1.98	2.23	2.48	2.67								
Proximate composit	ion (*)													
Crude protein (%)	36.85	37.13	36.76	37.12	37.05	37.08								
Crude Lipid (%)	7.43	7.46	7.45	7.51	7.25	7.45								
Ash (%)	8.33	8.30	8.32	8.28	8.36	8.27								
Gross energy (kcal														
100 g <sup>-1</sup> , dry diet)	471.87	471.89	471.88	471.87	471.87	471.88								
<sup>a</sup> Crude protein	(76 %)													

<sup>b</sup>Crude protein (96 %)

crude protein (90 %)

<sup>c</sup>Amino acid mixture (g 100 g<sup>-1</sup>) tryptophan 0.45; alanine 1.23; methionine 1.03; valine 1.67; proline 0.952; tyrosine 0.94; aspartie acid 0.09; cystine 0.85; arginine 1.20; glulamic acid 0.08; histidine 0.37; serine 0.06; isoleucine 2.15; leucine 1.89; threoinne 1.02; phenylalanine 1.55; lysine variable; glycine variable.

<sup>d</sup>Mineral mixture (g 100 g<sup>-1</sup>) magnesium sulphate 13.20; potassium iodide 0.015; zinc sulphated  $7H_2O$  0.40; sodium chloride 4.35; magnus sulphated  $H_2O$  0.080; calcium biphosphate 13.57; calcium lactate 32.69; potassium phosphate 23.98; alumunium chloride  $6H_2O$  0.0154; cuprous chloride 0.010; cobalt chloride  $6H_2O$  0.10; sodium biphosphate 8.72; ferric citrate 2.97 (Xie *et al.*, 2012b).

<sup>e</sup>Vitamin mixture diluted in choline chloride 0.5 g 100 g<sup>-1</sup>; pyridoxine hydrochloride 0.005; vitamin B12 0.00001; alpha cellulose 3 g 100 g<sup>-1</sup>; biotin 0.0005; vitamin E as  $\alpha$ -tocopherol 0.04; thiamin hydrochloride 0.005; ascorbic
acid 0.10; niacin 0.075; calcium pantothenate 0.05; riboflavin 0.02; menadione 0.004; folic acid 0.0015; inositol 0.20 (Loba Chemic India)

<sup>\*)</sup> Animal Nutrient Laboratory, Faculty of Husbandry and Agriculture, Diponegoro University (2021)

#### 2.3. Proximate analysis

Based on the method of Latimer (2019 and Setyobudi *et al.* (2018), AOAC proximate analysis was used to analyze diet and carcasses of the fish. Ash content was attained by incinerating the sample fish in the furnace (HPE, Indonesia) at a temperature of 550 °C for 24 h. The cooled and stable ash results were then weighed so that the formula can calculate the total ash content:

Ash (%) = 
$$\frac{W_1 - W_2}{W} \times 100 \%$$
 (1)

W = Sample weight before turn to ashes (g)

 $W_1 =$  Sample weight + porcelain dish after turn to ashes(g)

 $W_2$  = Weight of an empty porcelain dish (g)

The fat level was measured with the eter extraction method based on the Soxhlet (FOSS Soxtec 2043, Denmark) method. Samples with known constant weight were put into Soxhlet extracted using hexane or petroleum ether. After extraction, the sample was removed from the Soxhlet and dried.

Crude lipid (%) = 
$$\frac{\text{Initial weight (g)} - \text{Final weight (g)}}{\text{Final Sample weight (g)}} \times 100\%$$
 (2)

Protein content was measured with a semi-automatic Kjeldahl system (FOSS Kjeltec 2300, Denmark). Samples (2 g) were digested in the digestion unit for 45 minutes. The digester was then distilled in a distillation unit (Kjeldahl system, Indonesia). It was titrated with 0.2 N Hydrochloric acid HCL, and crude protein was obtained by multiplying the total nitrogen by a conversion factor of 6.25 (Setyobudi *et al.* 2021, Tonda *et al.*, 2022)

Crude protein (%) = 
$$\frac{\text{mL titration} \times N \times 14,007 \times 6.25 \times 100 \%}{\text{Weight the sample (g)} \times 1000}$$
(3)

#### 2.4. Protein digestibility analysis

The indirect method of adding Cr<sub>2</sub>O<sub>3</sub> 0.5 % in the diet to measure protein digestibility was employed (Pérez-Jiménez et al., 2014). Before the feces of the fish were collected, the fish was acclimated to the diet containing chromium for 1 wk. After the 8th day, the feces were collected for 49 d every morning, noon, and afternoon after the fish was fed. The collection process was performed 2 h after feeding - a small plastic hose of which tip was attached to a wooden stick for easy moving was used for the purpose, and the feces were put in a bucket. Then the feces were filtered with a plankton cloth net; the filtered feces were placed in small plastic bottles and stored in cold storage. Before the feces were analyzed, they were dried in the oven (Memmert, UF30Plus Universal, Italy) at 6 °C for 24 h. After that, protein and  $Cr_2O_3$  content in the feces were analyzed using a

spectrophotometer (SSA 320N, Denmark) with a wavelength of 350 nm (Pérez-Jiménez *et al.*, 2014).

#### 2.5. Water quality

Observation of water quality, including temperature, pH, and dissolved oxygen, was conducted every day during the study. Meanwhile, the observations of ammonia-nitrogen (NH<sub>3</sub>-N), nitrite-nitrogen (NO<sub>2</sub>-N), and nitrate-nitrogen (NO<sub>3</sub>-N) were done on the first and final day of the study. The quality of water was still in accordance condition of Catfish aquaculture. Those values for the temperature ranged from 26.4 °C to 30.5 °C; pH ranged 7.3 to 8.5; dissolved oxygen (DO) ranged from (4.6 to 6.8) mg L<sup>-1</sup>; ammonia-nitrogen (NH<sub>3</sub>-N) ranged between (0.002 to 0.002) mg L<sup>-1</sup>; nitrite-nitrogen (NO<sub>2</sub>-N) ranged from (0.02 to 0.04) mg L<sup>-1</sup> (Ut *et al.*, 2016).

#### 2.6. Observed variables

Variables that were observed included average growth weight (AWG), total feed consumption (TFC), relative growth rate (RGR), feed conversion ratio (FCR), protein efficiency ratio (PER), and survival rate (SR). The measurement of those variables was based on the method of Rachmawati *et al.* (2021), while protein digestibility (ADCp) and Efficiency Feed Utilization (EFU) measurement followed the method of Rachmawati and Prihantoro (2019). The Equation used to calculate the variables were in (4) to (11)

$$AWG (\%) = \frac{Final weight (g) - Initial weight (g)}{Initial weight (g)} \times 100 \%$$
(4)

TFC (g) = Amount of feed the first day + Amount of feed the second day + Amount of feed day n(5)

SGR (%) = 
$$\frac{\text{Log final weight} - \text{Log initial weight}}{\text{Number of days}} \times 100\%$$
 (6)

ADCp (%) = 
$$100 - \left\{ \frac{100 \times Cr_2O_3 \text{ feed (\%)} \times \text{ protein feces (\%)}}{Cr_2O_3 \text{ feed (\%)} \times \text{ protein feed (\%)}} \right\}$$
 (7)

$$AWG (\%) = \frac{Final weight (g) - Initial weight (g)}{The weight of feed consumed (g)} \times 100 \%$$
(8)

FCR = feed consumtion (g) / body weight gain (g) (9)

PER = body weight gain (g) / protein intake (g) 
$$(10)$$

SR(%) = 100 (final count/initial count) (11)

#### 2.7. Amino acid analysis

Analyze lysine of 1.48 %, 1.73 %, 1.98 %, 2.23 %, 2.48 %, and 2.67 % dry diet (Table 2) was determined by the HPLC (Column Chiller EW-42650-80, Japan) method followed by Ebeneezar *et al.* (2019), Setyobudi *et al.* (2021).

#### 2.8. Statistic analysis

Data on growth performance and feed utilization variables before ANOVA analysis were tested for homogeneity, additivity, and normality. Analysis of Variance (ANOVA) was used to determine growth performance and feed utilization variables. Duncan's Multiple Range Test was used to identify specific differences between means (Adinurani 2016, 2022). Finally, the Polynomial Orthogonal test was used to determine the optimum dose of lysine in the test feed (Xie *et al.*, 2012a). The ethical clearance of this research was issued by the research ethics committee of Brawijaya University, Malang, East Java, Indonesia (No.114-KEP-UB-2021).

#### 3. Results and Discussion

#### 3.1. Result

#### 3.1.1. Amino acids of test feed

The results were as shown in Table 2. It can be incurred that the essential amino acids in the test feed contains the lysine dose of 2.23 % dry diet, which was close to Catfish juveniles' essential amino acid profile

#### 3.2. Composition of fish carcasses

The results of the proximate test of the fish carcasses, which were fed with various doses of lysine, are depicted in Table 3. The protein content in fish carcasses

given additional lysine was higher than in the initial fish carcasses. The treatment with 2.23 % lysine in a dry diet showed the highest range of protein in the carcasses. The level of water, lipid, and ash contents decreased as the lysine in the fish increased.

Table 2. Content of amino acid on test feed (% dry matter)

Amino acid essential	Analyzed dietary lysine levels (% dry diet)						P.hypophthalmus*
	1.48	1.73	1.98	2.23	2.48	2.67	
Threonine	1.69	1.58	1.55	1.40	1.29	1.28	1.358
Lysine	1.48	1.73	1.98	2.23	2.48	2.67	2.268
Phenylalanine	1.13	1.22	1.59	1.47	1.50	1.58	1.398
Arginine	1.18	1.26	1.39	1.45	1.47	1.49	1.447
Methionine	0.86	0.84	0.83	0.76	0.80	0.84	0.755
Leucine	3.59	3.64	3.68	4.09	4.20	4.25	4.128
Isoleucine	1.38	1.59	1.80	2.10	2.18	2.21	2.019
Histidine	0.81	0.83	0.82	0.84	0.82	0.81	0.841
Valine	1.52	1.63	1.74	1.81	1.90	1.98	1.805

Note : \* Jayant et al. (2018)

Table 3.	Body composition	of Catfish juveniles	containing different	doses of lysine
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g 100 g <sup>-1</sup>	Analyzed dietary lysine doses (% dry diet)							
wet weight	Initial	1.48	1.73	1.98	2.23	2.48	2.67	
Moisture	$70.4\pm0.1$	$70.2\pm0.2$	$70.1\pm0.2$	$70.0\pm0.1$	$69.6\pm0.3$	$68.6\pm0.3$	$67.9\pm0.1$	
Protein	$11.6\pm0.3$	$14.4\pm0.2$	$15.7\pm0.3$	$17.8\pm0.2$	$19.5\pm0.3$	$18.3\pm0.1$	$17.0\pm0.5$	
Lipid	$9.6\pm0.1$	$8.3\pm0.3$	$7.5\pm0.4$	$6.5\pm0.3$	$6.2\pm0.1$	$5.2\pm0.2$	$5.0\pm0.2$	
Ash	$5.4\pm0.1$	$4.4\pm0.2$	$4.0\pm0.2$	$3.5\pm0.3$	$3.4\pm0.2$	$3.2\pm0.3$	$3.0\pm0.2$	

Responses of Catfish juveniles fed with various dosages of lysine were displayed in Table 4. During the research, diseases that caused fish mortality were not found. The research study showed that the responses of AWG, TFC, ADCp, EFU, RGR, FCR, and PER were significant (P < 0.01) due to the availability of lysine in the feed; however, the existence of lysine in the feed did not

affect SR. The highest values of AWG, TFC, ADCp, EFU, RGR, FCR, and PER were obtained in the fish which was given the feed containing lysine of a 2.23 % dry diet. The polynomial orthogonal test resulted in the optimum lysine dosages on ADCp and RGR as much as 2.24 % and 2.22 % dry feed, respectively (Figure 1 and Figure 2).

Table 4. Feed utilization and growth performance of juvenile catfish feed diets containing varying dietary lysine doses

Variables	Dietary lysine doses					
	1.48	1.73	1.98	2.23	2.48	2.67
Initial weight	$8.21\pm0.32$	$8.20\pm0.30$	$8.25\pm0.28$	$8.28\pm0.18$	$8.20\pm0.15$	$8.21 \pm 0.30$
Final weight	$19.38\pm0.12^{\rm f}$	$24.51\pm0.16^{e}$	$31.52\pm0.10^{b}$	$42.56\pm0.11^{\rm a}$	$30.75\pm0.12^{\rm c}$	$27.73 \pm 0.14^d$
AWG (%)	$11.17\pm0.22^{\rm f}$	$16.31\pm0.23^{e}$	$23.27\pm0.19^{b}$	$34.28\pm0.14^{\rm a}$	$22.55\pm0.14^{c}$	$19.52\pm0.22^{\text{d}}$
TFC (g)	$95.10\pm0.26^{\rm f}$	$96.53\pm0.78^{dc}$	$97.43 \pm 0.75^{\ b}$	$99.76\pm0.42^{\rm a}$	$96.83\pm0.36^{c}$	$96.02\pm0.27^{\text{e}}$
ADCp (%)	$58.27\pm0.19^{\rm f}$	$63.53\pm0.14^{\text{e}}$	$75.15\pm0.16^{c}$	$85.27\pm0.14^{\rm a}$	$77.63\pm0.12^{\text{b}}$	$70.31\pm0.17^{\text{d}}$
EFU (%)	$60.27\pm0.12^{\rm f}$	$65.17\pm0.15^{e}$	$76.51\pm0.18^{c}$	$85.24\pm0.17^{a}$	$78.25\pm0.15^{\text{b}}$	$71.46\pm0.12^{\text{d}}$
SGR (% $d^{-1}$ )	$0.76\pm0.08^{\rm f}$	$0.97\pm0.09^{e}$	$1.19\pm0.12^{c}$	$1.45\pm0.15^{\rm a}$	$1.17\pm0.12^{\text{b}}$	$1.08\pm0.11^{\text{d}}$
FCR	$2.48\pm0.10^{\rm f}$	$2.12\pm0.14^{\text{e}}$	$2.00\pm0.10^{\text{d}}$	$1.43\pm0.12^{\rm a}$	$1.75\pm0.13^{\text{b}}$	$1.98\pm0.03^{\rm c}$
PER	$1.78\pm0.13^{\rm f}$	$2.56\pm0.10^{\text{e}}$	$3.05\pm0.10^{c}$	$4.18\pm0.13^{\rm a}$	$3.78\pm0.12^{\text{b}}$	$2.89\pm0.11^{\text{d}}$
SR (%)	$93.85\pm2.47^a$	$100\pm0.00^{a}$	$100\pm0.00^{a}$	$100\pm0.00~^a$	$100\pm0.00^{a}$	$100\pm0.00^{a}$

Notes : Mean values with same superscript showed insignificant difference (P > 0.05)



Figure 1. The correlation between the addition of lysine in the diet and ADCp



Figure 2. The correlation between the addition of lysine in the diet and SGR

#### 4. Discussion

The river Catfish juveniles that were fed with a low dose of lysine caused low values of AWG, TFC, ADCp, EFU, SGR, FCR, and PER. Farhat and Khan (2013) disclosed that the lack of lysine caused low growth of the fish. The study results on Litopenaeus vannamei Boone, 1931 showed that lysine deficiency reduced appetite (Xie et al., 2012a). Otherwise, the results of this study proved that the river Catfish juveniles fed with various doses of lysine did not cause the fish appetite to decrease. The low values of ADCp, EFU, SGR, FCR, and PER were because of lysine deficiency. The lysine deficiency hindered protein synthesis and metabolism. The same results were discovered in several fish, such as in the H. fossilis (Farhat and Khan, 2013), O. niloticus (Ovie and Eze, 2013), T. blochii (Ebeneezara et al., 2019), P. crocea (Xie et al., 2012b), O. mykiss (Yun et al., 2016).

Besides the lack of lysine, the excess lysine also caused the values of TFC, ADCp, EFU, SGR, FCR, and PER to be low. However, the quantity of lysine did not indicate a toxic effect on the fish. So far, there is no information yet explaining the lysine toxicity in the fish. He *et al.* (2013) stated that the low growth of the fish fed with the excess lysine was due to the opposite function between lysine and arginine, although the mechanism has not been understood yet.

The protein content of fish carcasses fed with lysine supplementation was higher than that in the initial state. The increase in protein content in the fish carcass was due to the availability of lysine in the feed He *et al.* (2013). Hamid *et al.* (2016) expressed that lysine promotes protein formation in fish. Cao *et al.* (2012) noted that lysine could boost nitrogen retention. Lysine is essential to synthesize carnitine which transports fat acids and oxidizes fat to

create energy. Wu (2013) also described that lysine is a critical factor for synthesizing keratin, which transports long-chain fatty acids from the cytoplasm to mitochondria for  $\beta$ -oxidation. Fat content in the fish carcass fed with a low dosage of lysine (1.48 % dry diet) was higher than those given with higher dosages of lysine (1.73 %, 1.98 %, 2.23 %, 2.48 %, and 2.67 % dry diet). The decrease in carnitine synthesis was thought to be due to lysine deficiency that hindered lipid metabolism and caused an excess of fat and energy. Similar studies were proclaimed by Xie *et al.* (2012b) in the white shrimp (*L vannamei*), Yang *et al.* (2011) in the silver perch (*Bidyanus bidyanus* Mitchell, 1838), Helland *et al.* (2011) in the black seabream (*Spondyliosoma cantharus* Linnaeus, 1758).

The highest values of AWG, TFC, ADCp, EFU, SGR, FCR, and PER were obtained from the fish fed with the lysine dosage of 2.23 % dry diet. It was thought that profile of amino acids in the feed containing lysine in a 2.23 % dry diet has a similar essential amino acids profile in the Catfish juveniles (Table 2). Therefore, He *et al.* (2013) declared that the appropriate feed for fish was the feed with a similar amino acid profile. Thus, the optimum lysine for protein digestibility and relative growth rate in the feed of Catfish juveniles ranged from 2.22 % to 2.24 % dry diet (5.96 % to 6.03 % protein feed).

NRC (2011) disclosed that the requirement of lysine among fish species and within the same species has various levels ranging from 3.32 % to 6.61 % protein feed. Therefore, the study's results were consistent with the need for lysine for fish, according to NRC (2011). The lack of lysine for Catfish juveniles (P. hypophthalmus) - 5.96 % to 6.03 % protein feed - was similar to the lysine requirement for stinging Catfish fingerlings (H. fossilis) at 5.3 % to 6.1 % (Farhat and Khan, 2013) and silver perch (B. bidyanus) at 6.0 % (Yang et al., 2011), but lower than for Trachinotus ovatus Linnaeus, 1758 at 6.7 % (Du et al., 2011). However, it was higher than one for Indian major carp (C. mrigala) at 5.75 % (Ahmed and Khan, 2011), large yellow croaker (P. crocea) at 3.95 % (Xie et al., 2012), and juvenile Silver pompano (T. blochii) at 5.71 % to 5.83 % (Ebeneezara et al., 2019).

#### 5. Conclusion

Supplementation of lysine in feed with the dose of 2.23 % dry diet generated the highest values of protein digestibility (ADCp), relative growth rate (SGR), and fish body composition compared to other treatments. The optimal dose of lysine in feed for protein digestibility and relative growth rate of juvenile Catfish were 2.22 % to 2.26 % dry diet.

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